

**INVESTIGATING THE ORIGINS OF LONG PERSISTENCE IN STRONTIUM  
ALUMINATE PHOSPHORS BY NANOSCALE RESOLVED IMAGING AND  
SPECTROSCOPY**

**by**

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STRONTIUM ALUMINATE PHOSPHORS BY NANOSCALE RESOLVED  
IMAGING AND SPECTROSCOPY

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**Abstract**

With a remarkable capacity to temporarily store and release light, long persistence phosphors are an exciting material for energy-saving applications. The objective of this work is to elucidate the role of B in dramatically extending persistence in strontium aluminate doped with Eu, Dy from the order of minutes to  $> 8$  hours. Previous work neglected nanoscale effects. Computational models and experimental evidence, limited to indirect, global analysis, suggested that the extended afterglow may be due to the clustering of ionic point defects, although the results are inconclusive. However, in recognizing that high spatial resolution techniques of transmission electron microscopy (TEM) offer a game-changing advantage for localized analysis, this dissertation is devoted to the application of techniques with spatial resolution at the nanoscale and atomic scale for correlating the material structure with optical properties.

To set the stage, the processing of ceramic phosphor powders by a modified Pechini process was methodically studied. After global characterization of the powder structure optical properties, we have defined the atomic resolution TEM experimental techniques and simulation tools that will be necessary for quantitatively elucidating the defect clustering model. Our key results demonstrate that B, entering the compound in trigonal coordination, facilitates dominance of the long persistence  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  phase in the developing microstructure and promotes a more uniform distribution of divalent Eu onto the Sr sublattice. With such unprecedented correlation between structure and optical properties at the nanoscale, our results invite revision to existing models of persistence in strontium aluminate phosphors.

STRONSIYUM ALÜMİNAT FOSFORLARINDA ISRARLI-IŞILDAMANIN  
KÖKENLERİNİN NANO-ÖLÇEK ÇÖZÜNÜRLÜKTE GÖRÜNTÜLEME VE  
SPEKTROSKOPİ YOLUYLA İNCELENMESİ

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**Özet**

Israrlı-ışıldama sergileyen fosforlar ışığı geçici olarak depolama ve yayma özellikleri sayesinde enerji tasarruf uygulamalarında kullanılacak malzemelerdir. Bu çalışmanın hedefi, Eu ve Dy katkılı stronsiyum alüminat bileşiklerinde dakikalarla sınırlı olan ısrarlı-ışıldama süresinin borun eklenmesiyle birlikte 8 saatten uzun sürelere ulaşması ve borun bu olguya etkisini aydınlatmaya çalışmaktır. Önceki çalışmalar nano-ölçekteki etkileri gözardı etmiştir. Deneysel ve sayısal modellemelerden elde edilen dolaylı ve global analizlere dayalı kanıtlar, uzatılmış fosfor-ışılığın iyonik nokta hatalara dayandığını önermekte ancak sonuçlar açıklamaya yetersiz kalmıştır. Ancak, geçirimli elektron mikroskobunun sunduğu yüksek uzaysal çözünürlük teknikleri, bu tezin üzerine adanmış olduğu nano-ölçek ve atomik-ölçekte çözünürlük sağlayan teknikler kullanılması, yapısal ve optik özelliklerin birbiriyle ilişkilendirilmesini sağlayarak bölgesel analiz anlamında ezber bozan avantajlar sunmaktadır.

Seramik tozların modifiye Pechini süreciyle sentezlenmesi metodik bir şekilde çalışılmış ve çalışmanın zemini oluşturulmuştur. Tozların yapısının ve optik özelliklerinin global karakterizasyonu sonrasında, hata kümelenmesi modelini nicel olarak değerlendirmek için

gerekli olan atomik çözünürlükte deneysel TEM teknikleri ve simülasyon araçlarını belirledik. Elde edilen ana sonuçların göstermiş olduğu üzere, bor bileşiğin yapısına trigonal koordinasyon yaparak yerleşmekte, uzun ısrarlı-ışıldama sergileyen Sr<sub>4</sub>Al<sub>14</sub>O<sub>25</sub> fazının oluşmakta olan mikroyapıda baskın olmasına yardımcı olmakta ve Sr yerine yerleşen iki değerlikli Eu iyonunun daha homojen bir şekilde mikroyapıda dağılmasını teşvik etmektedir. Nano ölçekte gözlenen yapısal ve optik özellikler arasında eşi görülmemiş bir ilişki olduğunu ortaya çıkaran sonuçlarımız, stronsiyum alüminat fosforlarında görülen ısrarlı-ışıldama oluşumunu açıklayan mevcut modellerin gözden geçirilmesi gerekliliğine işaret etmektedir.

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## LIST OF ABBREVIATIONS

|                       |  |
|-----------------------|--|
| ABF                   | Annular Bright Field                               |
| ADF                   | Annular Dark Field                                 |
| AGG                   | Abnormal Grain Growth                              |
| Al                    | Aluminium  |
| Ar                    | Argon  |
| AR-STEM<br>Microscope | Atomically Resolved Scanning Transmission Electron |
| B                     | Boron  |
| CL                    | Cathodoluminescence                                |
| DP                    | Diffraction Pattern                                |
| DTA                   | Differential Thermal Analysis                      |
| Dy                    | Dysprosium   |
| DW                    | Debye-Waller                                       |
| EDXS                  | Energy Dispersive X-ray Spectroscopy               |
| EELS                  | Electron Energy Loss Spectroscopy                  |
| ELNES                 | Energy Loss Near Edge Spectrum                     |
| Eu                    | Europium   |
| FIB                   | Focused Ion Beam                                   |
| HAADF                 | High Angle Annular Dark Field                      |
| HRTEM                 | High Resolution Transmission Electron Microscope   |
| ICP-OES               | Inductively Coupled Optical Emission Spectroscopy  |
| ICDD                  | International Centre for Diffraction Data          |
| ICSD                  | Inorganic Crystal Structure Database               |
| JEMS                  | Java Electron Microscopy Software                  |
| O                     | Oxygen   |
| PL                    | Photoluminescence                                  |
| QSTEM                 | Quantitative TEM/STEM Simulations                  |

|                                   |  |
|-----------------------------------|--|
| RGB                               | Red, Blue, Green Additive Color Mixing                               |
| ROI                               | Region of Interest   |
| SA phosphors                      | Strontium Aluminate phosphors  |
| S <sub>x</sub> A <sub>y</sub> EDB | Strontium Aluminate co-doped with Europium and Dysprosium with Boron |
| SA                                | SrAl <sub>2</sub> O <sub>4</sub>                                     |
| SA <sub>2</sub>                   | SrAl <sub>4</sub> O <sub>7</sub>                                     |
| SA <sub>6</sub>                   | SrAl <sub>12</sub> O <sub>19</sub>                                   |
| S <sub>4</sub> A <sub>7</sub>     | Sr <sub>4</sub> Al <sub>14</sub> O <sub>25</sub>                     |
| SAEDP                             | Selected Area Electron Diffraction Pattern                           |
| SEM                               | Scanning Electron Microscope   |
| STEM                              | Scanning Transmission Electron Microscope                            |
| TEM                               | Transmission Electron Microscope                                     |
| TDS                               | Thermal Diffuse Scattering   |
| TG                                | Thermogravimetry   |
| XRD                               | X-Ray Diffraction  |
| ZA                                | Zone Axis  |

## LIST OF SYMBOLS

|                        |                           |
|------------------------|---------------------------|
| <i>at%</i>             | Atomic Percentage         |
| <i>C<sub>s</sub></i>   | Astigmatism               |
| <i>C<sub>c</sub></i>   | Coma                      |
| <i>min</i>             | Minutes                   |
| <i>mrad</i>            | Miliradian                |
| <i>mol%</i>            | Mole Percentage           |
| <i>nm</i>              | Nanometer                 |
| <i>ppm</i>             | Parts Per Million         |
| <i>R<sub>exp</sub></i> | Expected R-factor         |
| <i>R<sub>wp</sub></i>  | Weighted Profile R-factor |
| <i>wt%</i>             | Weight Percentage         |
| Å                      | Ångstrom                  |
| °C                     | Celsius Degrees           |
| $\tau$                 | Afterglow Decay Lifetime  |

## CHAPTER 1:

### MOTIVATION FOR INVESTIGATING THE ORIGINS OF LONG PERSISTENCE IN STRONTIUM ALUMINATE PHOSPHORS BY NANOSCALE RESOLVED IMAGING AND SPECTROSCOPY

Strontium aluminate compounds (SA) serve as efficient and stable long persistence host materials, when co-doped with  $\text{Eu}^{2+}$  and  $\text{Dy}^{3+}$  rare earth metals<sup>1-3</sup>. As the Eu ion incorporates into the SA host lattice, it is an optically active center and luminesces in the visible region upon UV excitation<sup>4</sup>; doping with Dy ions further extends luminescence duration to the order of minutes.<sup>5</sup> Several mechanisms have been proposed to explain the afterglow mechanism in  $\text{Eu}^{2+}$ ,  $\text{Dy}^{3+}$  co-doped strontium aluminates. The first model proposed by Matsuzawa<sup>1</sup> showed that  $\text{Dy}^{3+}$  in sufficient spatial proximity assisted the delay in de-excitation, subsequently numerous groups attempted to clarify the role of Dy and B. Although, the mechanism behind the extremely long afterglow of B-doped SA has yet to be fully understood, the most widely accepted mechanism of extended persistence was ionic defect aggregation—of rare-earth co-dopants, oxygen vacancy assisted trap level formation

in cation (Sr) sub-lattice, and B induced point defects.<sup>6-9</sup> This model presumes sufficient spatial and energetic proximity between trap levels (formed by oxygen vacancy defects, for example) for obtaining direct energy transfer between energy levels. Because computational models suggest that the clustering of point defects may be a necessary condition for persistent luminescence in the boron-doped SAED phosphors, the goal of this dissertation work is to investigate the correlation between the distribution of the ionic defects and the nature of persistent luminescence.

Boron has been extensively used as a sintering flux during solid state synthesis of SAED phosphors, and it has been observed to extend the afterglow duration from minutes to several hours and enhanced afterglow intensity.<sup>10, 11</sup> Although the mechanism behind the extended persistence behavior has not been fully explained, several groups have studied the effect of boron incorporation on the phase formation kinetics during the synthesis of strontium aluminate phosphors<sup>5, 12-16</sup>. As previously reported, the incorporation of boron is lowering the processing temperature of SA phases<sup>15, 16</sup> and facilitates the formation of the most efficiently luminescing  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$ <sup>17</sup> phosphors compound in the SA family.

In this work, we used both global and local characterization techniques in order to evaluate the effect of boron on the microstructural evolution and optical properties of  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  compounds. The techniques used for globally averaged properties included: i) thermal analysis, ii) x-ray diffraction, iii) inductively coupled plasma optical emission spectroscopy, iii) photoluminescence spectroscopy, and iv) afterglow decay measurements. For localized analysis, which is the main contribution of this dissertation to the state-of-the-art, we used advanced TEM techniques in order to evaluate the microstructural evolution of  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  phosphors by boron incorporation.

Previous studies showed that boron facilitates phase formation of the sub-stoichiometric  $\text{S}_4\text{A}_7$  phase by lowering the energy barrier for the orthorhombic  $\text{S}_4\text{A}_7$  phase to form, which enables one to obtain this phase at lower temperatures.<sup>18,19</sup> However, a detailed examination is necessary to determine the thermodynamics and kinetics of the SA phase formation; therefore, we performed thermal studies accompanied by structural investigations. To identify the processing conditions of and microstructural evolution in the  $\text{Eu}^{2+}$  and  $\text{Dy}^{3+}$  co-activated  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  phosphor compound, we performed

thermogravimetric and differential thermal analysis (TG-DTA) investigations, which is also called as simultaneous thermal analysis (STA), and for determining the crystal structure of the bulk powder we carried out powder x-ray diffraction (XRD) investigations. Ceramic phosphor compounds that have undergone thermal treatment were then analyzed by XRD in order to analyze the phase composition in the final product. Determination of the final phase composition of the compounds, we performed Rietveld phase quantification method.

Although  $\text{Eu}^{2+}$  and  $\text{Dy}^{3+}$  co-activated  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  phosphors exhibit phosphorescence behavior, it only lasts in the order of minutes, however, incorporation of boron extends the duration of phosphorescence duration up to several hours, which is also referred as persistent luminescence. In order to identify the optical characteristics of the obtained phosphors, we performed two type investigations at the bulk level: persistent luminescence characterization by afterglow decay measurements and spectral characterization of luminescence by photoluminescence (PL) spectroscopy.

As we hypothesized, when boron enters SA structure afterglow persistence behavior is enhanced due to point defect clustering at the nano and sub-angstrom scale. To investigate the effect of boron into local ionic defect distribution, we used advanced microscopy techniques: z-contrast imaging, high resolution microscopy, HRTEM, collecting selected area electron diffraction pattern (SADP), electron energy-loss spectroscopy (EELS), energy dispersive x-ray analysis (EDS) and cathodoluminescence (CL) spectroscopy. Selected area diffraction patterns were indexed by scanning/transmission electron microscopy (S/TEM) imaging and simulation software called JEMS<sup>20</sup> (The Java Electron Microscopy Software), and we performed quantitative STEM image simulations by QSTEM (Quantitative TEM/STEM image simulations).<sup>21</sup>

## CHAPTER 2:

### STRONTIUM ALUMINATE PHOSPHOR SYNTHESIS AND THERMAL ANALYSIS

#### 2.1. Introduction

After synthesizing the  $S_4A_7$  ceramic phosphor powders by a modified Pechini process, we investigated the effect of boron on the formation of the equilibrium strontium aluminate phase by differential thermal analysis to determine the thermal processing conditions, and used powder x-ray diffraction techniques to characterize its crystal structure. In order to quantify the phases formed, we applied the Rietveld phase quantification method to the x-ray diffractograms<sup>22</sup>. To verify the stoichiometry of the SA compounds, we analyzed the amounts of Eu, Dy, Al, Sr and B inside the overall compound, by using inductively coupled plasma optical emission spectroscopy (ICP-OES). The radiative transition between the  $4f^65d^1 \rightarrow 4f^7$  levels of  $Eu^{2+}$  is inherently dependent on its environment in the host matrix, and contains structural information. Therefore we also characterized the luminescence behavior of SAEDB compounds by photoluminescence spectroscopy. Finally, we evaluated

the afterglow persistence duration and the decay lifetime of luminescence as a function of boron content.

## 2.2. Experimental

### 2.2.1. Chemical Processing of Strontium Aluminate Phosphors

The strontium aluminate compounds were synthesized by a modified sol-gel Pechini synthesis method<sup>18</sup>, which is a solution polymerization technique. This approach involves the addition of precursors to boiling deionized water, enabling all the chemical constituents to be dissolved and mixed homogeneously at the molecular level. All of the chemicals that have been used for synthesizing the SA phosphors are tabulated in Table 1.

**Table 1** Precursors that have been used during modified Pechini sol-gel synthesis of strontium aluminate phosphors.

| Precursor name                        | Chemical Formula                                     | Provider /Purity    |
|---------------------------------------|--|---------------------|
| Aluminium nitrate nonahydrate         | $\text{Al}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$ | Merck / 99.9%       |
| Strontium nitrate anhydrous           | $\text{Sr}(\text{NO}_3)_2$                           | Merck / Extra pure  |
| Europium (III) nitrate hexahydrate    | $\text{Eu}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ | Alfa Aesar / 99.99% |
| Dysprosium (III) nitrate pentahydrate | $\text{Dy}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$ | Alfa Aesar / 99.99% |
| Boron trioxide                        | $\text{B}_2\text{O}_3$                               | Alfa Aesar / 99.98% |
| Citric acid monohydrate               | $\text{C}_6\text{H}_8\text{O}_7$                     | Merck / 99.5%       |
| Ethylene glycol                       | $\text{C}_2\text{H}_6\text{O}_2$                     | Carlo Erba/ 99.5%   |

The solution was stirred for 15 minutes until the precursors became fully dissolved and properly mixed. Then the mixture solution was placed into a box furnace maintained at

110°C for 24 hours, *i.e.*, until the water content was completely vaporized. At the end of the process, a foam-like amorphous substance was produced, consisting of a low density porous structure. We then ground it into a powder prior to thermal treatment, which was performed in order for the grains of SA phase to crystallize.

As the TG-DTA investigations revealed, a three-step thermal treatment procedure was necessary, in order to obtain a fully crystalline SA compounds. The procedure that has been followed is listed below.

- I. Dried and ground amorphous mixture was placed in a box furnace in air, heated up to to 600°C from room temperature with a heating rate of 10°C min<sup>-1</sup>, and held at 600°C for 6 hours to burn off the organic moieties (*e.g.*, ethylene glycol).
- II. Then, the temperature was then raised to the target calcination temperature,  $T_{\text{cal}}$ , with a heating rate of 10°C/min. To allow the equilibrium structure to form, the powders were kept at  $T_{\text{cal}}$  (*e.g.* at 1150°C to have S<sub>4</sub>A<sub>7</sub> phase) for 10 hours and immediately quenched to room temperature.
- III. To maximize the compositional homogeneity of the powders, intermediate grinding was performed before second heat treatment cycle. The final processing step was reduction of Eu<sup>3+</sup> to Eu<sup>2+</sup> for enabling the energy transfer between  $4f^65d^1 \rightarrow 4f^7$  levels of Eu ions. The reduction process was applied at the target  $T_{\text{cal}}$  in a reducing atmosphere, which consists of 96 wt% Ar and 4 wt% H<sub>2</sub>. The flow rate was set to 120 mL/min through the reduction process.

At the end of the heat treatment cycle, a yellowish-white powder was obtained. The boron-free powders could be reduced at much shorter times, approximately in 1 hour, although they only exhibited short afterglow. By contrast, powders containing boron could be reduced in 3 hours independent of the boron content, and they exhibited much longer persistence. If the reduction duration was exaggerated and applied for longer than 3 hours (*e.g.*, 4 or 5 hours), the density of oxygen vacancies could be increased too much, such that the powders turn into black instead of a yellowish white color.

### 2.2.2. Thermal Processing

Investigations on the phase stability of two strontium aluminate compounds having very close stoichiometry,<sup>12-14</sup>  $\text{SrAl}_4\text{O}_7$  ( $\text{SA}_2$ ) and  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  ( $\text{S}_4\text{A}_7$ ), revealed that  $\text{SA}_2$  has the lower Gibbs free energy of formation at 900°C, when it starts to form as a pure phase, until 1000°C. Because it is the most stable phase in this temperature range and has slow kinetics of formation, it can be obtained in pure form under extremely slow heating rates (*e.g.* 0.5-1°C min<sup>-1</sup>). However, the  $\text{S}_4\text{A}_7$  phase is thermodynamically metastable in this range, although it has the lowest Gibbs free energy at temperatures above 1100°C, where it can form at faster heating rates, and where  $\text{SA}_2$  is no longer stable<sup>13</sup>. Therefore, applying a fast heating rate and calcining at 1100°C allows the  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  ( $\text{S}_4\text{A}_7$ ) phase to dominate<sup>14</sup>, although  $\text{SrAl}_2\text{O}_4$  ( $\text{SA}$ ) and  $\text{SrAl}_{12}\text{O}_{19}$  ( $\text{SA}_6$ ) phases can still form, along with  $\text{S}_4\text{A}_7$ .

As it has been previously reported by various studies, boron reduces the processing temperature that stabilizes the formation of  $\text{S}_4\text{A}_7$  phase in solid-state reactions.<sup>11, 17</sup> Thus we investigated the effect of boron into crystallization and to formation of the  $\text{S}_4\text{A}_7$  phase.

Moreover, because one of the goals of this study is to investigate boron-induced modification in the electronic structure of  $\text{S}_4\text{A}_7\text{EDB}$ , we aimed to obtain single-phase compounds of the long-persistence  $\text{S}_4\text{A}_7$  phase, thus we have investigated the optimal thermal processing conditions by using thermogravimetric and differential thermal analysis (TG-DTA). 1 mol% Eu and Dy co-doped,  $(\text{SrO})_4(\text{Al}_2\text{O}_3)_7$  stoichiometric amorphous precursors with nominal, 10, 20, and 30 mol%  $\text{B}_2\text{O}_3$  content were prepared by a sol-gel synthesis method to be used at TG-DTA measurements, where different heating rates were applied to observe the kinetics of the phase formation of different SA phases.

### 2.2.3. X-ray Diffraction Characterization

The effect of boron on phase formation was investigated by x-ray diffraction (XRD) method by using a Bruker D8 Advanced diffractometer. Primary analysis of XRD patterns were carried out by comparing the experimental diffraction patterns powder diffraction files with those from the ICDD database—, Diffrac plus the *Eva* program (AXS Bruker, Germany). Patterns from the Inorganic Crystal Structure Database (ICSD)<sup>23</sup>, which is available in the Find IT software database, have also been used for further analysis of the structure for confirmation of the existing phases in the compounds. The phase information obtained through XRD measurements were then evaluated by Rietveld<sup>22</sup> phase quantification method.

To evaluate the effect of boron on formation of SA phases, we used modified Pechini sol-gel synthesized<sup>18, 24</sup> SA phosphor powders with S<sub>4</sub>A<sub>7</sub> and SA<sub>2</sub> stoichiometry having different amounts of boron (0, 10, 20, 30 mol% B<sub>2</sub>O<sub>3</sub>). XRD patterns of powders were collected between  $2\theta = 10-70^\circ$  with a Cu-K $\alpha$  source ( $\lambda = 1.54056 \text{ \AA}$ ), having a step size of  $0.01^\circ$ , and 1 second per step.

In this part of the work, we investigated *i*) the effect of starting stoichiometry and calcination temperature on the final stoichiometry of the compound, *ii*) the effect of boron on crystallization of S<sub>4</sub>A<sub>7</sub> phase.

### 2.2.4. Elemental Analysis

To assess the elemental stoichiometry of synthesized SA phosphors with varying amounts of boron content, we used inductively coupled optical emission spectroscopy ICP-OES (Varian Vista-Pro) technique. The analyzed phosphor compounds were prepared with (SrO)•(Al<sub>2</sub>O<sub>3</sub>)<sub>2</sub> and SrO•(Al<sub>2</sub>O<sub>3</sub>)<sub>6</sub> (SrO)<sub>4</sub>•(Al<sub>2</sub>O<sub>3</sub>)<sub>7</sub> stoichiometry with 1 mol% Eu, 1 mol% Dy, and having different amounts of boron. The samples to be analyzed by this technique required dissolving the analytes in a solvent. The analyte preparation followed these steps:

1. 0.1 g of powder sample was weighed and poured into 2 mL of nitric acid and 6 mL of HCl (1:3 ratio should be maintained) acid solution to be dissolved.
2. The dissolved solution was placed into a microwave oven that is maintained at 200°C and kept there for one hour to let them fully dissolved.
3. Then, dissolved sample solution was diluted by adding 50 mL extra pure deionized water.
4. The solution obtained was diluted once more, by taking 1 mL from diluted solution and pouring it into 10 mL of pure water to be mixed.
5. At the final step, a reference standard solution was prepared, which contains the elements to be analyzed (*e.g.* Al, Sr, Eu, Dy, B,...) The purpose for preparing the standards was to create a calibration curve to determine concentration of the measured samples.

Before starting the measurements, an estimation of the expected concentration of each element should be selected from the ICP software, *i.e.*, the experimental conditions were defined. The spectral range of the optical emissions should be estimated for each element. This must be calculated by estimating the expected amount of the element to be analyzed. Because the intensity of the emission is proportional to the concentration of the element of interest, if the estimation this emission range would be too far off; the device will not yield any result, and thus an error message of “uncalculated” will be displayed. After we entered the appropriate ranges to the device software, we may proceed with the measurements.

The solution was injected into inductively coupled (argon) plasma by a nebulizer. The temperature of the initial radiation zone is ~ 6800K, enabling (i) dissolution of precursor, (ii) vaporization of the residual salt, (iii) dissociation of the gas atoms, (iv) ionization of the atoms, and (v) excitation of the ions.

Measurements began with the reference standards for determining a calibration curve for each element of interest, using difference concentrations and recording their emission intensity. Then we began to analyze the unknown samples (*i.e.*, analytes). Analytes were excited to different atomic and/or ionic states, and the emission spectra are collected. For quantitative analysis of the amounts of each element present, the emission intensity of each peak detected from the analyte is compared to the calibration curve for the element of

interest. The calibration curve will indicate the concentration of element in units of g/mL. The results are converted into wt% and then to at% for data analysis.

ICP analyses have been performed on two different set of samples, which both have been synthesized by a modified Pechini sol-gel process. However one set of specimen were thermal treated in a box furnace at air first then reduced in a tube furnace under a varigon (96% Ar, 4% H<sub>2</sub>) atmosphere. The second set of specimen were heat treated by TG-DTA in both air and N<sub>2</sub>, using the same thermal treatment steps as other set, after which the final products were collected for analysis by ICP-OES .

Samples were prepared with (Sr<sub>4</sub>Al<sub>14</sub>O<sub>25</sub>)<sub>0.98-x</sub>:(B)<sub>x</sub>,Eu<sub>0.01</sub>,Dy<sub>0.01</sub>) stoichiometry and subjected to TG-DTA analysis. Preparations made for the samples to be analyzed and thermal cycle that was used during TG-DTA measurements can be listed as followed:

- a. Before starting the TG-DTA analysis, amorphous precursors with different amounts of B content were synthesized using a sol-gel technique (a modified Pechini process) having the S<sub>4</sub>A<sub>7</sub> stoichiometry. These samples were heated up to 600°C in a furnace for 6 hours, until the organic moieties were burnt off. The specimen were thus ready for TG-DTA analysis.
- b. In order to investigate the structural and chemical evolution during ceramic powder processing, we evaluated the effect of heating rate and calcination ambient on yielding a fully crystallized S<sub>4</sub>A<sub>7</sub> phosphor compound:
  - i. Heating up from room temperature up to 600°C with 1°C/min, 5°C/min, and 10°C/min heating rates and under both air and N<sub>2</sub> ambient until all remaining organics have been removed from the specimen.
  - ii. Heating up to 1150°C with the same set of heating rates as in part *i*, but maintaining the product at this temperature for 10 hours to allow complete crystallization.

To determine the stoichiometric amounts of Al, Sr, Eu, Dy and B, we collected two sets of samples; the first set was prepared with SA<sub>2</sub> and S<sub>4</sub>A<sub>7</sub> stoichiometry, and thermal treatment was applied. Specimens that were collected after TG-DTA measurements were subjected to ICP-OES elemental analysis, and the results are given.

### 2.2.5. Photoluminescence Spectroscopy

Another optical characterization method, photoluminescence spectroscopy, was used to identify the luminescence behavior of  $S_4A_7$  phosphors. Emission spectra of the samples with  $S_4A_7$  and  $SA_2$  stoichiometry were measured using a custom-built, bench-top photoluminescence set-up consisting of an Ocean Optics USB 4000 miniature fiber optic spectrometer coupled with an integrating sphere and Kimmon He-Cd type UV laser as an illumination source. Luminescence emission spectra were recorded with the following procedure:

- i. The UV laser excited phosphor powders for 30 minutes, and emission spectra were recorded while the excitation source is still on.
- ii. Illumination source was turned off after 30 minutes and emission spectra were recorded.

However, this system contained only one type of illumination source, thus we also carried out measurements by a Cary Eclipse Fluorescence Spectrophotometer with a mercury lamp source, which had a very wide range of excitation and emission wavelength capacity (200-1100 nm). However, there was not a solid sample holder thus samples were prepared as a suspension with fixed concentration. Prior to mixing, powders were ground for 30 minutes to prevent the sedimentation of the powders. From each sample, we weighed 0.05 g of powder and dispersed it in 2.5 mL of pure ethanol to be mixed and photoluminescence emission spectra were recorded for  $Eu^{2+}$ ,  $Dy^{3+}$  co-doped  $S_4A_7$  compounds with varying amounts of boron, under 270 and 300 nm excitation wavelengths.

### 2.2.6. Afterglow Persistence

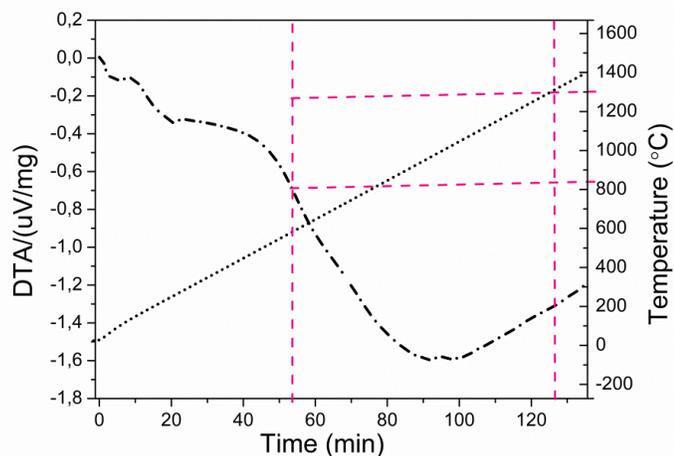
Boron incorporation during the synthesis of SA compounds has been shown to facilitate the long-persistent  $Sr_4Al_{14}O_{25}:Eu^{2+},Dy^{3+}$ . In order to characterize the effect of boron in  $S_4A_7$  compounds, the afterglow persistence of the powder  $S_4A_7$  compounds with varying amounts of boron was measured by a home-made optical set up consist of a 325 nm UV

light source as illumination and photomultiplier tube head (Hamamatsu, H7421) coupled with a photon counting unit (Hamamatsu, C 8855). The photoluminescence set up is consisted of an Ocean Optics USB 4000 miniature fiber optic spectrometer coupled with a fiber optic cable. The measurement procedure was the following: each SAED compound with varying amounts of boron content (boron-free, 10, 20, and 30 mol%  $B_2O_3$ ) were illuminated with the UV light (325 nm, 6 kW lamp energy) for 10 minutes. Immediately after the illumination source was extinguished, samples were placed to a holder, which was positioned 0.5 cm away from the photomultiplier head entrance, and the afterglow intensity decay was recorded for 14 hours.

## 2.3. Results

### 2.3.1. Thermal Analysis of SA Phosphors

We started to investigate the crystallization onset temperatures with a boron-free  $S_4A_7$  compound heated with  $10^\circ\text{C}/\text{min}$  heating rate up to  $1400^\circ\text{C}$  (Figure 1), to determine the regions where phase change reactions take place

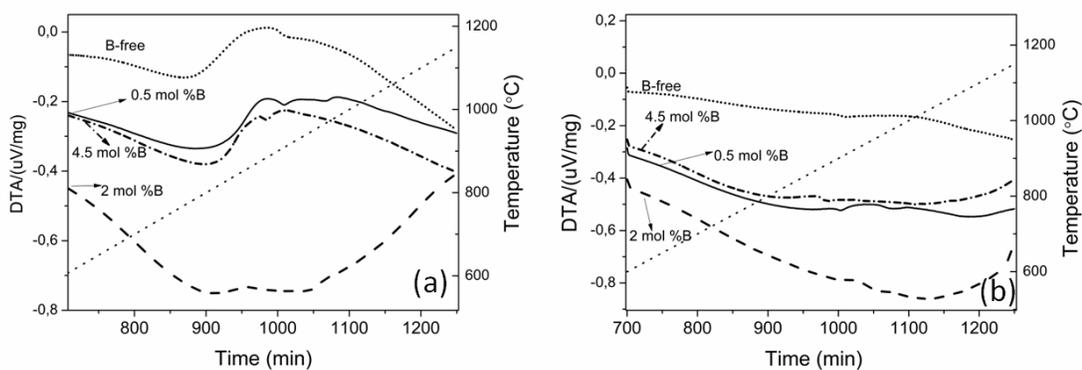


**Figure 1** DTA curve of boron-free  $S_4A_7$  compound obtained with  $10^\circ\text{C}/\text{min}$  heating rate from room temperature up to  $1400^\circ\text{C}$ , at air.

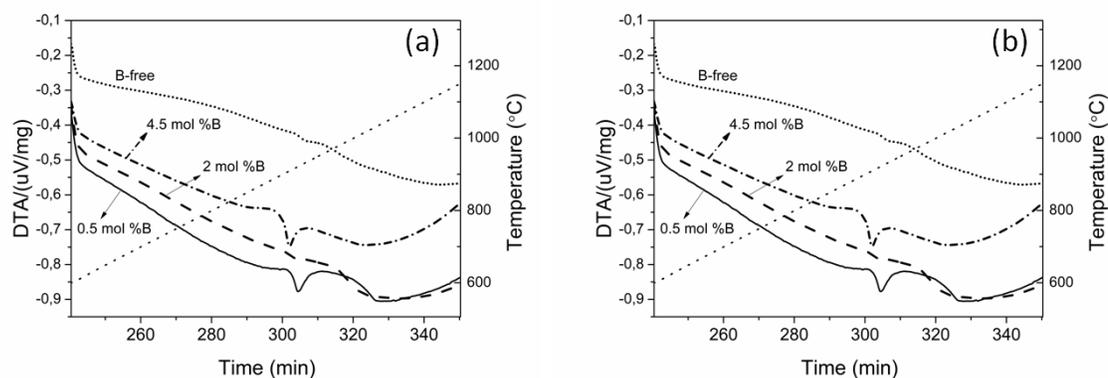
A broad exothermic region was observed, starting at *ca.* 800°C and continuing up to *ca.* 1200°C. The S<sub>4</sub>A<sub>7</sub> phase was expected to form above 1100°C and was known to be stable up to 1500°C.<sup>13</sup> Therefore, we wanted to analyze that region in more detail, so we performed TG-DTA (Netzsch Jupiter 449, simultaneous thermal analyzer) measurements both in air and pure N<sub>2</sub> atmosphere with 1°C min<sup>-1</sup>, 5°C min<sup>-1</sup>, 10°C min<sup>-1</sup> heating rates. After analyzing the TG-DTA curves we came up with the following heat treatment procedure:

- i. Samples for TG-DTA analysis were prepared by sol-gel synthesis, which has been explained in detail in section 2.2.1. Samples were calcined at 600°C for 6 hours to burn off the organic content and left as amorphous precursor for analysis. However, there was still a dramatic decrease in mass loss around 600°C; thus samples were kept at this temperature an additional 2 hours.
- ii. Then, the samples were heated up to 1150°C with the same heating rate applied in previous step.
- iii. After reaching 1150°C, the samples were kept at this temperature to allow complete crystallization.

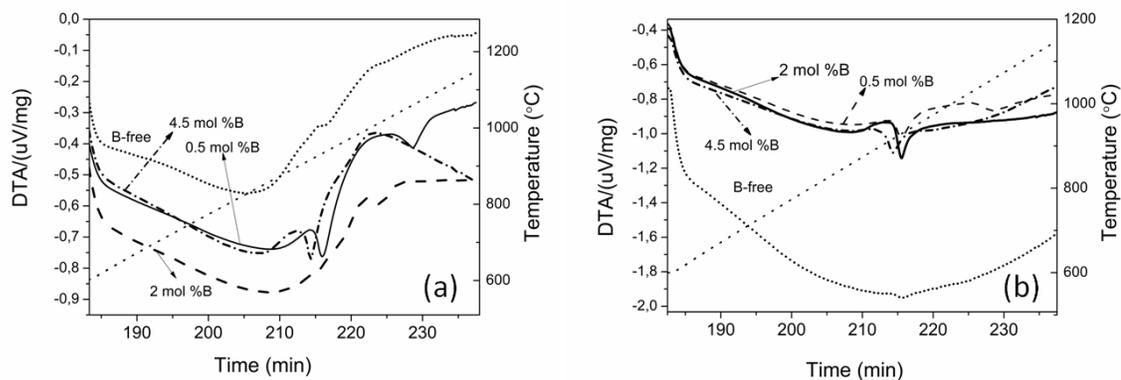
We have conducted thermal analysis with different heating rates having isothermal step and with different amounts of B content to analyze the effect of boron on crystallization of the equilibrium phase. TG-DTA curves of S<sub>4</sub>A<sub>7</sub> compounds obtained at air and N<sub>2</sub> are given in Figure 2, Figure 3, and Figure 4 with 1°C/min, 5°C/min, and 10°C/min heating rates, respectively. The shift in exothermic onset with B content was summarized in Figures 2-5 and, including the impact of heating rate and processing ambient in Table 2 and Table 3. The dotted line represents the temperature variation over time, where it is plotted to the secondary (right) y-axis.



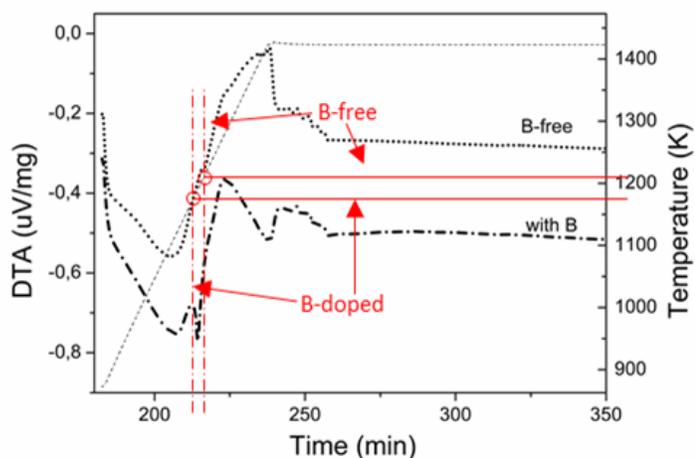
**Figure 2** DTA curves of  $S_4A_7$  stoichiometric phosphor compounds at  $1^\circ\text{C}/\text{min}$  heating rate under (a) air and (b) pure  $N_2$ .



**Figure 3** DTA curves of  $S_4A_7$  stoichiometric phosphor compounds at  $5^\circ\text{C}/\text{min}$  heating rate under (a) air and (b) pure  $N_2$ .



**Figure 4** DTA curves of  $S_4A_7$  stoichiometric phosphor compounds at  $10^\circ\text{C}/\text{min}$  heating rate under (a) air and (b) pure  $N_2$ .



**Figure 5** TG-DTA of boron-doped (black dot-dash line) and boron-free (black dotted line)  $S_4A_7ED$  compounds, obtained using a  $10^\circ C/min$  heating rate in air environment; corresponding time-temperature plot (gray dotted line). Onset of crystallization estimated from the time of the inflection in the first derivative, indicated by the dot-dash red line, and the corresponding temperature, indicated by the solid red line.

**Table 2** Change in crystallization exotherms of  $S_4A_7$  phosphors with different boron content, obtained with different heating rates in air and  $N_2$  atmospheres.

| <b>Boron Content</b><br>(mol% $B_2O_3$ addition) | <b>Exotherm (<math>^\circ C</math>) (crystallization)</b> |       |                |       |                 |       |
|--|---|-------|----------------|-------|-----------------|-------|
|  | 1 $^\circ/min$  |       | 5 $^\circ/min$ |       | 10 $^\circ/min$ |       |
|  | Air   | $N_2$ | Air            | $N_2$ | Air             | $N_2$ |
| <b>0</b>   | 899   | 901   | 920            | 914   | 923             | 932   |
| <b>10</b>  | 897   | 898   | 917            | 912   | 922             | 914   |
| <b>20</b>  | 869   | 927   | 984            | 976   | 919             | 917   |
| <b>30</b>  | 880   | 874   | 899            | 904   | 910             | 905   |

\* Starting material mass has an error  $81 \pm 1$  mg.

**Table 3** Duration required for complete crystallization investigated for different boron composition, by TG-DTA analysis with different heating rates in air and N<sub>2</sub> atmospheres.

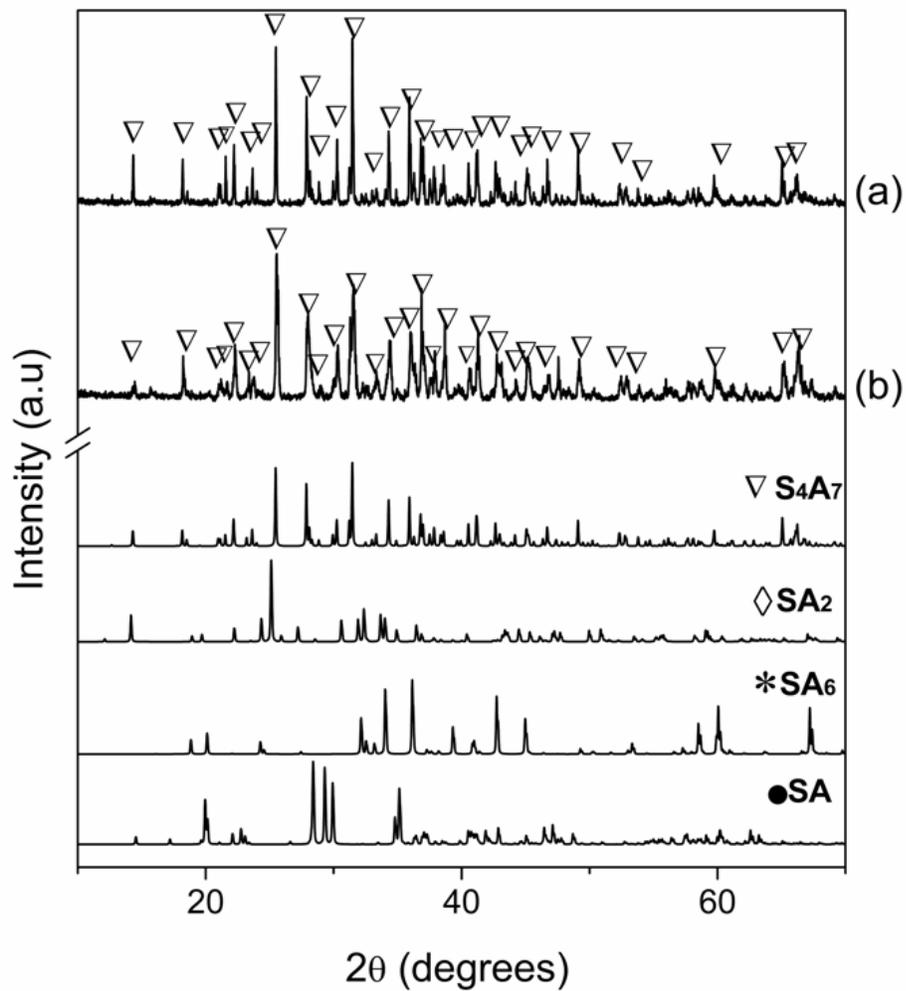
| Boron Content<br>(mol% B <sub>2</sub> O <sub>3</sub> addition) | Isotherm (min) |                |         |                |          |                |
|--|----------------|----------------|---------|----------------|----------|----------------|
|  | 1°C/min        |                | 5°C/min |                | 10°C/min |                |
|  | Air            | N <sub>2</sub> | Air     | N <sub>2</sub> | Air      | N <sub>2</sub> |
| <b>0</b>   | --             | 1810           | --      | 788            | --       | 443            |
| <b>10</b>  | --             | --             | --      | 584            | --       | 459            |
| <b>20</b>  | --             | --             | --      | 472            | --       | 403            |
| <b>30</b>  | --             | --             | -       | 474            | --       | 356            |

We observed that exotherm onset temperatures change with boron composition in all three different heating rates. An isothermal onset, which implies the duration of crystallization, also decreases with increasing boron amount. The isothermal onset could not be observed for the 1°C/min heating rates and in the air environment.

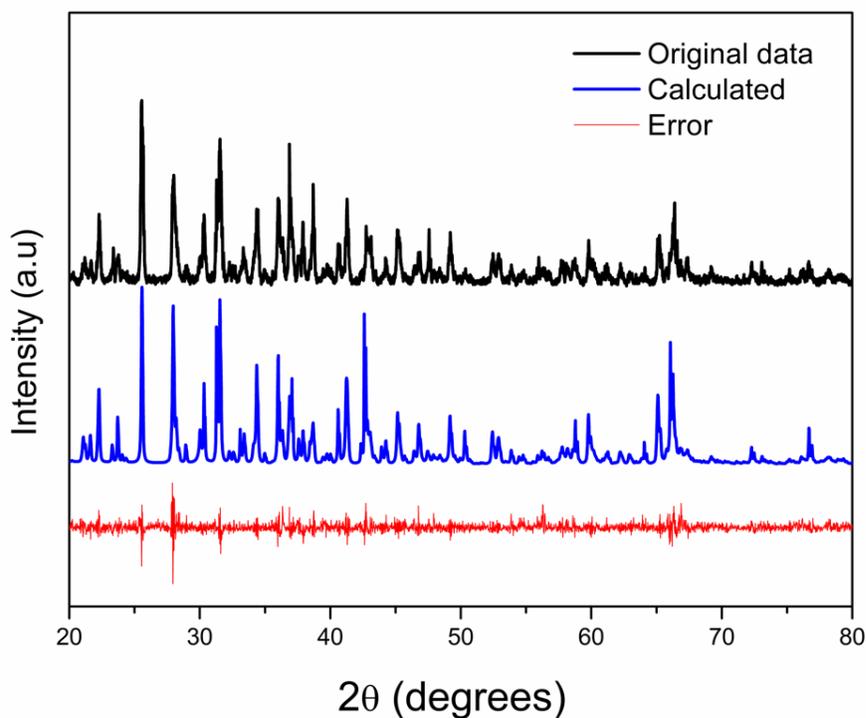
In order to correlate the effect of B with the phase formation temperature, we have conducted XRD measurements on the DTA products.

### 2.3.2. Structural Analysis by X-ray Diffraction

To determine the effect of starting stoichiometry on phase purity of  $S_4A_7$  compound, we synthesized  $(SrAl_4O_7)_{0.98-x}:(Eu)_{0.01}:(Dy)_{0.01}:B_x$  ( $SA_2ED$ ) and  $(Sr_4Al_{14}O_{25})_{0.98-x}:(Eu)_{0.01}, (Dy)_{0.01}:B_x$  stoichiometric compounds and observed the formation of SA phases with different amounts of boron content that were calcined at air and reduced varigon (96% Ar, 4%  $H_2$  mixture) at  $1150^\circ C$  and XRD patterns were collected. Following powder diffraction files (PDF) were used during preliminary phase determination: 01-074-1810 ( $S_4A_7$ ), 00-034-0379 (SA), 00-025-1289 ( $SA_2$ ), and 01-070-0947 ( $SA_6$ ). We have quantified the amounts of each phase present in the final product by Rietveld phase quantification method by using these files obtained from database. Compounds with  $SA_2$  and  $S_4A_7$  as the nominal starting stoichiometry were analyzed, in order to investigate the impact of the starting stoichiometry on the final equilibrium phase (see Figure 6). The main objective was to obtain a phase pure  $S_4A_7$  compound, which is expected to form above  $1100^\circ C$  (e.g.  $1150^\circ C$ ). Therefore, both compounds, containing 4.5 mol%B, were calcined at  $1150^\circ C$  for 10 hours and quenched to room temperature to maintain the metastable  $S_4A_7$  phase. According to preliminary observed XRD patterns (see Figure 6), both methods yielded the  $S_4A_7$  phase.. The quenched phosphor samples were then sintered under reducing atmosphere (96% Ar+4%  $H_2$ ) for 5 hours, and Rietveld refinement was applied to identify the phases and quantify their amounts. Rietveld analysis results for the sample with  $SA_2$  starting stoichiometry gave 96.34 wt%  $S_4A_7$  and 3.66 wt% of  $SA_6$ . Calculated errors of the fitting operation were  $R_p=21.36$ ,  $R_{wp}=28.94$ ,  $R_{exp}=28.94$  with 1.18 *goodness of fit* value. Quantification results of the sample with  $S_4A_7$  stoichiometry gave 87.6 wt%  $S_4A_7$ , 12.4 wt% of  $SA_2$ . Calculated errors of the fitting operation were,  $R_p=21.34$ ,  $R_{wp}=30.04$ ,  $R_{exp}=24.35$  with 1.23 *goodness of fit (GOF)* value. GOF value is considered to be good as it reaches to 1.00.<sup>25</sup> Figure 7 shows an example of the results of Rietveld analysis of the  $S_4A_7$  phase, forming from a starting stoichiometry  $SA_2$ , including original XRD pattern, calculated pattern and error.

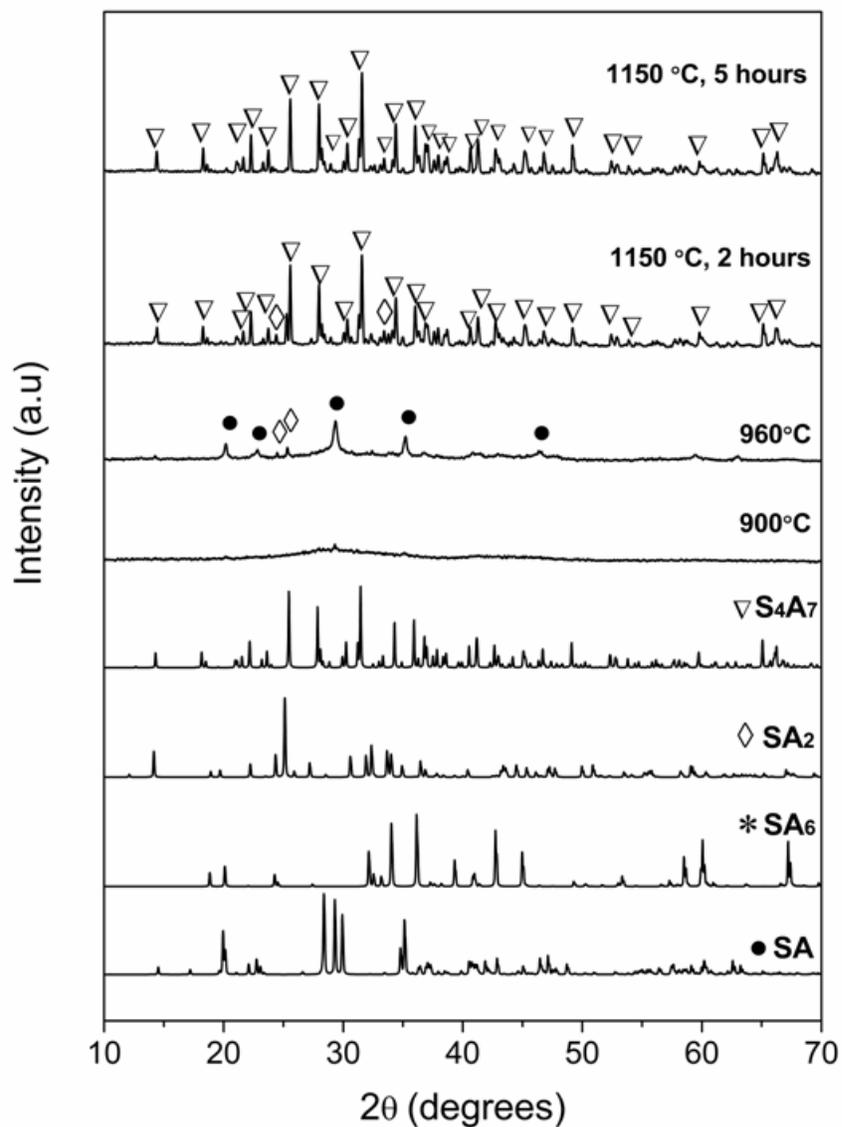


**Figure 6** XRD pattern of  $S_{4A_7}$  phase yielded compound with (a)  $SA_2$  and (b)  $S_{4A_7}$  starting stoichiometry.



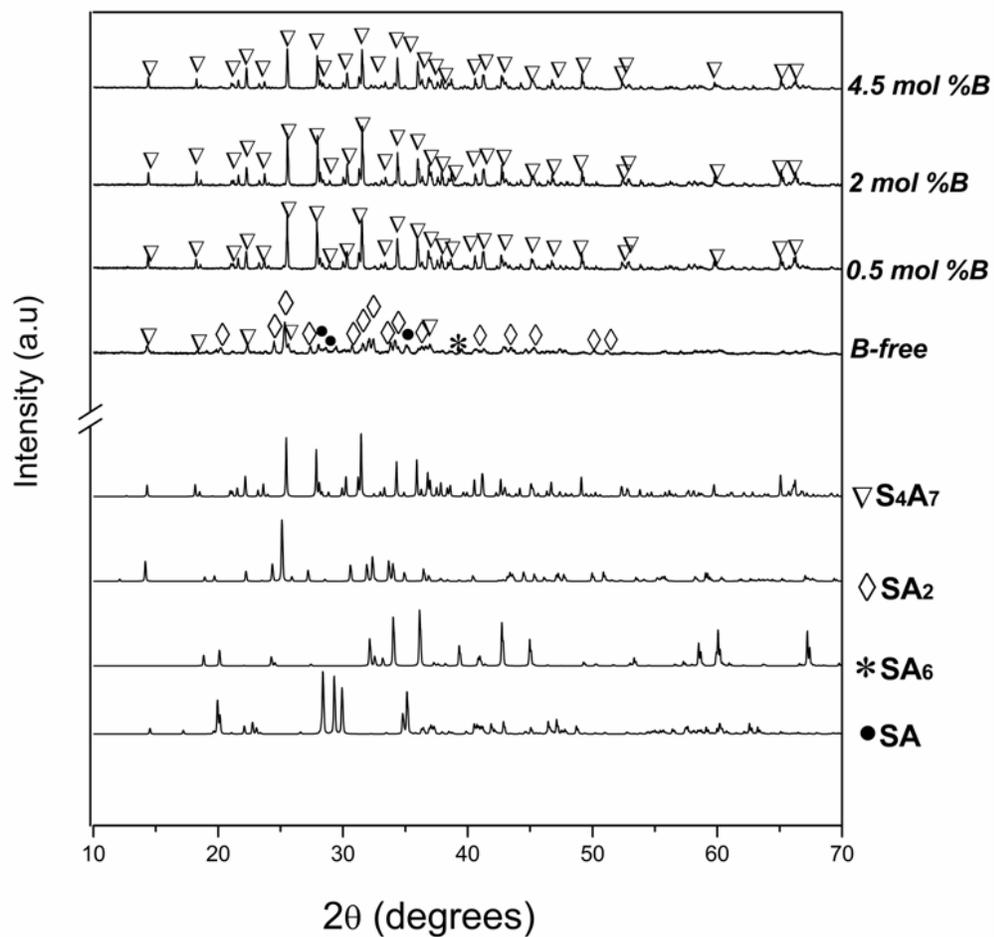
**Figure 7** Rietveld analysis result of  $S_4A_7$  phase compound having a  $SA_2$  starting stoichiometry.

To obtain high purity  $S_4A_7$  phase compounds, we conducted a thermal analysis experiment at critical points during the powder synthesis process and collected the samples for XRD analysis. DTA investigations showed that crystallization began ca. 910-930°C (see Figure 4) and shifted to lower temperatures with boron content. Therefore, to observe the overall crystallization of strontium aluminate compounds, we interrupted heat treatment before and after crystallization, and analyzed their XRD patterns. The stop points were designated to be at 900°C, at 960°C, at 1150°C for 2 hours, and at 1150°C for 5 hours. XRD results of the collected samples are shown in Figure 8. Rietveld phase quantification showed that the sample calcined at 1150°C for 2 hours contained of 79.63%  $S_4A_7$ , 12.39%  $SA_2$ , and 7.97%  $SA_6$  phases, whereas the sample calcined for 5 hours 1150°C contained of 88.55%  $S_4A_7$ , 0.71%  $SA_2$ , and 10.74%  $SA_6$ .



**Figure 8** Powder x-ray diffraction pattern of an amorphous precursor, calcined at 900°C, 960°C, 1150°C for 2 hours, and 1150°C for 5 hours. The amorphous precursor was pre-calcined at 600°C for 8 hours to first burn off the organic moieties.

Further TG-DTA analysis was performed with boron-free, 0.5, 2, and 4.5 mol% B containing S<sub>4</sub>A<sub>7</sub>ED compounds, comparing the influence of an air and a N<sub>2</sub> atmosphere. The results of XRD analysis of the powders are shown in Figure 9.



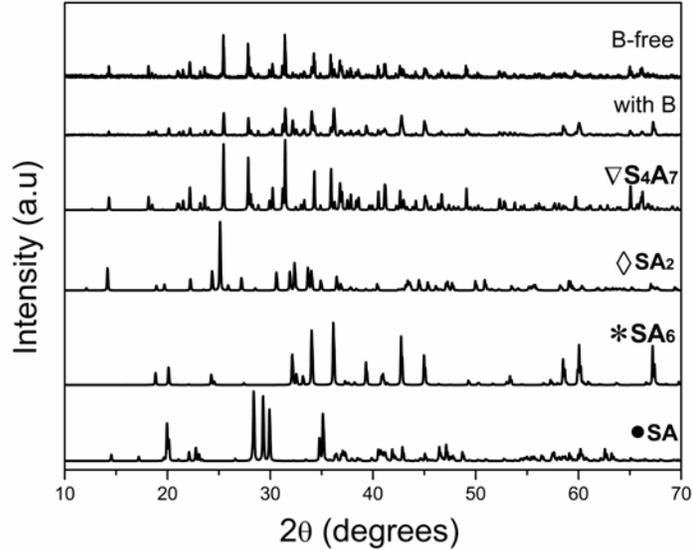
**Figure 9** XRD patterns obtained after TG-DTA analysis with 10°C/min heating rate at N<sub>2</sub> atmosphere with changing boron content as determined by ICP-OES.

To quantify the amounts of phases in the final product, we performed Rietveld analysis, and the results were listed on Table 4.

**Table 4** Phase composition revealed by Rietveld analysis of the XRD data from the  $S_4A_7ED$  compounds with boron content as determined by ICP-OES.

| Boron content<br>(mol%) | $S_4A_7$<br>(wt%) | $SA_6$<br>(wt%) | $SA_2$<br>(wt%) | $SA$<br>(wt%) |
|-------------------------|-------------------|-----------------|-----------------|---------------|
| 0                       | 53.95             | 2.89            | 11.32           | 31.75         |
| 0.5                     | 98.41             | 0.66            | 0.94            | 0             |
| 2                       | 98.26             | 1.16            | 0.61            | 0             |
| 4.5                     | 99.46             | 0               | 0.54            | 0             |

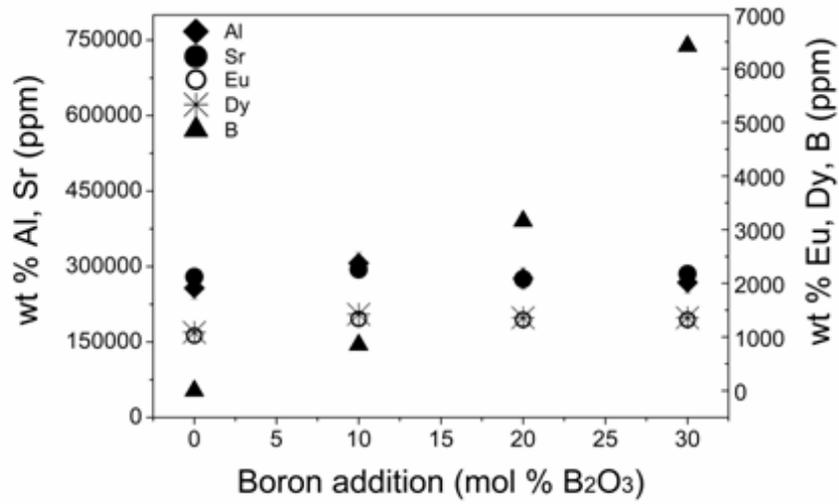
Compounds with non-zero boron content were dominated by the  $S_4A_7$  phase, whereas the boron-free compound consisted of four different phases,  $S_4A_7$ ,  $SA_2$ ,  $SA$ , and  $SA_6$ , with the  $S_4A_7$  phase still dominant, albeit to a lesser extent. Finally, in order to determine if boron merely lowers the energy barrier for the formation of  $S_4A_7$ , we calcined a boron-free powder at a much higher temperature, 1300°C. The XRD spectra (Figure 10) showed an increase in the phase percentage of  $S_4A_7$ . Thus we conclude that B is not necessary for the formation of the high temperature equilibrium phase  $S_4A_7$  and only lowers the energy barrier for phase formation.



**Figure 10** XRD pattern of boron-free and 4.5 mol%B containing  $S_4A_7:Eu^{2+}, Dy^{3+}$  compound calcined at  $1300^\circ C$ .

### 2.3.3. ICP-OES Elemental Analysis

In order to determine the content of Sr, Al, Eu, Dy, and B in  $(SrO)_4 \cdot (Al_2O_3)_7:Eu^{2+}, Dy^{3+}$  phosphors compounds with no boron, 10, 20, 30 mol% B, we collected samples after TG-DTA measurements carried out by 1, 5, and  $10^\circ C/min$  heating rate in air and  $N_2$ , and performed elemental analysis by ICP-OES. Three measurements were collected for each data point, and their average values and standard deviations are shown in Figure 11 below and Table A1-A3 in the appendix.



**Figure 11** Concentration (mg/kg) of Eu, Dy, B, Al, and Sr elements in S<sub>4</sub>A<sub>7</sub>EDB compounds collected after DTA analysis with 10°C min<sup>-1</sup> heating rates, obtained by ICP-OES measurement.

According to Figure 11, an increase in boron content was observed. This implies that boron was incorporated into the compound. The concentrations reported are bulk concentration, as boron could be present either inside or on the surface of the bulk particle. In order to estimate approximate values of boron content in at%, or mol%, we performed conversion calculations according to Equation 1:

$$at\% A = \frac{\frac{wt\% A}{at.wt.A}}{\frac{wt\% A}{at.wt.A} + \frac{wt\% B}{at.wt.B} + \frac{wt\% C}{at.wt.C} + \frac{wt\% D}{at.wt.D} + \frac{wt\% E}{at.wt.E}} \quad \text{Equation 1}$$

,where *at. wt.* (e.g. *at.wt.A*) corresponds to the atomic weight of the element, to be converted to atomic percent. Applying this formula for each element, estimated mol% values were calculated and given in Table 5.

**Table 5** Atomic percent calculations obtained for  $((\text{SrO})_4 \cdot (\text{Al}_2\text{O}_3)_7)_{0.98-x} \text{Eu}_{0.01} \text{Dy}_{0.01} \text{B}_x$ , where the incorporated B amount was varied from none, 10, 20, and 30 mol%  $\text{B}_2\text{O}_3$ .

| <b>Boron Content (mol% <math>\text{B}_2\text{O}_3</math>)</b> | Heating rate/Atmosphere                | Sample No | <i>Atomic%</i> |       |       |        |        |
|---|--|-----------|----------------|-------|-------|--------|--------|
|   |  |           | Eu             | Dy    | B     | Al     | Sr     |
| <b>Boron-free</b>   | 10°C min <sup>-1</sup> /N <sub>2</sub> | 1         | 0.062          | 0.059 | 0.000 | 74.964 | 24.915 |
| <b>Boron-free</b>   | 10°C min <sup>-1</sup> /air            | 2         | 0.059          | 0.059 | 0.000 | 74.824 | 25.058 |
| <b>Boron-free</b>   | 5°C min <sup>-1</sup> /N <sub>2</sub>  | 3         | 0.062          | 0.059 | 0.000 | 75.259 | 24.621 |
| <b>Boron-free</b>   | 5°C min <sup>-1</sup> /air             | 4         | 0.060          | 0.059 | 0.000 | 74.832 | 25.049 |
| <b>Boron-free</b>   | 1°C min <sup>-1</sup> /N <sub>2</sub>  | 5         | 0.063          | 0.059 | 0.000 | 75.780 | 24.098 |
| <b>Boron-free</b>   | 1°C min <sup>-1</sup> /air             | 6         | 0.061          | 0.059 | 0.000 | 75.963 | 23.916 |
| <b>10</b>   | 10°C min <sup>-1</sup> /N <sub>2</sub> | 7         | 0.066          | 0.065 | 0.501 | 76.610 | 22.758 |
| <b>10</b>   | 10°C min <sup>-1</sup> /air            | 8         | 0.065          | 0.066 | 0.534 | 76.681 | 22.653 |
| <b>10</b>   | 5°C min <sup>-1</sup> /N <sub>2</sub>  | 9         | 0.065          | 0.065 | 0.553 | 76.630 | 22.687 |
| <b>10</b>   | 5°C min <sup>-1</sup> /air             | 10        | 0.064          | 0.065 | 0.611 | 76.506 | 22.754 |
| <b>10</b>   | 1°C min <sup>-1</sup> /N <sub>2</sub>  | 11        | 0.065          | 0.065 | 0.517 | 76.815 | 22.537 |
| <b>10</b>   | 1°C min <sup>-1</sup> /air             | 12        | 0.064          | 0.065 | 0.639 | 76.659 | 22.572 |
| <b>20</b>   | 10°C min <sup>-1</sup> /N <sub>2</sub> | 13        | 0.072          | 0.067 | 2.175 | 74.853 | 22.834 |
| <b>20</b>   | 10°C min <sup>-1</sup> /air            | 14        | 0.069          | 0.067 | 2.137 | 74.785 | 22.941 |
| <b>20</b>   | 5°C min <sup>-1</sup> /N <sub>2</sub>  | 15        | 0.073          | 0.068 | 2.002 | 74.375 | 23.483 |
| <b>20</b>   | 5°C min <sup>-1</sup> /air             | 16        | 0.071          | 0.068 | 1.918 | 74.628 | 23.315 |
| <b>20</b>   | 1°C min <sup>-1</sup> /N <sub>2</sub>  | 17        | 0.070          | 0.068 | 2.009 | 74.455 | 23.398 |
| <b>20</b>   | 1°C min <sup>-1</sup> /air             | 18        | 0.070          | 0.067 | 2.065 | 74.828 | 22.970 |
| <b>30</b>   | 10°C min <sup>-1</sup> /N <sub>2</sub> | 19        | 0.092          | 0.087 | 4.234 | 71.324 | 24.263 |
| <b>30</b>   | 10°C min <sup>-1</sup> /air            | 20        | 0.079          | 0.083 | 4.299 | 71.959 | 23.580 |
| <b>30</b>   | 5°C min <sup>-1</sup> /N <sub>2</sub>  | 21        | 0.076          | 0.082 | 4.487 | 71.803 | 23.553 |
| <b>30</b>   | 5°C min <sup>-1</sup> /air             | 22        | 0.089          | 0.086 | 4.278 | 71.581 | 23.967 |
| <b>30</b>   | 1°C min <sup>-1</sup> /N <sub>2</sub>  | 23        | 0.081          | 0.086 | 4.370 | 71.831 | 23.632 |
| <b>30</b>   | 1°C min <sup>-1</sup> /air             | 24        | 0.083          | 0.088 | 4.501 | 71.449 | 23.879 |

According to the calculated values in terms of atomic percentages, the Eu and Dy amounts were observed to be close to expected amount, ranging between *ca.* 0.6-0.9%, instead of 1%. However, the measured values of B fell substantially short of the nominal B<sub>2</sub>O<sub>3</sub> amount. Because the powders analyzed by ICP-OES were collected from the crucible and ground prior to specimen preparation for ICP analysis, this discrepancy may be attributed to unincorporated B having remained behind as a glassy coating in the alumina crucible, and, to a lesser extent, having volatilized during heat treatment.

The theoretical Sr and Al cation ratio for the S<sub>4</sub>A<sub>7</sub> stoichiometric compound is (Al/Sr) = (14/4) = 3.5. Table 6 lists the corresponding cation ratios for the ICP-OES results presented in Table 5. Cation ratio calculated for each different sample (see Table 5) ranges between 2.94-3.41.

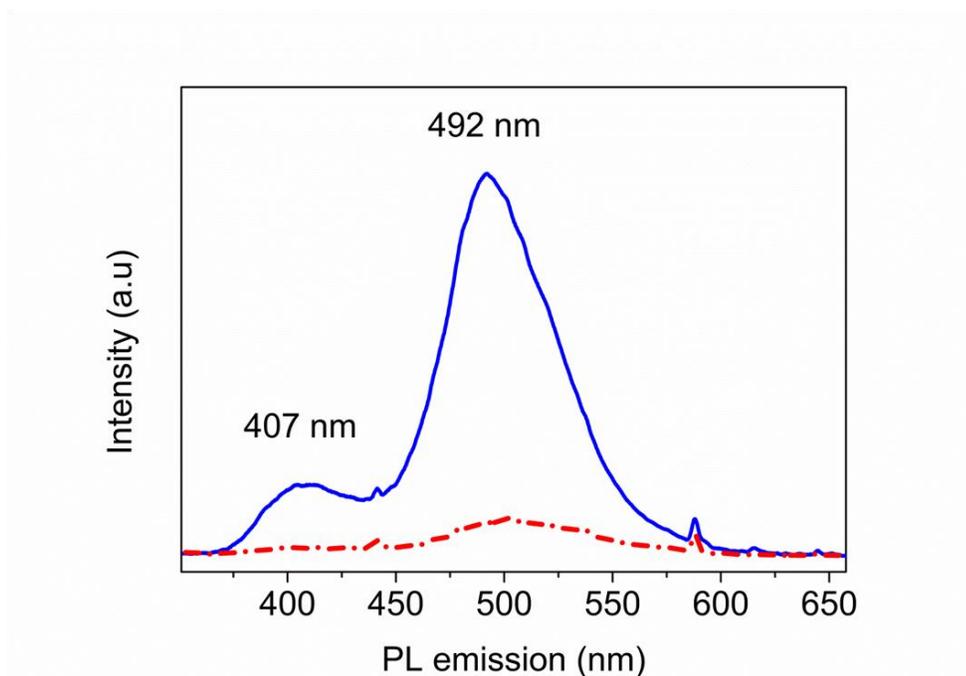
**Table 6** Al to Sr ratio of S<sub>4</sub>A<sub>7</sub> stoichiometric compounds for various boron incorporation, calculated by ICP-OES values.

| <b>Sample No</b> | <b>Heating rate/Atmosphere</b>          | <b>Boron Content (mol% B<sub>2</sub>O<sub>3</sub>)</b> | <b>Al (at%)</b> | <b>Sr (at%)</b> | <b>Al/Sr ratio</b> |
|------------------|---|--|-----------------|-----------------|--------------------|
| <b>1</b>         | 10°C min <sup>-1</sup> /N <sub>2</sub>  | Boron-free   | 74.96           | 24.91           | 3.01               |
| <b>2</b>         | 10°C min <sup>-1</sup> /air             | Boron-free   | 74.82           | 25.06           | 2.99               |
| <b>3</b>         | 5°C min <sup>-1</sup> /N <sub>2</sub>   | Boron-free   | 75.26           | 24.62           | 3.06               |
| <b>4</b>         | 5°C min <sup>-1</sup> /air              | Boron-free   | 74.83           | 25.05           | 2.99               |
| <b>5</b>         | 1°C min <sup>-1</sup> /N <sub>2</sub>   | Boron-free   | 75.78           | 24.10           | 3.14               |
| <b>6</b>         | 1°C min <sup>-1</sup> /air              | Boron-free   | 75.96           | 23.92           | 3.18               |
| <b>7</b>         | 10°C min <sup>-1</sup> /N <sub>2</sub>  | 10   | 76.61           | 22.76           | 3.37               |
| <b>8</b>         | 10°C min <sup>-1</sup> /air             | 10   | 76.68           | 22.65           | 3.38               |
| <b>9</b>         | 5°C min <sup>-1</sup> /N <sub>2</sub>   | 10   | 76.63           | 22.69           | 3.38               |
| <b>10</b>        | 5°C min <sup>-1</sup> /air              | 10   | 76.51           | 22.75           | 3.36               |
| <b>11</b>        | 1°C min <sup>-1</sup> / N <sub>2</sub>  | 10   | 76.82           | 22.54           | 3.41               |
| <b>12</b>        | 1°C min <sup>-1</sup> /air              | 10   | 76.66           | 22.57           | 3.40               |
| <b>13</b>        | 10°C min <sup>-1</sup> / N <sub>2</sub> | 20   | 74.85           | 22.83           | 3.28               |
| <b>14</b>        | 10°C min <sup>-1</sup> /air             | 20   | 74.79           | 22.94           | 3.26               |
| <b>15</b>        | 5°C min <sup>-1</sup> / N <sub>2</sub>  | 20   | 74.37           | 23.48           | 3.17               |
| <b>16</b>        | 5°C min <sup>-1</sup> /air              | 20   | 74.63           | 23.31           | 3.20               |
| <b>17</b>        | 1°C min <sup>-1</sup> / N <sub>2</sub>  | 20   | 74.45           | 23.40           | 3.18               |
| <b>18</b>        | 1°C min <sup>-1</sup> /air              | 20   | 74.83           | 22.97           | 3.26               |
| <b>19</b>        | 10°C min <sup>-1</sup> / N <sub>2</sub> | 30   | 71.32           | 24.26           | 2.94               |
| <b>20</b>        | 10°C min <sup>-1</sup> /air             | 30   | 71.96           | 23.58           | 3.05               |
| <b>21</b>        | 5°C min <sup>-1</sup> / N <sub>2</sub>  | 30   | 71.80           | 23.55           | 3.05               |
| <b>22</b>        | 5°C min <sup>-1</sup> /air              | 30   | 71.58           | 23.97           | 2.99               |
| <b>23</b>        | 1°C min <sup>-1</sup> / N <sub>2</sub>  | 30   | 71.83           | 23.63           | 3.04               |
| <b>24</b>        | 1°C min <sup>-1</sup> /air              | 30   | 71.45           | 23.88           | 2.99               |

### 2.3.4. Photoluminescence Spectroscopy Analysis

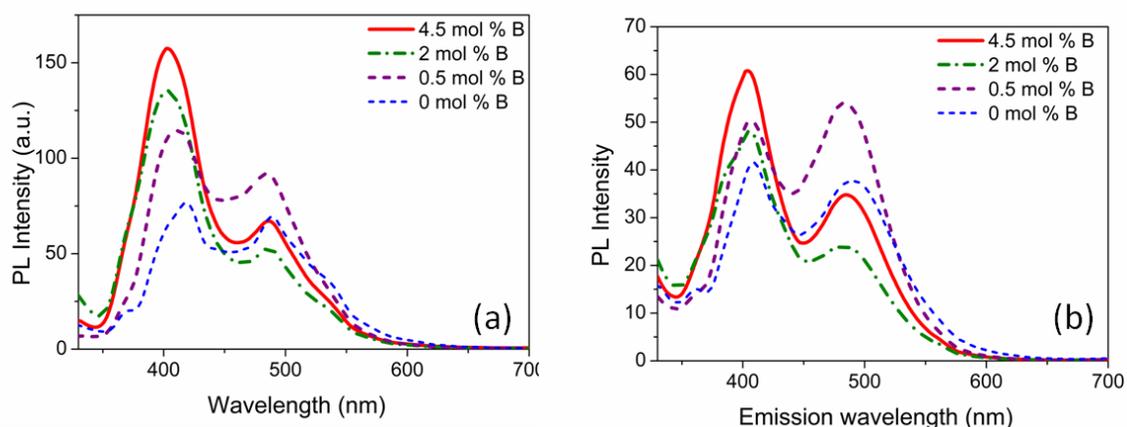
Optical characterization of the  $S_4A_7$  phosphors with and without boron was performed by photoluminescence spectroscopy technique. Measurements were carried out 2 ways: 1) by using a Cary Eclipse fluorescence spectrophotometer with mercury lamp source, and 2) by using a custom-built, bench-top photoluminescence set-up consisting of an Ocean Optics USB 4000 miniature fiber optic spectrometer coupled with an integrating sphere and Kimmon He-Cd type UV laser (power) as the illumination source. The measurement procedure was described in Section 2.2.5

Under 325 nm wavelength excitation, the PL spectra of boron-free and 4.5 mol%B containing  $S_4A_7$  phosphors were compared (Figure 12), and both show two broad emission peaks located at *ca.* 405 nm and at *ca.* 492 nm. These results are consistent with reports in the literature attributing these two peaks to  $Eu^{2+}$  emission in  $S_4A_7$  host lattice.<sup>5, 26, 27</sup> There are two crystallographically non-equivalent  $Sr^{2+}$  sites in  $S_4A_7$  crystal structures, where the Eu atom may replace Sr atoms. These two non-equivalent  $Sr^{2+}$  sites, which have been replaced by  $Eu^{2+}$  ions, have emission peaks that have been attributed to  $4f^65d^1 \rightarrow 4f^7$  transitions in  $Eu^{2+}$  ions located in the two crystal environments of different crystal field.



**Figure 12** PL emission spectra of  $S_4A_7$  phosphor with 4.5 mol%B presented with solid blue line, and no boron shown under 325 nm excitation wavelengths.

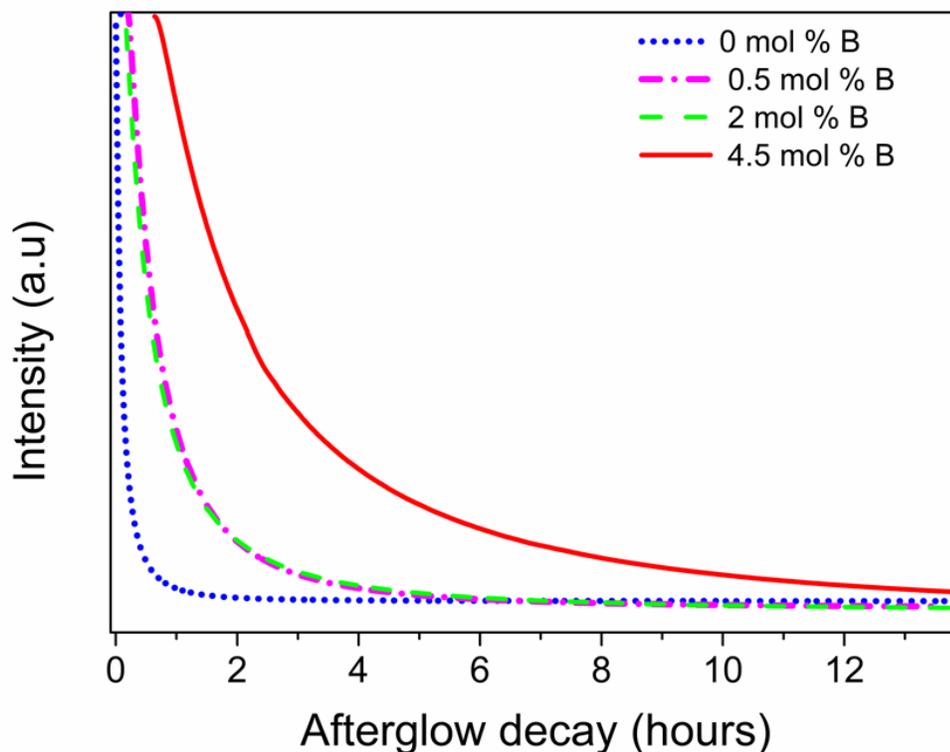
In order to access excitation at other wavelengths, we investigated photoluminescence behavior further with the Cary Eclipse fluorescence spectrophotometer under 270 and 300 nm excitation wavelengths and the results are shown in Figure 13. We observed that as boron amount increases, there is an increase in the 405 nm emission corresponding to a decrease in emission at 492 nm under both 270 and 300 nm excitation wavelengths.



**Figure 13** PL emission spectra of S<sub>4</sub>A<sub>7</sub> phosphors with changing boron amounts obtained under (a) 270 nm excitation, and (b) 300 nm excitation wavelengths.

### 2.3.5. Afterglow Persistence Characterization

To quantify the impact of boron in extending persistence, we measured the afterglow decay of the emission at 490 nm of the S<sub>4</sub>A<sub>7</sub> phosphors under ambient conditions. S<sub>4</sub>A<sub>7</sub> powders both with and without boron exhibited persistent luminescence. The afterglow decay characteristics of S<sub>4</sub>A<sub>7</sub> phosphor compounds were measured by using a custom-built optical bench PL system as described in Section 2.2.6. The boron-containing samples luminesced for longer than 14 hours, after being illuminated with a UV light source (365 nm) for 10 minutes, whereas, the persistence duration of the boron-free compounds lasted only a few minutes (Figure 14). These decay characteristics are consistent with other work reported in the literature<sup>11</sup>. S<sub>4</sub>A<sub>7</sub> powders both with and without boron exhibited persistent luminescence in a two-step decay mechanism: a fast decay in intensity followed by a much slower decay profile, as shown in Figure 14.



**Figure 14** Afterglow intensity decay of  $S_4A_7$  phosphors calcined at  $1150^\circ\text{C}$ , containing no boron, 0.5, 2, and 4.5 mol% B as determined by ICP-OES analysis

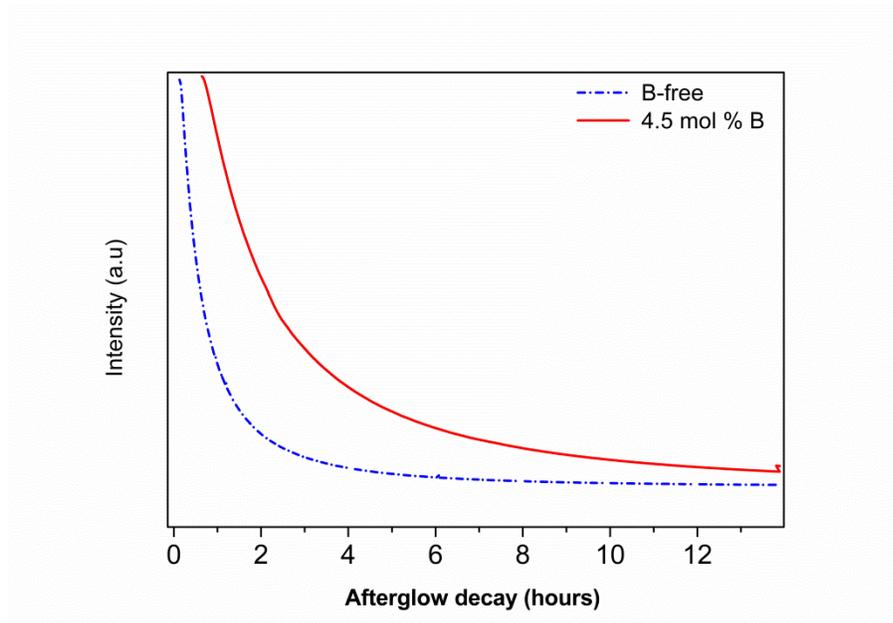
The two obvious slopes in the decay curves suggested that there must be two independent de-trapping mechanisms. Curve-fitting, using Equation 2, enabled quantification of the decay parameters of the two mechanisms controlling the afterglow decay in the SAEDB compounds. The decay constant parameters that were extracted by curve-fitting are listed in Table 7.

$$\frac{I}{I_0} = A_1 \exp(-t/\tau_1) + A_2 \exp(-t/\tau_2) \quad \text{Equation 2}$$

**Table 7** Decay lifetimes of  $\text{Eu}^{2+}$  and  $\text{Dy}^{3+}$  co-doped  $\text{S}_4\text{A}_7$  compounds with varying amounts of boron incorporation.

| B content (mol%) | Decay lifetime(hours) |          |
|------------------|-----------------------|----------|
|                  | $\tau_1$              | $\tau_2$ |
| B-free           | 0.39                  | 0.08     |
| 0.5 mol% B       | 0.44                  | 1.71     |
| 2.0 mol% B       | 1.89                  | 0.41     |
| 4.5 mol% B       | 1.23                  | 4.69     |

As described in the previous section 2.3.2 (see Figure 10), boron-free samples yielded  $\text{S}_4\text{A}_7$  phase if calcination was performed at  $1300^\circ\text{C}$ . Therefore, we have performed afterglow decay measurements with boron-free and 4.5 mol% B containing  $\text{S}_4\text{A}_7$  compounds that were calcined at  $1300^\circ\text{C}$ . Because the extended afterglow was much longer in the B-doped compound, we conclude that the dominance of the  $\text{S}_4\text{A}_7$  phase alone is insufficient for long persistence. Instead, these results unequivocally emphasize the B incorporation is necessary for extending persistence in  $\text{S}_4\text{A}_7\text{ED}$  phosphors.



**Figure 15** Afterglow decay curve of boron-free and boron-containing  $S_4A_7$  phosphors obtained at  $1300^\circ\text{C}$  calcination temperature.

## 2.4. Discussion

To elucidate the effect of boron, we first evaluated its impact on processing and the equilibrium crystal structure. Phase analysis by XRD showed that presence of boron facilitates the formation of a slightly sub-stoichiometric  $S_4A_7ED$  equilibrium phase. ICP revealed that Al/Sr ratio is consistent with the actual stoichiometric ratio of  $S_4A_7$ . The difference between the calculated cation ratios and the theoretical cation ratio could be due to preparation of the samples for ICP analysis. Complete dissolution of the powders may have not achieved during sample preparation and this might lead to inaccurate results by means of cation ratio. On the other hand, heat treatment was carried out by DTA using alumina crucibles, where B and Al might be incorporated into. On the other hand, the Eu and Dy amounts varied between *ca.* 0.6 -0.9 mol%, which is also close to the nominal value, 1 mol%.

Correlated thermal and phase investigations showed that incorporation of boron during synthesis facilitates the formation of  $S_4A_7$  as the dominant equilibrium phase. According to thermograms obtained at  $10^\circ\text{C min}^{-1}$  heating rate (see Figure 1), there is a large exothermic region starts around  $800^\circ\text{C}$  and continues up to *ca.*  $1200^\circ\text{C}$ . To estimate the crystallization temperature of the  $S_4A_7$  phase, we designated thermal stop points before and after the sharp exotherm at *ca.*  $930^\circ\text{C}$ . At  $1150^\circ\text{C}$ , we began to see the formation of the  $S_4A_7$  phase, along with small fractions of  $SA_2$  and  $SA_6$ . Rietveld analysis showed that the  $S_4A_7$  content was 79.63% after 2 hours calcination, 88.55% after 5 hours and 99.46% after 10 hours of calcination duration. This result showed that 10 hours of calcination is necessary for complete crystallization of  $S_4A_7$ . It was also observed that, in the absence of the boron, the formation of the  $S_4A_7\text{ED}$  phase can only be achieved at  $1300^\circ\text{C}$ .

Phase determination of the samples obtained during TG-DTA investigations showed that the boron-containing powders contained *ca.* 99%  $S_4A_7$  phase, whereas the boron-free sample contained only *ca.* 54%  $S_4A_7$  phase, along with  $SA$ ,  $SA_2$  and  $SA_6$ . This is clear evidence that boron is facilitating the  $S_4A_7$  phase formation by lowering the kinetic energy barrier.

Photoluminescence spectra of the compounds showed the typical features of  $S_4A_7$  host lattice. The spectra were consistent with ones, for which two crystallographically non-equivalent  $\text{Sr}^{2+}$  sites were replaced by  $\text{Eu}^{2+}$ . Afterglow decay curves revealed that there are at least two trapping mechanisms, which controls the persistent luminescence duration. On the other hand, persistence luminescence of the  $S_4A_7$  phosphors showed enhanced persistence behavior as boron amount was increased. However, without boron, luminescence could still be obtained, but persistence couldn't be observed.

## 2.5. Conclusions

Thermal and structural investigations showed that boron is necessary to obtain the  $S_4A_7$  phase at lower processing temperatures of the modified Pechini method. Although the ICP results revealed that the Sr:Al ratio of the compound produced was consistent with the

theoretical stoichiometric value, the actual B content differed from the nominal value. The presence of B is necessary for significant afterglow persistence, although its presence alone does not ensure afterglow. Afterglow decay measurements reveal that the afterglow intensity was observable for ~14 hours in S<sub>4</sub>A<sub>7</sub>ED phosphors doped with boron and produced by the modified Pechini process.

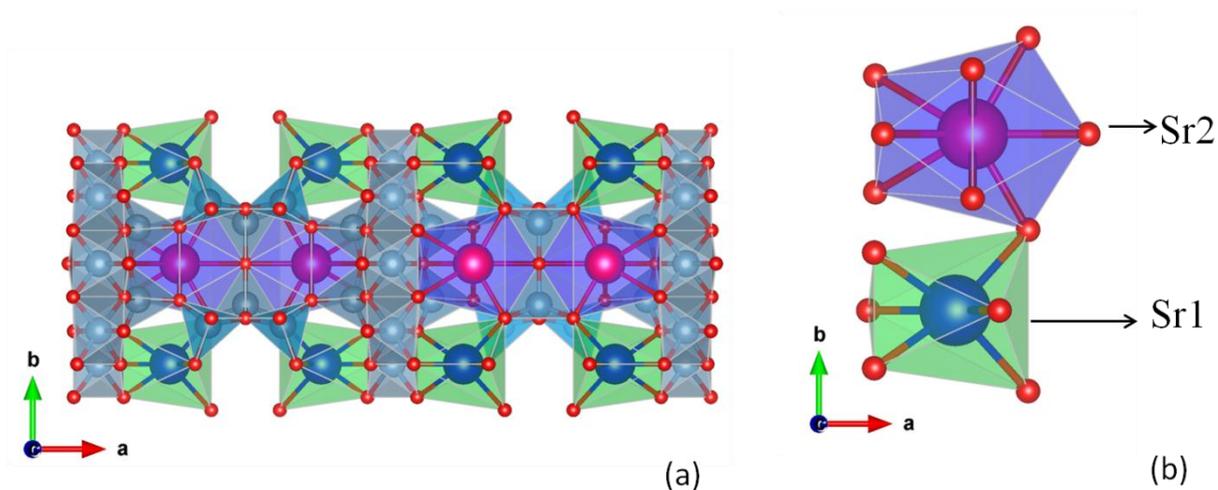
## CHAPTER 3:

### A CRYSTAL STRUCTURE INVESTIGATION OF LONG PERSISTENCE B, Eu, Dy CO-DOPED $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$ WITH ATOMIC SCALE RESOLUTION

#### 3.1. Introduction

Among the different phases in strontium aluminate phosphors, two of them, the  $\text{SrAl}_2\text{O}_4$  and the  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  ( $4(\text{SrO}) \cdot 7(\text{Al}_2\text{O}_3)$ , or  $\text{S}_4\text{A}_7$ ) phase show extremely long persistence, in excess of 14 hours, when doped with  $\text{Eu}^{2+}$ ,  $\text{Dy}^{3+}$ , and B. Much experimental work and some computational studies have been devoted to understanding what enables the extended afterglow. The current consensus is that the long persistence is due to the clustering of point defects. However, only global measurements of the structure and properties of these compounds have been performed. To image the point defects, we take advantage of the sub-angstrom probe in a spherical aberration-corrected scanning transmission electron microscope (STEM) to peer into the atomic arrangements. In this report, we present the results of atomic resolution annular dark-field and annular bright-field imaging in the STEM, showing the crystal structure and dopant positions.

The  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  compound is a low symmetry structure of Pmma/51 space group. Its orthorhombic unit cell has parameters of  $a = 24.7451 \text{ \AA}$ ,  $b = 8.4735 \text{ \AA}$ , and  $c = 4.8808 \text{ \AA}$  with  $\alpha = \beta = \gamma = 90^\circ$ . As shown in Figure 16, the structure is defined by parallel columns of  $\text{AlO}_4$  and  $\text{AlO}_6$  units. Cavities in this network are provided charge balance by  $\text{Sr}^{2+}$  cations. There are two non-equivalent  $\text{Sr}^{2+}$  sites, which are distorted octahedra: a  $4i$  Wyckoff position (Sr1) and a  $4j$  Wyckoff position (Sr2).<sup>5, 28-30</sup> The average Sr-O interatomic distance of Sr2 is  $2.7561 \text{ \AA}$  and of Sr1 is  $2.6356 \text{ \AA}$ . Their individual distances are  $2.8735, 2.6734, 2.7923, 2.6542, 2.7541 \text{ \AA}$ , and  $2.6079, 2.5118, 2.4936, 2.8309, 2.6982 \text{ \AA}$ , respectively. Ionic radii of Sr1 and Sr2 sites are  $1.26$  and  $1.21 \text{ \AA}$  respectively<sup>31</sup>. In the S4A7 unit cell, there are also six crystallographically non-equivalent  $\text{Al}^{3+}$  sites, where Al1, Al2, Al3 makes distorted tetrahedra having average interatomic distances of  $1.7347, 1.7377, 1.7494 \text{ \AA}$ , respectively, and Al4, Al5, Al6 sites makes distorted octahedra with  $1.9108, 1.9003, 1.9938 \text{ \AA}$  interatomic average distances. The individual Al-O distances and Wyckoff positions of each site are listed in Table 8.



**Figure 16** (a)  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  unit cell projected on (001) axis. (b) Individual Sr1 and Sr2 polyhedra shown on (001) projection.<sup>32</sup>

**Table 8** Average and individual Al-O distances, coordination number and Wyckoff positions of 6 different Al<sup>3+</sup> sites in S<sub>4</sub>A<sub>7</sub> unit cell.

|      | Average Bond Length | CN | A-O bond distance  | Wyckoff Site |
|------|---------------------|----|--|--------------|
| Al1  | 1.7347 Å            | 4  | (A1-O2) = 1.69131<br>(A1-O7) = 1.74205<br>(A1-O3) = 1.75772<br>(A1-O4) = 1.74788   | 8l           |
| Al2  | 1.7377 Å            | 4  | (A2-O1) = 1.71319<br>(A2-O2) = 1.77549<br>(A2-O8) = 1.72742<br>(A2-O6) = 1.73470   | 8l           |
| Al 3 | 1.7494 Å            | 4  | (A3-O4) = 1.79809<br>(A3-O3) = 1.72832<br>(A3-O3) = 1.72832<br>(A3-O9) = 1.74307   | 4k           |
| Al4  | 1.9108 Å            | 6  | (A4-O5) = 1.88101<br>(A4-O8) = 1.93084<br>(A4-O1) = 1.92046<br>(A4-O1) = 1.92046<br>(A4-O5) = 1.88101<br>(A4-O8) = 1.93084 | 4g           |
| Al5  | 1.9003 Å            | 6  | (A5-O1) = 1.92867<br>(A5-O1) = 1.92867<br>(A5-O5) = 1.84352<br>(A5-O1) = 1.92867<br>(A5-O1) = 1.92867<br>(A5-O5) = 1.84352 | 2c           |
| Al6  | 1.9938 Å            | 6  | (A6-O8) = 1.91781<br>(A6-O8) = 1.91781<br>(A6-O6) = 2.14569<br>(A6-O8) = 1.91781<br>(A6-O6) = 2.14569<br>(A6-O8) = 1.91781 | 2b           |

High angle annular dark field (HAADF) imaging at the atomic resolution gives direct chemical information on the projected atomic columns and the structure. The inherently high contrast in such images is due to the fact that the strength of scattering of incident electrons strongly correlates to the atomic number of the constituent atoms of the specimen. Thus, strong scatterers appear as bright spots, whereas weaker scatterers appear darker. In the case of HAADF imaging, the contrast in the image stems from the chemical nature of the atomic mass, as well as the density of atoms in an atomic column. Moreover, most of the electrons collected at high angles have been thermally scattered, which is one of the factors that is affecting the image contrast. Since local variations in the structure will be reflected by the intensity and its variation (*i.e.*, contrast) of the images, it is an advantageous technique for probing dopant atoms. However, HAADF enables one to probe heavier atoms in the structure, while being less sensitive to the lighter atoms. Annular bright field (ABF) imaging enables the simultaneous detection of light and heavier atoms at the same time. The ABF detector is positioned with the inner rim on the outer edge of the direct-scattering cone, *i.e.* the incident beam disk, collecting the outermost signals from the low solid-angle scattering region. This configuration allows the collection of low-angle scattered electrons from low-Z atoms.

However, a major difference between ABF-STEM images and HAADF-STEM images lies in the physics of image formation. While HAADF image contrast scales directly with atomic number, ABF-STEM image contrast arises from two components—coherent interference resulting in thickness-dependent phase variation and incoherent, inelastic scattering—, and the dependence of Z becomes more complicated. To understand this qualitatively, a relatively simple conceptual model was proposed by Findlay *et al.*<sup>33</sup> The probe positioned over the inter-columnar space passes directly through the hole in the ABF detector, serving as the specimen-free reference. The probe positioned over a column of light elements results in channeling of the electrons in the specimen-free, inter-columnar space, focusing the intensity in the forward direction through the hole in the ABF detector. In this case, the light-atom column appears darker than the background. On the other hand, the probe positioned over a column of heavy elements is strongly scattered over high angles, and is thus more susceptible to thermal diffuse scattering. The signal intensity is

thus also decreased below that of the background, although due to a wholly different mechanism.

ABF images can also be directly interpreted as HAADF images, such that intensity variations corresponding to atomic columns can be identified in terms of structure and chemistry. While thickness and defocus variations have limited effect over a wide solid-angle range in HAADF imaging, there is a somewhat stronger dependence in annular bright field image formation, thereby mandating image simulations for correct interpretation of experimental ABF images.<sup>34</sup>

In order to elucidate the crystal structure of Eu, Dy, and B co-doped  $S_4A_7$ , we adopted the following methodology: i) synthesize phase-pure  $S_4A_7$ EDB—verified by globally averaged analysis using Rietveld refined phase analysis of x-ray diffractograms; ii) analyze the theoretical crystal structure to identify optimal zone axes for imaging the distinct sublattices; iii) tilt onto low-index zone axes of interest; iv) record experimental images in AR-STEM; v) interpret the experimentally acquired images by simulating AR-STEM images from theoretical crystal structure models and instrumental parameters.

## 3.2. Experimental

### 3.2.1. Processing and Phase Determination of SA Phosphors

$((SrO)_4 \cdot (Al_2O_3)_7)_{0.98-x} Eu^{2+}_{0.01} Dy^{3+}_{0.01} B_x$  stoichiometric compounds with 4.5 mol% B and without boron, were synthesized by a modified sol-gel process, as described in detail in Chapter 2.

To determine, at the global level, the phases in the powder produced, we performed XRD measurements which have been described in Chapter 2. Rietveld phase analysis was performed on the XRD data for quantifying the phases present in boron-free and boron-

containing S<sub>4</sub>A<sub>7</sub> compounds, and these results have already been discussed in detail in Section 2.3.2.

### 3.2.2. S/TEM Image Simulation by QSTEM

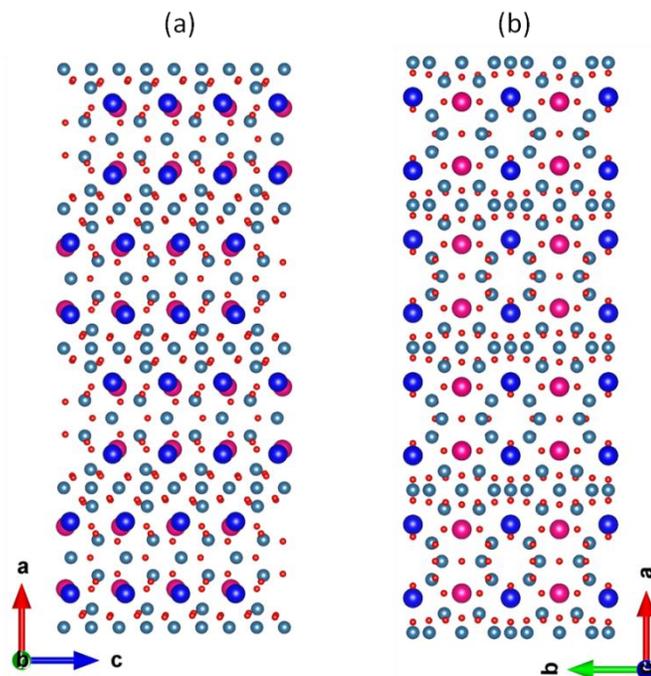
In order to determine the conditions of imaging and quantifying the microstructure Sr<sub>4</sub>Al<sub>14</sub>O<sub>25</sub> phosphors, we carried out quantitative image simulations by QSTEM<sup>21</sup>, an image simulation software. This image simulation software gives control over both the crystal structure model and imaging conditions of the microscope.<sup>21</sup> The crystal structure file was obtained from FindIt software, contains the crystal structure information from the ICSD

Resolving ionic sub-lattices that may contain boron will explain the role of boron in extended persistence. Preliminary work for S/TEM experiments shall be planned rigorously beforehand, as it serves as a road map during microscopy session. Therefore, we have developed a four-step methodology: i) Determination of projection axes to resolve ionic sub-lattices, ii) S/TEM image simulations on determined zone axes, iii) tilting the crystal structure onto low indexed zone axes, and finally iv) S/TEM imaging of Sr, Al and O sub-lattices.

The ideal candidates would be along a low-index zone axis, where the Sr, Al, and O atoms are sufficiently separated, such that they would be distinguishable. No single axis was suitable for simultaneous imaging of all 3 sub-lattices. Instead, a {01 $\bar{1}$ } -type of lattice was best for the Sr cation sub-lattice, while a {001} was more appropriate for resolving the sub-lattices of the lighter elements, Al and O.

As shown in Figure 17b, in the (001)-projection, Sr atoms are well aligned, and with Sr1-Sr1 inter-atomic distances *ca.* 5.548 Å, Sr2-Sr2 6.384 Å and they should be resolvable along this ZA using a *ca.* 1 Å-diameter probe. However, Al and O atom positions are close to each other, even they seem to overlap, thus, it would be difficult to resolve these sub-lattices.

In the (010)-projection (Figure 17a), Al and O atomic positions are more separated, *i.e.* more “resolvable”, compared to other projection axis which makes it preferred over the other projection axis (see Figure 17a,b)



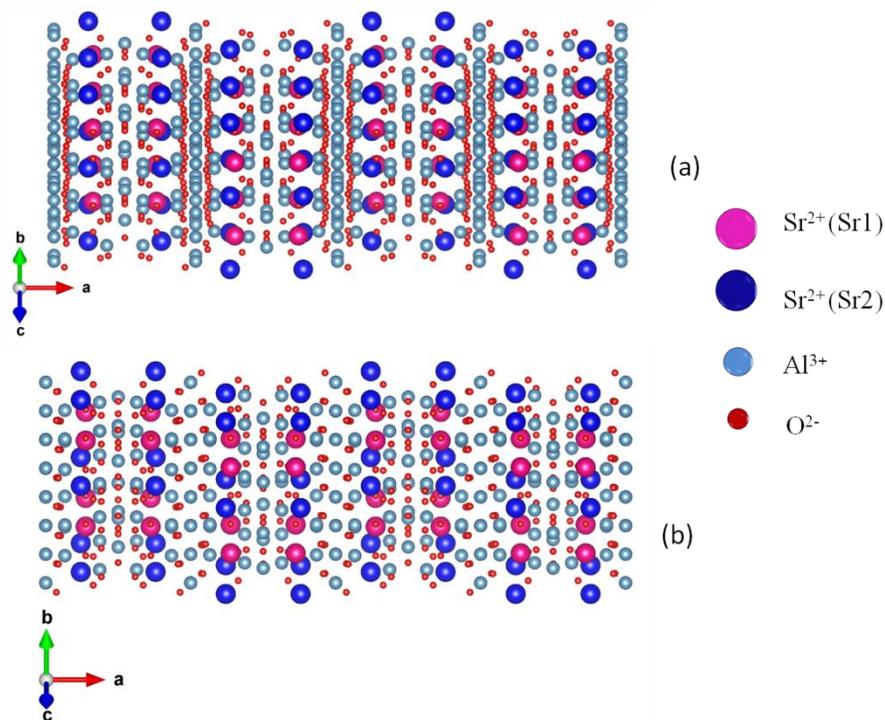
**Figure 17** Projections obtained on (010) and (001) for the  $S_4A_7$  unit cell.

In the (001)-projection, the Sr sub-lattice has a regular orientation, thus it is possible to resolve them clearly in this projection with Z-contrast imaging techniques. According to the ICSD database, there are two different Sr sites present in  $S_4A_7$  phase, and we were expecting to resolve the difference between these two sites. Due to the fact that each different Sr site coordinates with a different arrangement of O ions, each has a different Debye-Waller factor, which defines their thermal vibrations with respect to its position in the crystal structure. Due to having well separated Sr columns in the (001) projection, tilting onto the  $\langle 001 \rangle$ -zone axis is suitable for resolving the Sr sub-lattice by Z-contrast imaging.

In the (010)-projection, we aimed to resolve the Al and O ionic sub-lattices. In this projection, Al and O ions are positioned with sufficient separation from each other, in

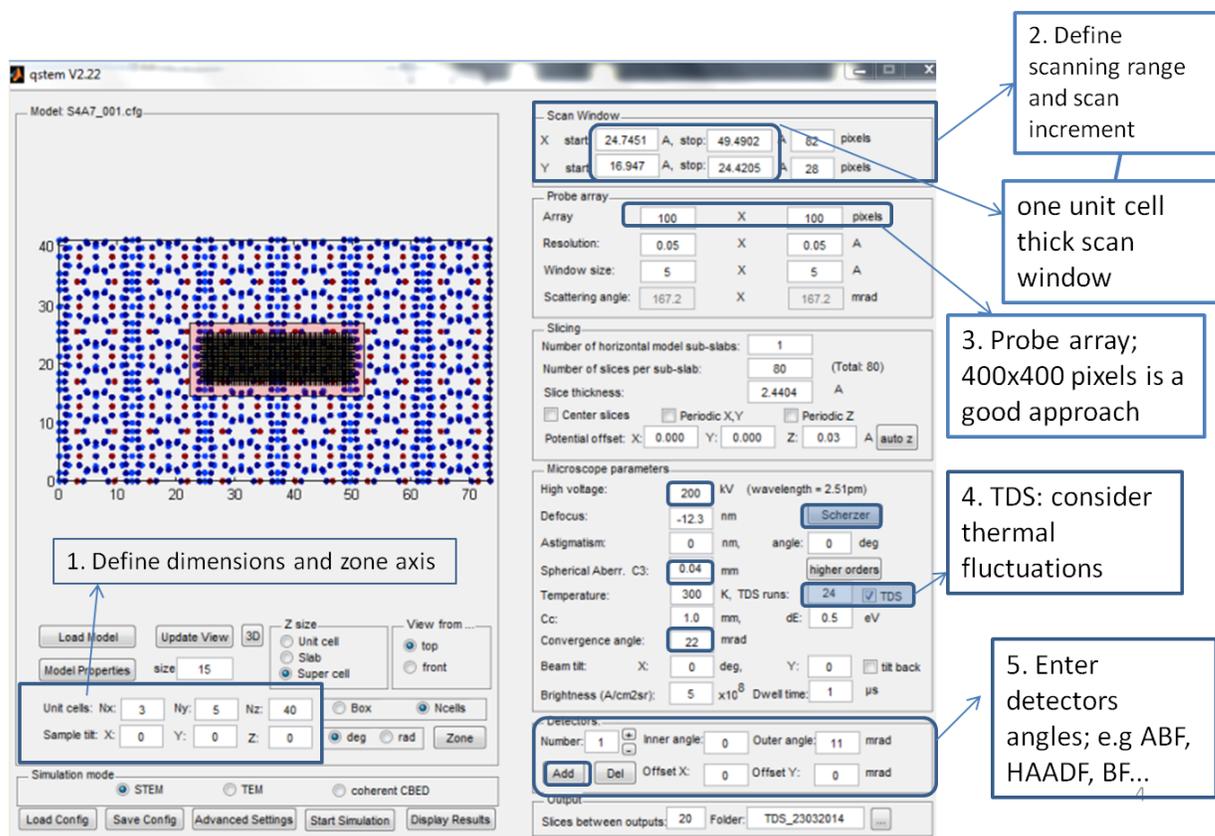
contrast to other projections, which makes this projection ideal for resolving these sub-lattices. It should be noted that the two different Sr sites (indicated by pink and dark blue atoms) are slightly offset and form rows of Sr couples oriented in parallel in the projection plane, with alternating rows tilted in parallel with mirror symmetry, *i.e.*, with a mirror plane defined by the *b*- and *c*-axes. Although the Al and O sub-lattices do not show substantial differences, the slightly offset Sr atoms may be an advantage as they appear elliptical in projection. In the *Z*-contrast images, they would appear as bright elliptical features assembled in rows of alternating orientation.

Figure 18 shows (012)- and (013)-projections of  $S_4A_7$  structure. Note that in the (012)-projection, columns of Sr cations are also aligned. However, in the (013)-projection, the Sr1 and Sr2 atomic positions are also resolvable, being positioned slightly further apart (see Figure 18b). Moreover, in the (013)-projection, an alternating periodicity in interatomic spacing between two neighboring Sr atoms has a distinct symmetry, facilitating identification of the zone-axis for aligning the crystal in the STEM, in addition to facilitating the atomically resolved imaging.



**Figure 18**  $S_4A_7$  supercell structure (a) in the (012)- and (013)-projections.

After we have defined the candidate zone axes determination in the previous step, we continued with quantitative S/TEM (scanning/transmission electron microscopy) image simulations of the  $S_4A_7$  crystal structure. Simulation via QSTEM consists of three main steps: entering the crystal structure model, the image formation parameters, and the microscope settings. The QSTEM configuration window for STEM image simulation is shown in Figure 19.



**Figure 19** QSTEM image simulation: the configuration window annotated for showing the image simulation parameter sections for crystal structure, simulated image resolution, and actual microscope settings.

The crystal structure to be analyzed in QSTEM should be prepared in a special text file format, \*.cfg, which is shown in Figure 20. This file contains the spatial atomic coordinates (x, y, z positions), the Debye-Waller factor, which defines the thermal vibration of an atom

relative to its position in the lattice and defines the effect of its coordination with the neighboring atoms, and the occupancy of each lattice position (see Figure 20). In part *a*, the structural model should be loaded and supercell parameters, such as size of the number of unit cell (the boundary of one supercell), can be adjusted. The next step is to define the boundaries of the scanning area, which we do in part *b*, where we define the scanning area of the probe and the probe resolution. The pixel size should be adjusted, such that each pixel forms a square (*e.g.*  $0.3 \times 0.3 \text{ \AA}^2$ ). Boundaries of the scanning area should be adjusted such that each scan area equals to one unit cell dimension. This kind of sorting enables duplication of the simulated image, after quantitative image simulation finishes. As a final step (part *c*), the actual microscope configuration used during experimental image collection should be defined. All quantitative image simulations were obtained by the following microscope conditions: *32 mrad convergence angle*,  $C_S=0.04 \text{ mm}$ ,  $C_C=1.4 \text{ mm}$ . These are defined to be the closest values to the actual configuration of our JEOL ARM 200CF TEM. Quantitative image simulations were carried out for 4 different zone axes:  $\langle 001 \rangle$ ,  $\langle 010 \rangle$ ,  $\langle 012 \rangle$ ,  $\langle 013 \rangle$  and thermal diffuse scattering (TDS) was considered at each simulation for individual Sr, Al, and O ions. At larger scattering angles, the thermal diffuse scattering of electron waves involves large momentum transfer, resulting in transitions between Bloch states. Practically speaking, the observable effects are changes image contrast. Thermal diffuse scattering was accounted in the simulation by using Debye-Waller (DW) factors: 0.8228 and 0.464 for Sr1 and Sr2, respectively; 0.7525, 0.39, 0.6043, 0.4336, 0.4592, and 0.3223 for Al1, Al2, Al3, Al4, Al5 and Al6, respectively.<sup>35</sup>

```
012_1_Eu atom.cfg - Notepad
File Edit Format View Help
Number of particles = 602
A = 1.0 Angstrom (basic length-scale)
H0(1,1) = 22.39644 A
H0(1,2) = 0 A
H0(1,3) = 0 A
H0(2,1) = 0 A #
H0(2,2) = 24.7451 A
H0(2,3) = 0 A
H0(3,1) = 0 A
H0(3,2) = 0 A
H0(3,3) = 12.92629 A
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entry_count = 5
26
Al
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0.84 0.07 1.00 0.39 1
0.83 0.57 0.99 0.39 1
0.83 0.93 0.99 0.39 1
0.64 0.75 0.98 0.60 1
0.89 0.31 0.97 0.75 1
0.89 0.19 0.97 0.75 1
0.57 0.31 0.95 0.75 1
0.57 0.19 0.95 0.75 1
0.33 0.75 0.95 0.60 1
0.38 0.00 0.93 0.43 1
0.38 0.50 0.93 0.43 1
0.71 0.50 0.93 0.32 1
0.71 0.00 0.93 0.32 1
0.05 0.00 0.93 0.43 1
0.05 0.50 0.93 0.43 1
0.10 0.25 0.91 0.60 1
0.86 0.81 0.91 0.75 1
0.86 0.69 0.91 0.75 1
0.54 0.69 0.88 0.75 1
0.54 0.81 0.88 0.75 1
```

**Figure 20** The crystal structure file in CFG format showing a supercell oriented along  $\langle 012 \rangle$  zone axis.

STEM image simulations were performed with various detectors: BF, ABF, and HAADF detectors, in total eight different types of detectors were listed in Table 9. The sample thickness was increased from *ca.* 5 nm up to *ca.* 20 nm, in order to determine its effect on optimal imaging conditions such as image contrast, for resolving individual ionic sub-lattices.

**Table 9** Detector types and corresponding inner/outer radius values used during quantitative image simulations.

| Detector number/type | Inner radius (mrad) | Outer radius (mrad) |
|----------------------|---------------------|---------------------|
| 1 / BF               | 0                   | 11                  |
| 2 / BF               | 0                   | 23                  |
| 3 / ABF              | 20                  | 80                  |
| 4 / HAADF            | 90                  | 170                 |
| 5 / HAADF            | 45                  | 180                 |
| 6 / ABF              | 11                  | 22                  |
| 7 / HAADF            | 70                  | 175                 |
| 8 / HAADF            | 70                  | 135                 |

### 3.2.3. Imaging of S<sub>4</sub>A<sub>7</sub> Phosphors at Atomic Resolution

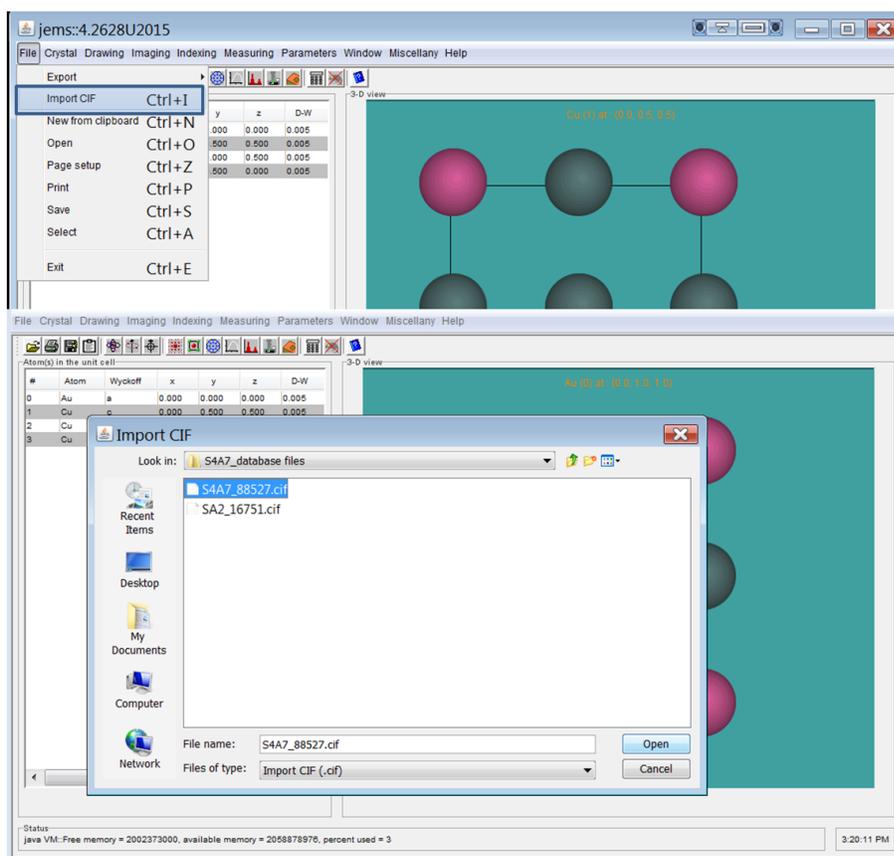
After we simulated HAADF- and ABF-STEM images on the pre-determined zone axes, we generated a diffraction-space road map using Kikuchi patterns to help us on atomic resolution microscopy investigations. Our JEOL ARM 200CF is equipped with an ultra-high resolution pole piece, meaning that specimen tilting is limited to  $\pm 20^\circ$ . With this limitation, we also identified the Kikuchi patterns for the zone axes nearby the ones of interest. Moreover, atomic resolution imaging would be performed on extremely thin regions, ones in which only spot diffraction patterns could be generated. These spot patterns would facilitate fine-tuning the tilting to as close along the zone axis direction as possible. In order to determine the zone axis geometry of the experimental diffraction patterns and HR/STEM images, we indexed the spot diffraction patterns using JEMS<sup>20</sup> software, which enables both the simulation of diffraction patterns from a known crystal structure at a specific zone axis.

To prepare a suitable specimen for atomically resolved STEM imaging, a lamella was cut from a single S<sub>4</sub>A<sub>7</sub>EDB particle using focused ion beam milling. High quality FIB-thinned lamellae are essential, with sufficiently and uniformly thin (*i.e.*, 10 to 50 nm in thickness)

regions free of artifacts and with minimal processing-induced damage (i.e. amorphous areas). In the TEM, a sufficiently thin region was located on the FIB lamella, and the specimen was tilted onto a low-indexed zone axis which does not exceed the physical tilting limitation of the microscope.

### 3.2.3.1. Electron Diffraction Pattern Catalog and Indexing Experimental Diffraction Patterns

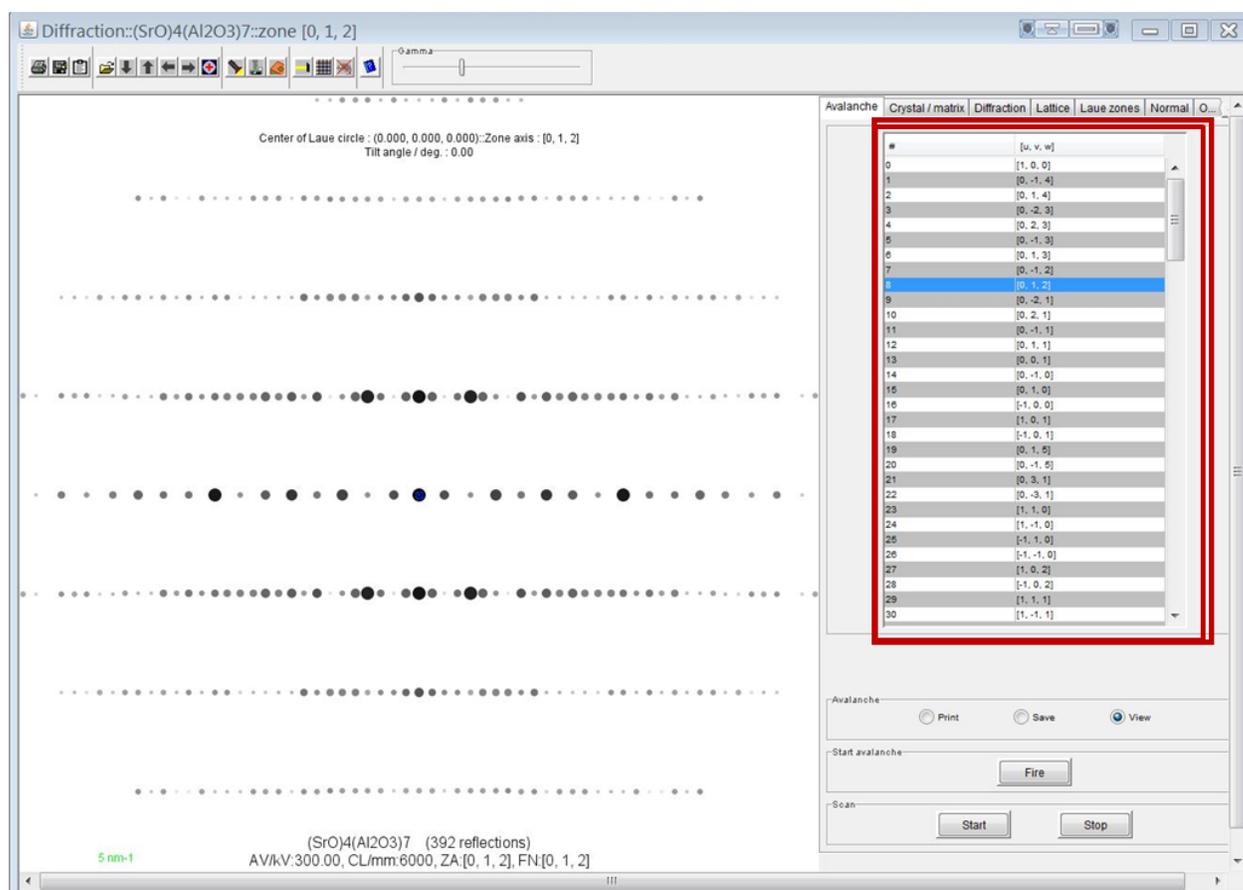
In order to simulate diffraction patterns on different zone axes, we first retrieved the crystal structure information from the ICSD database. The crystal structure information file contains the spatial position of each atomic site, the site occupancy, the Debye-Waller, Wyckoff position, etc. Upon retrieval of crystal information file from the ICSD database, it was uploaded into JEMS as shown in Figure 21.



**Figure 21** Loading the crystal information file and visualization of the unit cell.

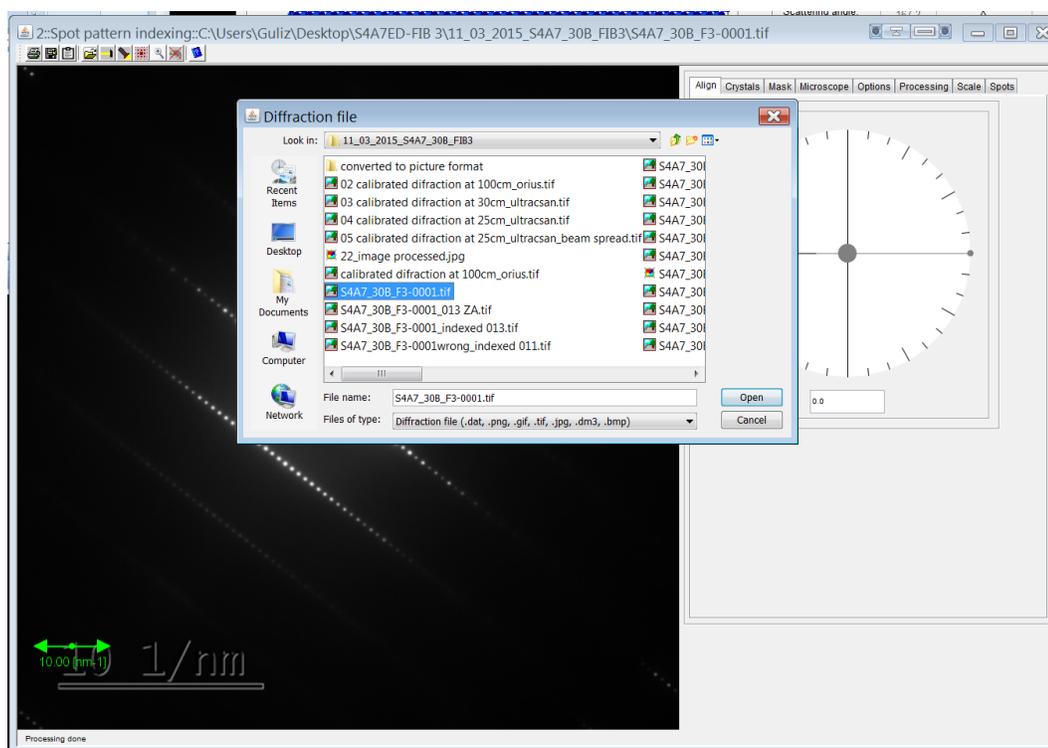
After the structure was defined, we generated an electron diffraction pattern of the uploaded structure, viewed along a particular zone axis—typically, this would be one that is accessible for our specimen. By selecting “draw diffraction pattern” under the “diffraction” tab, we obtained a list of all reflections. This tool necessitated going through each zone axis reflection until a good match was found. This task was often done prior to commencing the microscopy sessions, in order to facilitate identification of location in the diffraction space.

In addition, we may also use the spot pattern indexing tool. It requires defining the distances between spots of the same order and angle between the two spots. Once the characteristics of the pattern to be indexed are defined, the database is checked for a match.

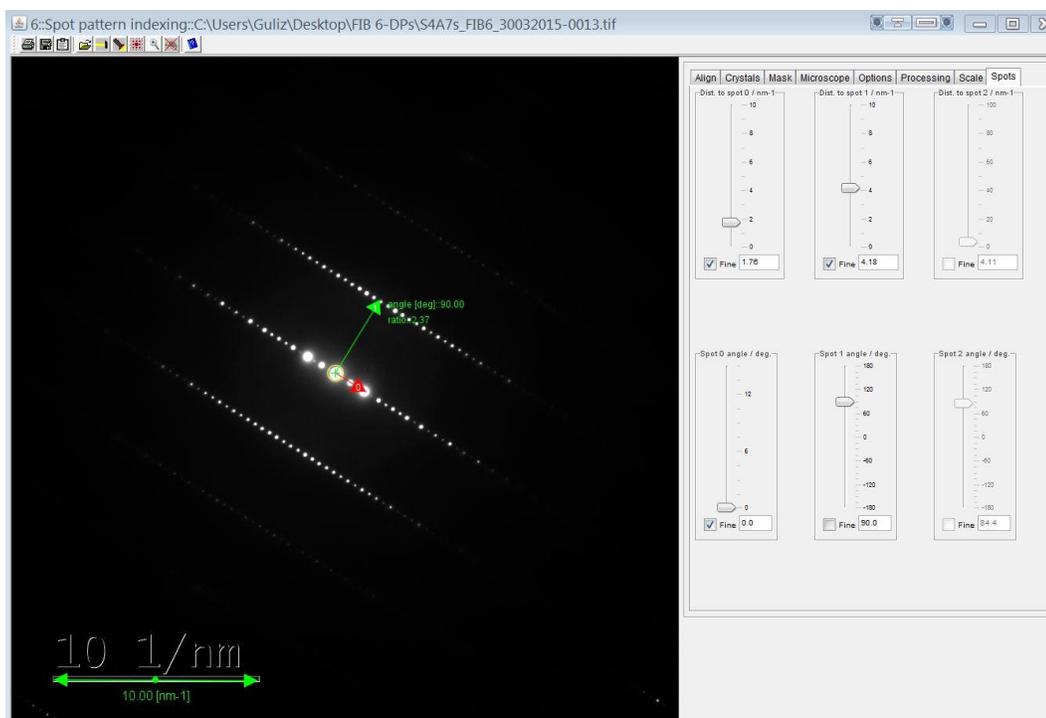


**Figure 22** Experimental diffraction pattern and a list of possible reflections on different zone axes, highlighted with a red window.

Images of the experimental diffraction patterns (DP) obtained during microscopy sessions were uploaded to JEMS software to be analyzed and indexed. First, the image was uploaded as shown in Figure 23. Next, the microscope configuration parameters, such as accelerating voltage, camera length, were defined. The scaling of the diffraction pattern was additionally defined. Then, the two basis vectors that define the interplanar distances for the entire crystal ( $d$ ,  $\text{nm}^{-1}$ ) were chosen simply by centering the origin and dragging the arrow heads onto diffraction spots as demonstrated in Figure 24. Angles and distances can be manually corrected, along with the order of the diffracted spot. Once a mask has been displayed to confirm the definition of the crystal structure (*i.e.*, basis vector lengths and angles) and microscope conditions, the diffraction pattern indexing routine was launched. This tool enabled an automated search in the DP database and suggested the best-matching DPs to the user.



**Figure 23** Uploading an experimental electron diffraction spot pattern on JEMS.



**Figure 24** Defining interplanar spacing vectors and scale of the experimental spot diffraction pattern.

Each electron diffraction spot pattern obtained during the course of the work was indexed by using the procedure described above.

After we tilted onto the low-index zone axes  $\langle 012 \rangle$  and  $\langle 013 \rangle$ , we recorded atomic-resolution STEM images using a 1-Å probe size, a 50-μm condenser lens aperture, and a 3-mm bright field aperture.

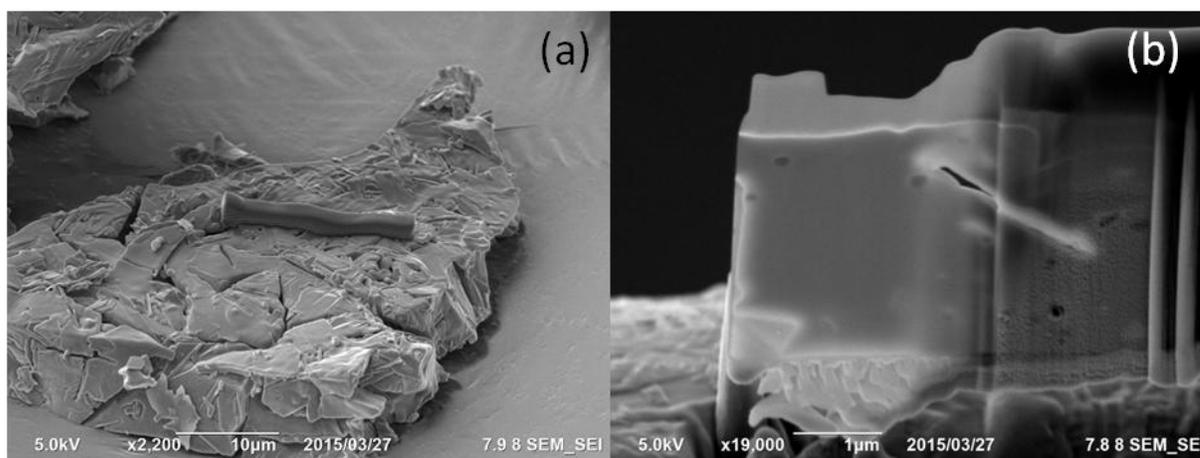
Each HRTEM image was recorded with its corresponding diffraction pattern, in order to determine the ZA and to assess how close we were tilted onto the ZA. If the specimen was not tilted to exactly along a zone axis, the HRTEM/STEM images would not be interpretable via simulations. The optimal specimen prepared by FIB technique offered large regions of uniformly thin area for imaging of the crystal lattices under high spatial resolution with an interpretable contrast transfer function.

After we tilted the specimen onto the  $\langle 012 \rangle$  zone axis and formed high resolution TEM images, we switched to scanning TEM (STEM) mode and continued to analyze the specimen. In this imaging mode, the specimen was scanned with a fine probe size (2.5 pm). Using different detector configurations such as bright field and dark field detectors, it would be possible to obtain chemical information from the crystal structure at the atomic level.

In this course of the work, we used two different annular detectors for image formation: the annular bright field detector (ABF) and high angle annular dark field (HAADF) detector.

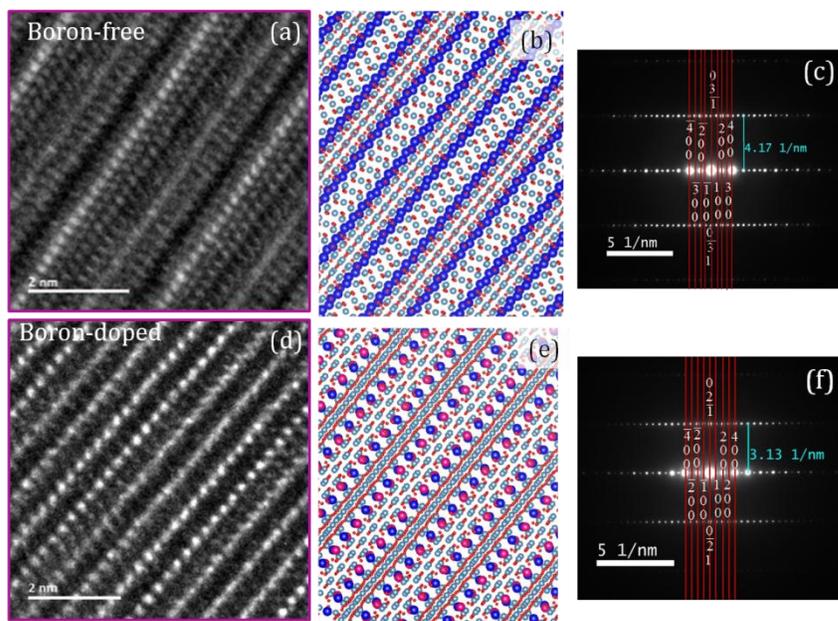
### 3.3. Results

Lamella samples were prepared by focused ion beam (FIB) thinning from a single powder particle. Single powder particle and its FIB thinned lamella were given in Figure 25a and 25b, respectively.



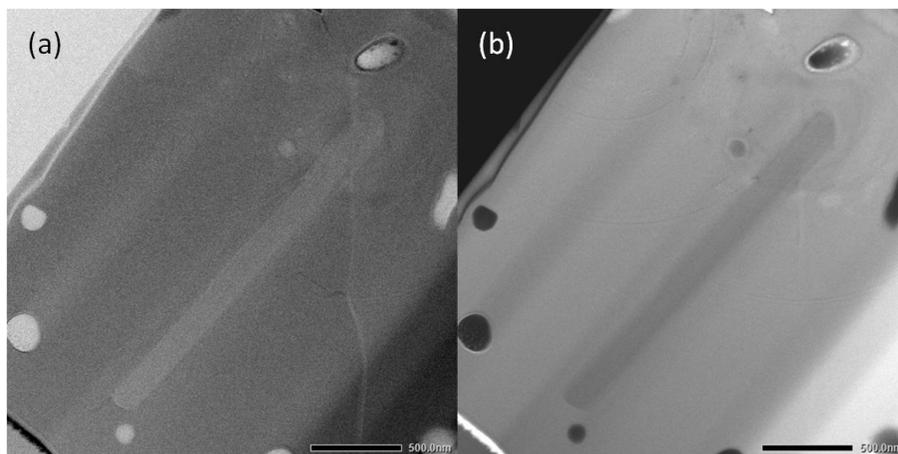
**Figure 25** (a) Boron-free  $\text{Eu}^{2+}$ ,  $\text{Dy}^{3+}$  co-doped  $\text{S}_4\text{A}_7$  powder particle and (b) FIB thinned lamella prepared from powder particle.

HRTEM images and corresponding diffraction patterns of  $S_4A_7$  phosphors with and without B specimen were given in Figure 26.



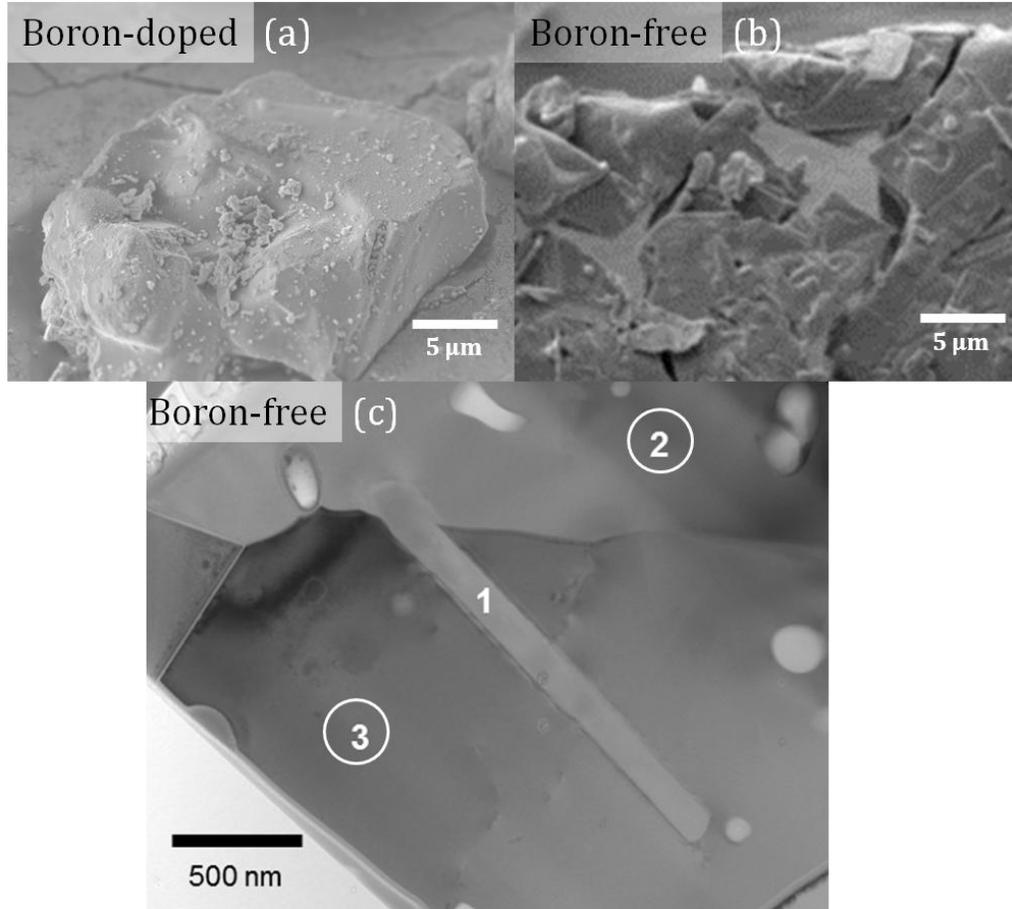
**Figure 26** HRTEM image of  $S_4A_7$  specimen (a) without B (b) its simulated structure with its diffraction pattern for the (c)  $\langle 013 \rangle$ -zone axis. (d) B-containing  $S_4A_7$  specimen's HRTEM image, along the  $\langle 012 \rangle$  zone axis, (e) its simulated structure and (f) diffraction pattern

An overview image of the specimen imaged with ABF is shown in Figure 27a, and the corresponding HAADF image is shown in Figure 27b. For these images, we used an 8-cm camera length with a 30-micron condenser lens aperture and a 3-mm BF aperture.



**Figure 27** Overall view of boron-free FIB thinned specimen obtained by (a) ABF and (b) HAADF detectors.

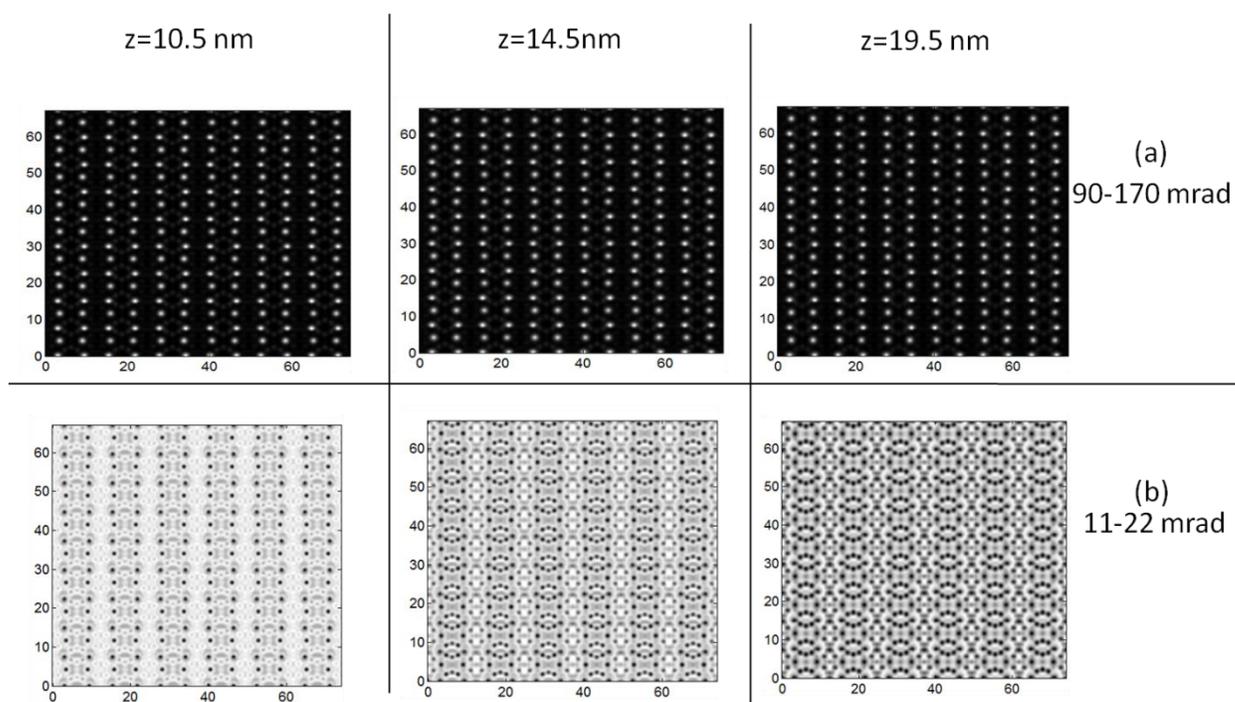
Imaging and diffraction analysis in the TEM showed that without B present, the SA particles consisted of aggregates of many grains, including some plate-like grains (see Figure 28 the grain labelled “1”). However, when B is present, such plate-like grains were not observed. Indexing the selected area diffraction pattern from the more equiaxed grains (the grains labelled “2” and “3” in Figure 28) revealed that these were of the orthorhombic  $Sr_4Al_7$  phase, consistent with spot electron diffraction patterns of boron-doped  $Sr_4Al_{14}O_{25}:Eu^{2+}, Dy^{3+}$  (see Figure 26).



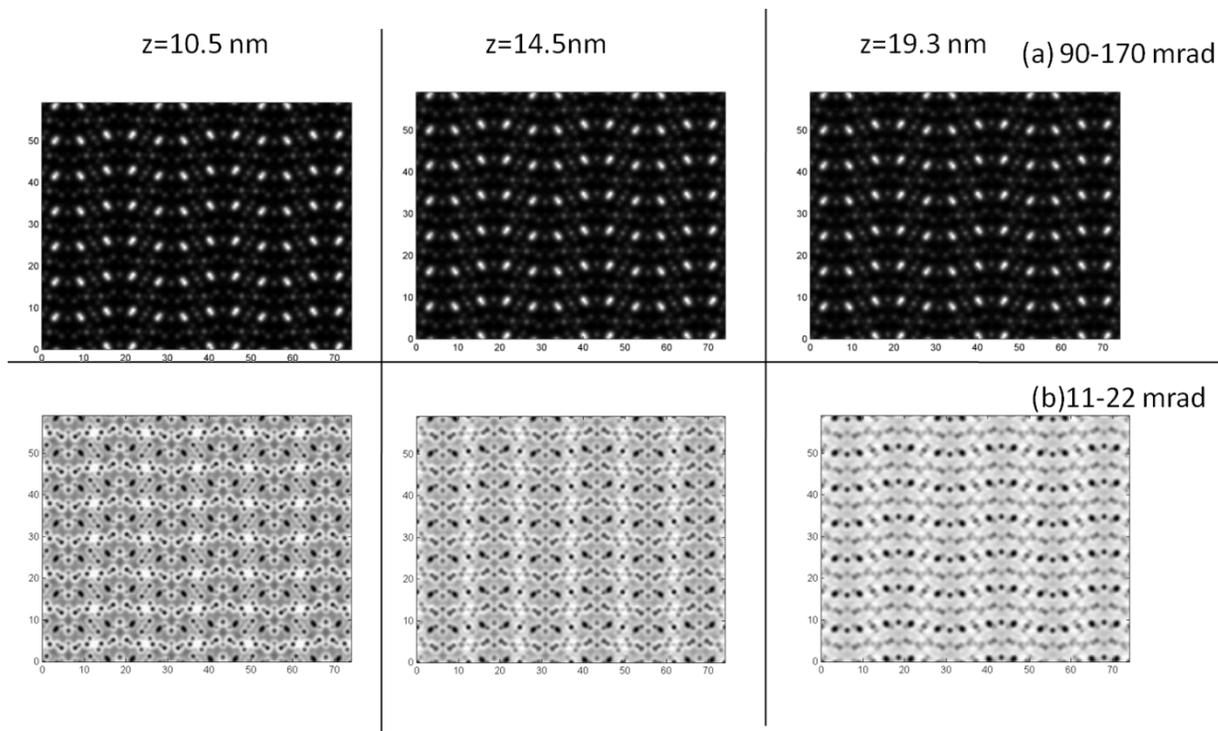
**Figure 28** (a) An SEM image of B-doped and (b) B-free  $S_4A_7ED$  particles. (c) A bright-field TEM image showing the polycrystalline microstructure of the boron-free  $S_4A_7ED$  compound. Note the plate-like grain 1, which is not present in B-doped specimen; the equiaxed  $S_4A_7$  grains at points 2 and 3.

In order to interpret the experimentally obtained AR-STEM images, we performed quantitative AR-STEM image simulations for each of the following axes:  $\langle 001 \rangle$ ,  $\langle 010 \rangle$ ,  $\langle 012 \rangle$ , and  $\langle 013 \rangle$  zone axes. The detector settings are summarized in Table 9. We varied sample thicknesses and detector solid angles, in order to determine the optimal imaging conditions for resolving each ionic sub-lattice. The crystal structure model was prepared by importing the crystal information file, which was exported from the ICSD database as a \*.cif file. Then, the structure file was imported to the JEMS software, and the zone axis orientation was determined (*i.e.*,  $\langle 012 \rangle$ ). At the end of this process, an orthogonal supercell was generated, and saved using a \*.cfg file format (see Figure 20). Annular bright field and

high angle annular dark field (*Z*-contrast) simulations obtained for  $\langle 001 \rangle$  and  $\langle 010 \rangle$  zone axes are presented in Figure 29 and 30. By using the HAADF detector over a collection solid angle range of 90-170 mrad, we expected to be able to resolve the Sr sub-lattice. For the Al-O sub-lattice, we simulated images formed from ABF detected signals over a collection solid angle range of 11-12 mrad. The conditions used for simulating the images, including thermal diffused scattering, were 32 mrad convergence angle,  $C_S = 0.04$  mm,  $C_C = 1.4$  mm, -12.3 nm Scherzer defocus, 0.5 eV energy resolution, and a 200 Kev accelerating voltage.



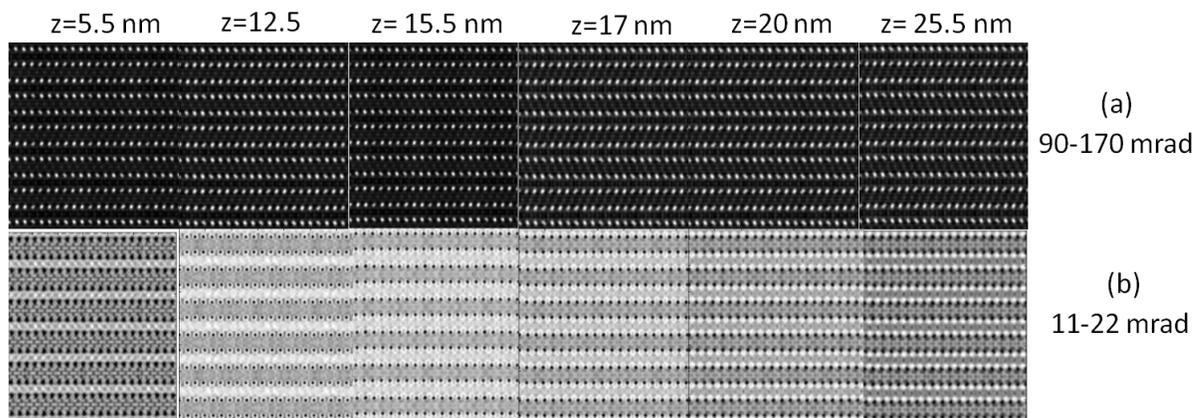
**Figure 29** STEM image simulations for  $S_4A_7$  along  $\langle 001 \rangle$  zone axis from signals collected by (a) the high angle annular dark field detector with 90-170 mrad angle and (b) the annular bright field detector with 11-22 mrad.



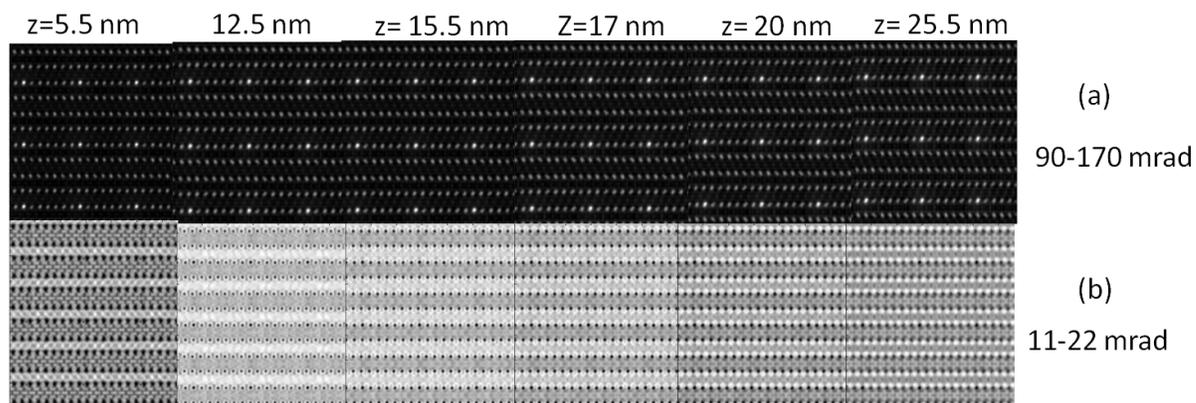
**Figure 30** STEM image simulations obtained for  $S_4A_7$  along the  $\langle 010 \rangle$  zone axis generated by (a) the high angle annular dark field detector with 90-170 mrad angle and (b) the annular bright field detector with 11-22 mrad.

Two more supercells were generated using the JEMS software, along the  $\langle 012 \rangle$  and  $\langle 013 \rangle$  zone axes, containing 602 and 344 atoms respectively. Eu is known to enter the  $S_4A_7$  crystal structure by replacing Sr atoms and becomes the optically active  $Eu^{2+}$  center upon reduction of  $Eu^{3+}$ . Since Eu atoms are heavier than strontium atoms ( $Z_{Sr} = 38$ ,  $Z_{Eu} = 63$ ), Eu atoms should in principle appear brighter in Z-contrast imaging. In order to see how the Eu dopants would appear in an  $S_4A_7$  crystal structure using HAADF- and ABF-STEM imaging techniques, we first generated a crystal structure model containing Eu-dopant atoms, and simulated the images for a  $\langle 012 \rangle$ -ZA-oriented supercell structure. One Sr cation at the position ( $x = 0.41$ ,  $y = 0.62$ ,  $z = 0.82$ ) was replaced by one Eu atom, and STEM images were simulated for HAADF and ABF detectors. Because a pure  $S_4A_7$  unit cell structure contains 86 atoms, and considering that we doped the structure with 1 mol% Eu, corresponding to 1 Eu ion per 100 atoms, each unit cell may contain only 0.86 Eu ions per

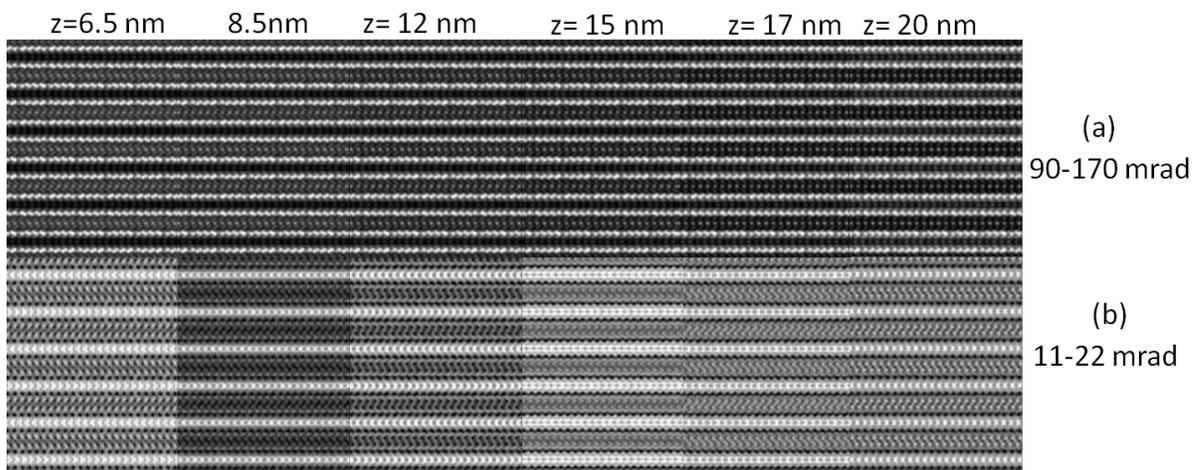
unit cell. Therefore we considered only one Eu atom in a unit cell while performing the simulations. The simulated STEM micrographs are shown in Figure 31-34.



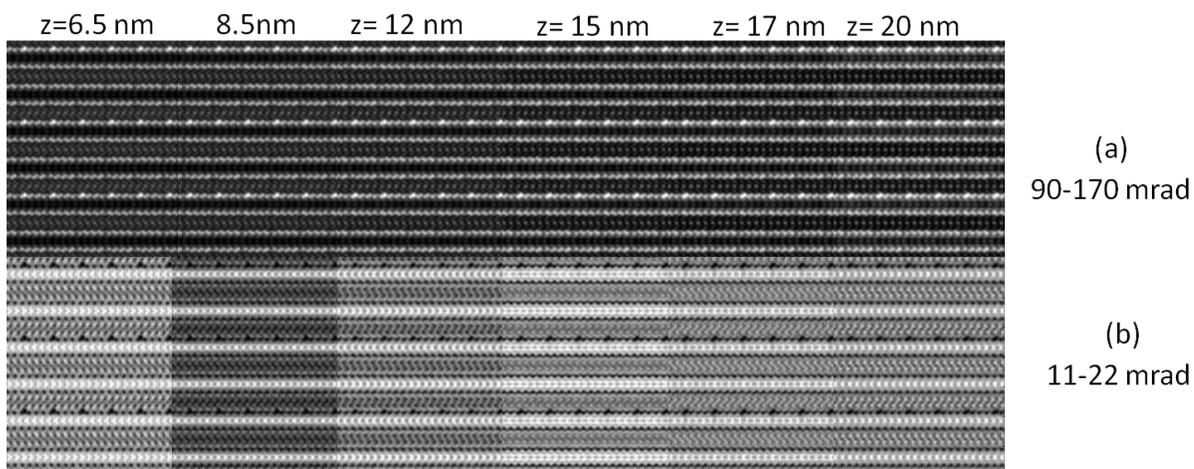
**Figure 31** (a) HAADF and (b) ABF image simulations of undoped (pure)  $S_4A_7$  structure as viewed in the (012)-projection.



**Figure 32** (a) HAADF and (b) ABF image simulations of the Eu-doped  $S_4A_7$  structure as viewed along the (012)-projection.

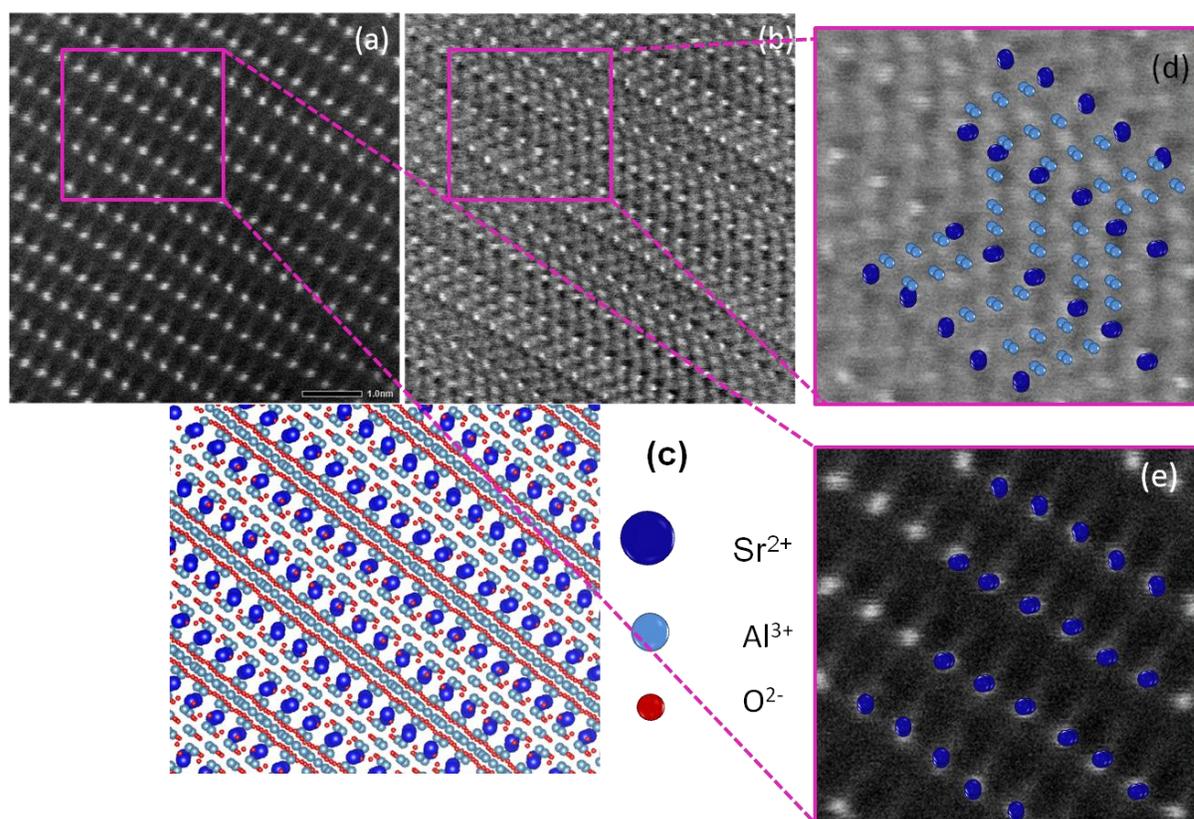


**Figure 33** (a) HAADF and (b) ABF image simulations of the undoped  $S_4A_7$  structure as viewed along the (013)-projection.

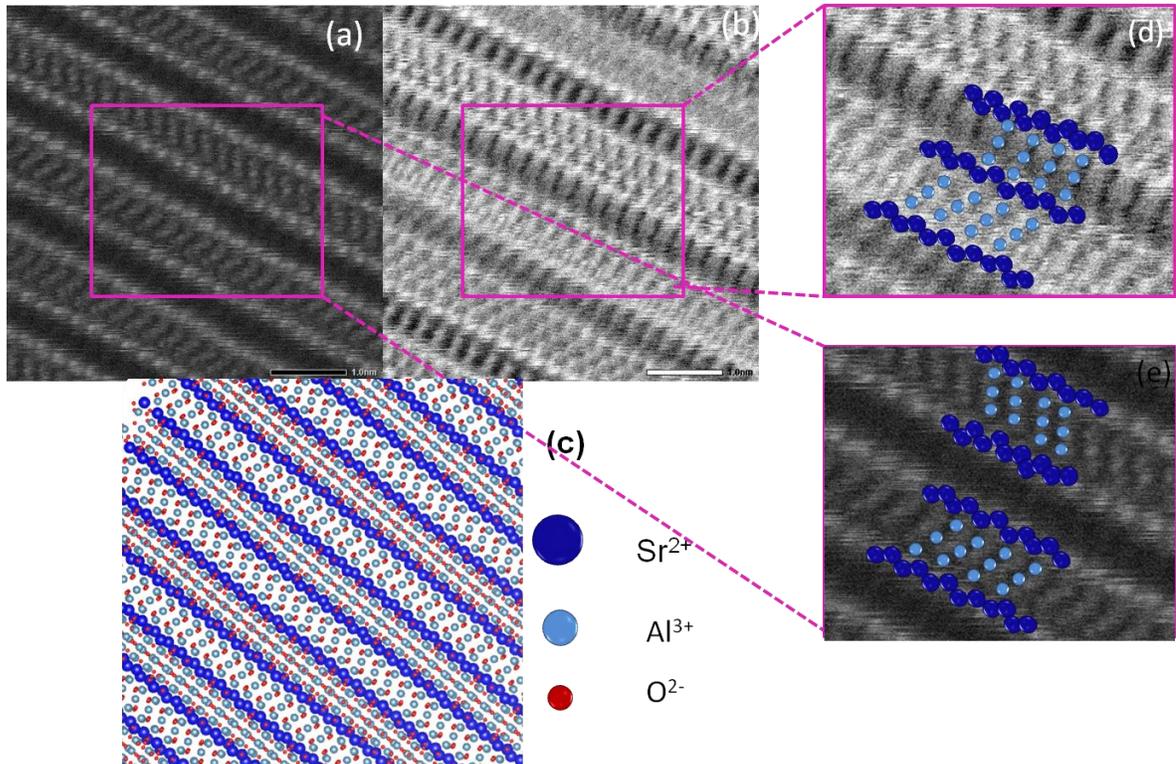


**Figure 34** (a) HAADF and (b) ABF image simulations of the Eu-doped  $S_4A_7$  structure as viewed in the (013)-projection.

The specimen was tilted onto a nearby low-index zone axis during HRTEM imaging, in order to resolve most clearly individual atomic columns. As predicted, the Sr atoms must appear brighter in the HAADF image compared to Al, O, and B, because Sr is a heavier atom thus electrons should scatter at higher angles. Experimentally obtained HAADF and ABF images were compared with simulated images for interpretation and corresponding analysis results for (012) and (013) projection axes were given Figure 35 and Figure 36.



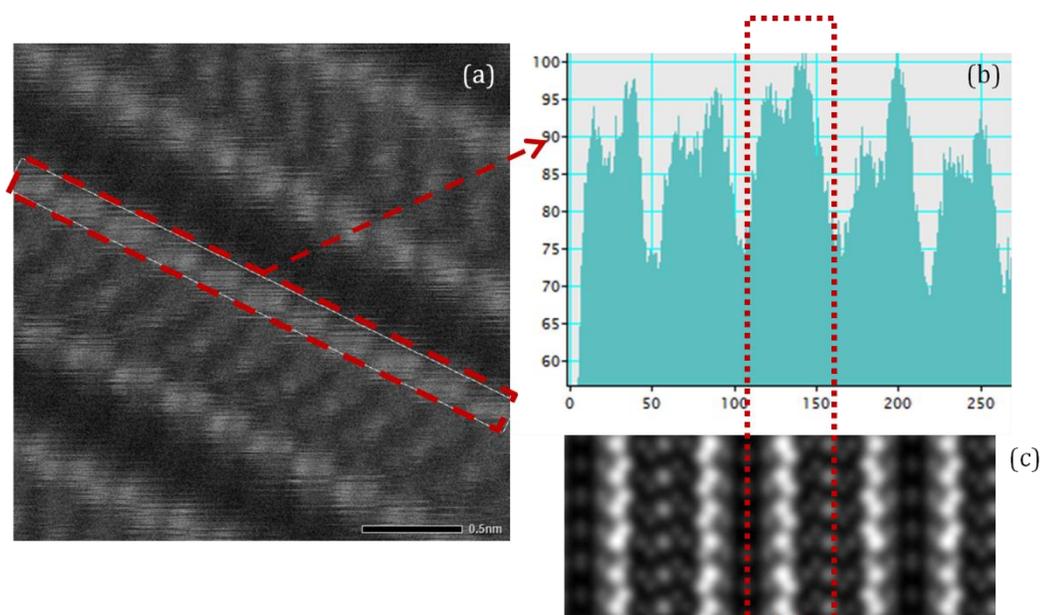
**Figure 35** STEM micrograph of boron-containing  $S_4A_7$  specimen, viewed along the  $\langle 012 \rangle$  zone axis, obtained by (a) HAADF, and (b) ABF detectors, and (c) simulated  $S_4A_7$  structure viewed along the  $\langle 012 \rangle$ . (d) Sr and Al atoms shown on inverted ABF image, (e) Sr atoms shown on HAADF image. Note that the ABF image contrast is inverted.



**Figure 36** STEM micrograph of the boron-containing  $Sr_4A_7$  specimen, viewed along the  $\langle 013 \rangle$  zone axis, obtained by (a) HAADF, and (b) ABF detectors, and (c) simulated  $Sr_4A_7$  structure viewed along the  $\langle 013 \rangle$ , (d) Sr and Al atoms shown on the ABF image, and (e) HAADF image. Note that the ABF image contrast is inverted.

Along both the  $\langle 012 \rangle$  and  $\langle 013 \rangle$  zone axes, the two non-equivalent Sr sites are slightly offset, thus both sites should be identifiable, even though their projected positions are very close (see Figure 18, Figure 31, Figure 32, Figure 33, and Figure 34). Each Sr site has a different Debye-Waller factor, which in principle should be closely related to their intensities in Z-contrast imaging. When viewed along the zone-axis normal, the offset Sr sites appear as elongated (elliptical shaped) atoms on both axes. However, the  $\langle 013 \rangle$  axis is tilted at a slightly lower angle around a-axis, and projections of neighboring Sr atoms are positioned more closely. Distance between Sr2-Sr2 ( $6.384 \text{ \AA}$  and  $6.093 \text{ \AA}$ ) atoms does not change since the crystal was only rotated around a-axis (see Figure 18). The angle between (001) and (012) planes can be found by their dot product and it is calculated to be  $26.5^\circ$ .

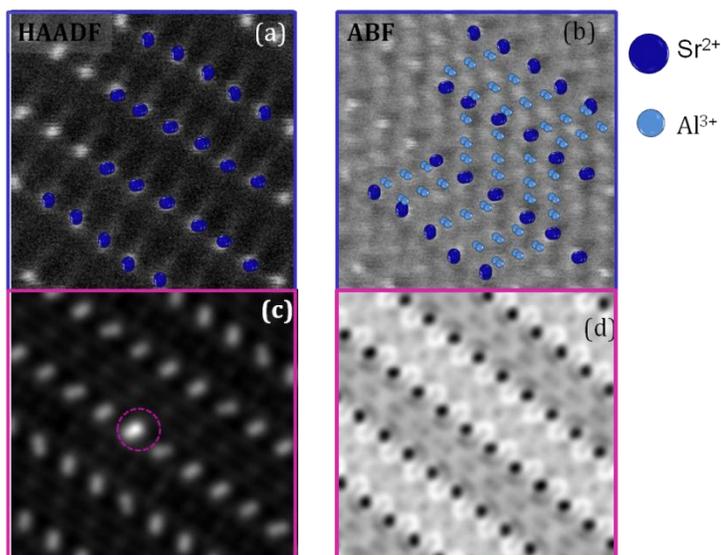
When rotated around a-axis, Sr1-Sr1 projected distance becomes closer and the distance changes from 4.27 Å to  $4.27 \times \cos(26.5) = 3.82$  Å (see Figure 18 for comparison). On the other hand, for rotating the (001) plane to (013) plane, 18.4 ° is needed. When rotated around a-axis by 18.4°, distance between two Sr1 sites becomes 4.05 Å. In Figure 37, an atomically resolved Z-contrast image of a boron-free  $S_4A_7ED$  compound along the  $\langle 013 \rangle$  zone axis is shown, and a row of Sr atomic columns is indicated with a red window. The corresponding intensity profile (see Figure 37) shows that, the two non-equivalent Sr sites could clearly be resolved.



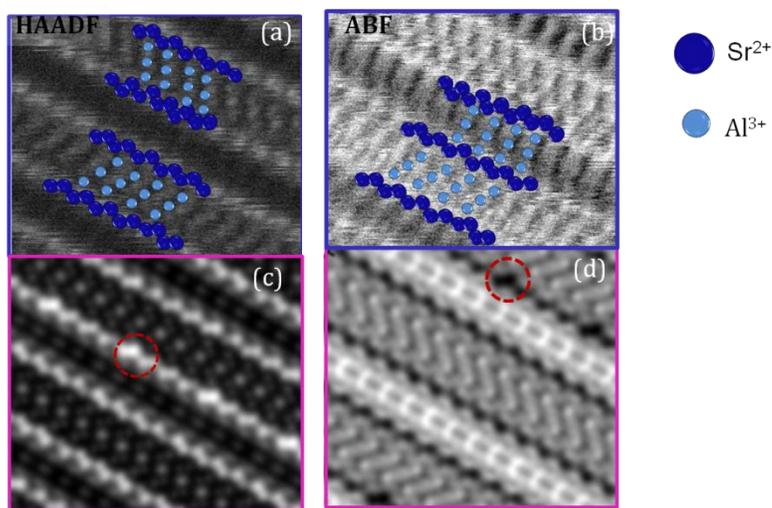
**Figure 37** (a) HAADF micrograph of boron-free  $S_4A_7ED$  specimen oriented on  $\langle 013 \rangle$  zone axis. Red highlighted region showing (b) the intensity profile Sr atomic column where two slightly off, different Sr sites could be resolved. (c) Simulated HAADF image of  $S_4A_7$  crystal structure on  $\langle 013 \rangle$  zone axis, to show the correlation between (a) and (b).

Experimentally obtained HAADF and ABF images were compared with simulated images for interpretation and the corresponding analysis results for the (012) and (013)-projections are shown in Figure 38 and Figure 39. The simulated HAADF images along the  $\langle 012 \rangle$  and

$\langle 013 \rangle$  zone axes in Figure 38 and Figure 39, respectively, each contains one Eu atom occupying a Sr site. To facilitate identification of this Eu atom, a circle indicates the location, where the contrast difference is readily evident.

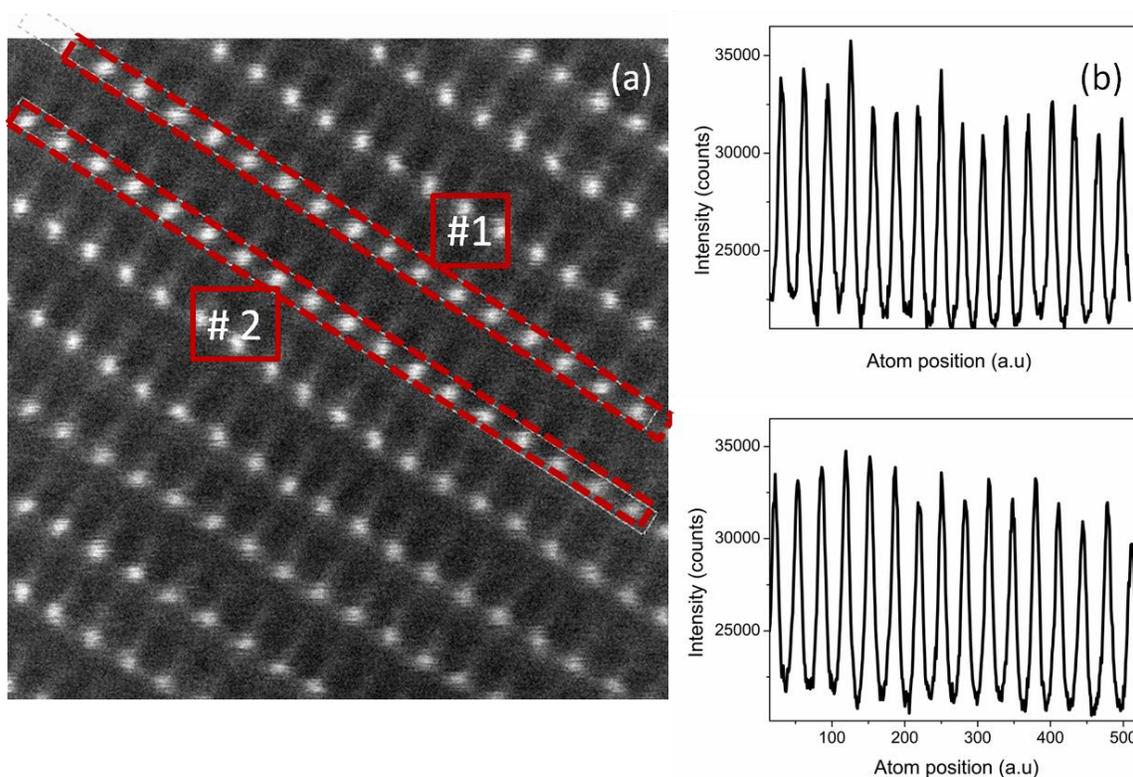


**Figure 38** (a) HAADF and (b) ABF images of boron containing  $S_4A_7ED$  compound, compared with (c) HAADF and (d) ABF image simulations containing Eu-dopant atoms, all as projected along the  $\langle 012 \rangle$ -zone axis.



**Figure 39** (a) HAADF and (b) ABF images of boron containing  $S_4A_7ED$  compound, compared with (c) HAADF and (d) ABF image simulations containing Eu-dopant atoms, all as projected along the  $\langle 013 \rangle$ -zone axis.

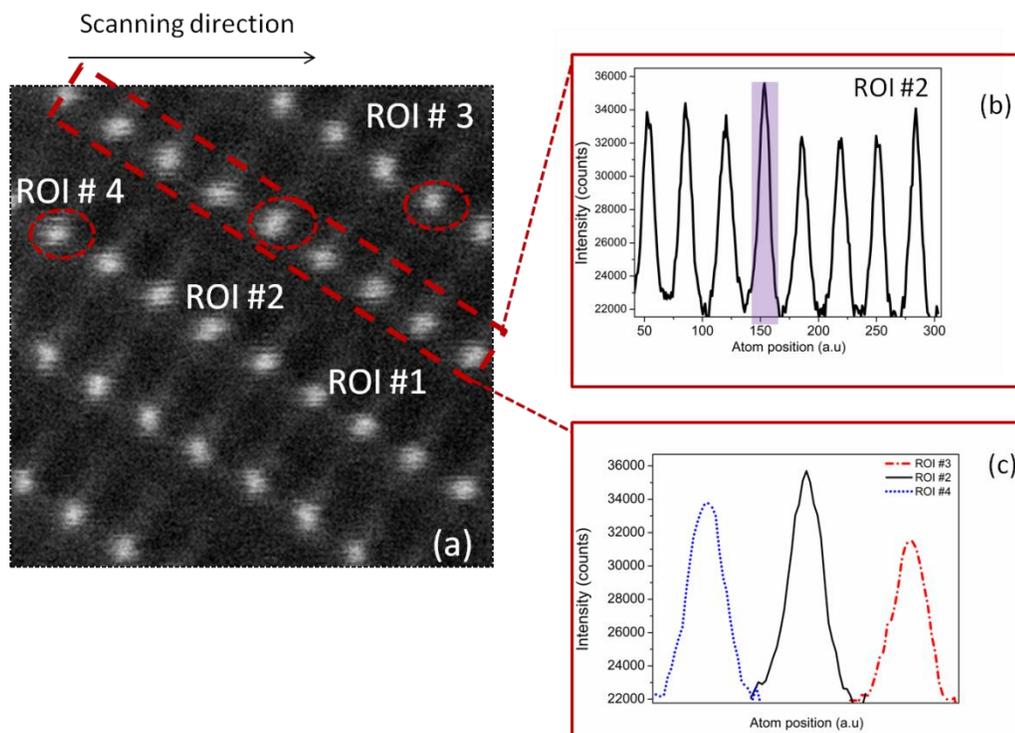
We further analyzed the HAADF image of a boron-containing specimen projected on the (012) and located one atom on the Sr atomic column. The measured distances between Sr atoms on column #1 and #2 is found to be  $6.4 \text{ \AA}$  which is consistent with the tabulated Sr2-Sr2 distance value of the  $S_4A_7$  structure. Figure 39 shows the intensity profile of Sr atoms on column shown with rectangular regions #1 and #2 where column #1 contains an atom with a detectably higher intensity compared to other atoms in this and consequent column, #2. The average intensity of Sr atoms on column assigned as #1 was calculated to be  $32721 \pm 1362$  whereas Sr atomic column assigned as #2 has an average intensity of  $32393 \pm 1119$ .



**Figure 40** (a) HAADF image of B-containing  $S_4A_7ED$  on  $\langle 012 \rangle$  zone axis projection. (b) Intensity count profile of rectangular region #1, and (c) #2.

To analyze the contrast difference, an intensity profile was obtained along the column #1 and assigned as ROI#1. We also compared the intensities of two other Sr-site atoms along the scanning direction that were assigned as ROI#3 and ROI#4 (see Figure 41). Close inspection of their intensity profiles revealed that atom ROI#2 also has relatively higher

intensity than the others. Since Eu (or Dy) atoms have higher atomic number compared to Sr atom, the intensity of Eu-dopant atom should appear in higher intensity, which also have been demonstrated by QSTEM simulations.



**Figure 41** a) HAADF image of B-containing S4A7ED on <012> zone axis projection. (b) Intensity count profile of ROI#1, (c) ROI#2 which has the highest intensity among the atomic column compared with intensity count of atoms at ROI#3, and ROI#4.

### 3.4. Discussion

ABF Simulations on  $\langle 001 \rangle$  showed that imaging the Sr ionic sub-lattice on this ZA should be considered, since neighboring Sr atoms are well-separated, as well as the different Sr<sup>2+</sup> sites, Sr1 and Sr2. On the other hand,  $\langle 010 \rangle$ -ZA simulations revealed that, although in projection, the two Sr sites are slightly offset, they are still distinguishable at the atomic level. On both zone axes, the Sr-sub-lattice is easily seen.

Simulations were carried out for (012)- and (013)-projections, in order to interpret the results obtained by HAADF and ABF imaging. The results showed similar, slightly offset Sr positions, when viewed along the  $\langle 012 \rangle$  zone axis, where only Sr sub-lattice can be resolved by HAADF imaging. In  $\langle 013 \rangle$  zone axis simulations, the Eu-doped simulations showed that, although Sr atoms are not particularly well-separated, even different Sr atomic sites are still resolvable, in addition to the Al sub-lattice. Since Eu atoms are heavier than Sr atoms, it is possible to detect a Eu dopant atom in the Sr atomic column by HAADF (Z-contrast) imaging as predicted by the simulations (see Figure 38 and 39).

Using diffraction mode in the TEM and HRTEM imaging, specimen were tilted onto a nearby low-index zone axis. Boron-free specimen were tilted onto a nearby ZA and corresponding diffraction pattern analysis showed that it is  $\langle 013 \rangle$  zone axis (see Figure 26). Then we obtained atomically resolved STEM images by HAADF and ABF detectors in order to resolve the Sr and the Al and O sub-lattices. STEM HAADF and ABF images collected from both specimen were then analyzed in order to identify possible atomic positions that may be occupied by Eu. Since Eu ions are closer in size to Sr (in contrast to Al) and much too large for entering the structure interstitially, it must be replacing Sr atoms.<sup>3, 29</sup> HAADF images of the Sr sub-lattice from both  $\langle 012 \rangle$  and  $\langle 013 \rangle$  showed that different Sr atomic sites are well-resolved, consistent with simulated images. Along the  $\langle 012 \rangle$  ZA, although different Sr sites are very close, practically overlapping in projection, they are still distinguishable, due to the elliptical shape that they form. HAADF images of  $\langle 012 \rangle$  ZA showed that the Sr sub-lattice has variations in strong and weaker contrast differentiable by the naked eye. As expected, the HAADF or Z-contrast image is sensitive to the atomic number of the element. Therefore, differences in contrast should be analyzed

in order to detect a substitutional atomic species with a higher atomic number than the host lattice, such as Eu. Intensity profile analysis on Z-contrast image of B-containing sample showed that the average intensity of Sr atomic column which is assigned as region 1 (see Figure 40) was  $32721 \pm 1362$ . Comparing the average intensity of column 1 and 2, showed that one atom on column 1 showed substantially higher intensity compared to other ones. The same is true when we compare the intensity profile of two atoms in the neighboring atomic columns, one in the scanning direction ROI #3 and one in the opposite direction labeled with ROI #4. The atom at ROI#2 showed 35700 counts, whereas ROI#3 and ROI#4 showed 32200 and 34000, respectively. Analysis revealed that atom #2 is actually shows higher intensity compared to two other neighboring atoms (see Figure 41).

On the other hand, Sr and Al sub-lattices were able to be resolved simultaneously on  $\langle 013 \rangle$ , due to some characteristic symmetry features. The intensity profile along a line cut from the HAADF image obtained along  $\langle 013 \rangle$  zone axis revealed that even different Sr sites located on slightly offset positions can be distinguished more clearly than along the  $\langle 012 \rangle$ -zone axis (see Figure 37).

### 3.5. Conclusions

This study showed that HAADF is a very powerful technique for resolving the heavy atomic species; however, it is not possible to resolve both heavy and light atoms at the same time. ABF is also a very powerful technique where heavy and light atoms can be resolved simultaneously. Image contrast in ABF-STEM images is known to be very sensitive to thickness and defocus of the specimen<sup>34</sup>, which we have seen in ABF image simulations. As observed in all simulations, the ABF image focus changes with thickness. Because of each Sr site (Sr1, Sr2) coordinates differently with O ions, each has a different Debye-Waller factors which defines their thermal vibrations with respect to its position. By considering the “TDS” factor, we succeeded in differentiating two different Sr sites in image simulations and concluded that both  $\langle 012 \rangle$ ,  $\langle 013 \rangle$  ZAs are suitable to resolve Sr sub-lattice by Z-contrast imaging. Analysis of the experimentally obtained diffraction patterns revealed that we were able to tilt the specimen onto  $\langle 012 \rangle$  and  $\langle 013 \rangle$  ZAs. Along both zone axes, Sr and Al sub-lattices were successfully resolved at the atomic scale. However, it was observed that along the  $\langle 013 \rangle$  zone axis, HAADF imaging yielded more information on both the Sr and Al sub-lattices simultaneously. We may conclude that,  $\langle 013 \rangle$  zone axes are actually the most suitable zone axis for resolving the Al and Sr sub-lattice simultaneously for  $S_4A_7$ . We also show direct imaging evidence that the Eu dopant substitutes into the Sr sub-lattice. The results of this work illuminate the path toward elucidating the distribution of point defects in long persistence Eu, Dy, and B co-doped  $S_4A_7$  phosphors.

## CHAPTER 4:

### THE ROLE OF BORON IN DETERMINING STRUCTURE AND LUMINESCENCE IN LONG PERSISTENCE EUROPIUM AND DYSPROSIUM CO-DOPED STRONTIUM ALUMINATE PHOSPHORS

#### 4.1. Introduction

Long afterglow materials have demonstrated attractive potential for safety applications and energy efficient building materials, and their energy-storage potential has hardly yet been exploited. Among these, strontium aluminates (SAs) show high quantum efficiency when doped with  $\text{Eu}^{2+}$ ,<sup>3, 29</sup> and increased persistence duration when additionally doped with  $\text{Dy}^{3+}$ .<sup>5</sup> The SAs serve as stable hosts in 5 different primary phases:  $\text{SrAl}_2\text{O}_4$  (SA),  $\text{Sr}_2\text{Al}_4\text{O}_7$  (SA<sub>2</sub>),  $\text{SrAl}_{12}\text{O}_{19}$  (SA<sub>6</sub>),  $\text{Sr}_3\text{Al}_2\text{O}_6$  (S<sub>3</sub>A) and  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  ( $(\text{SrO})_4 \cdot (\text{Al}_2\text{O}_3)_7$ , S<sub>4</sub>A<sub>7</sub>)—although only SA and S<sub>4</sub>A<sub>7</sub> are associated with the extended afterglow. Each phase consists of chains of charged  $\text{AlO}_x$  polyhedral forming a scaffold and defining cavities of negative charge,

into which  $\text{Sr}^{2+}$  ions are incorporated to provide electrical charge neutrality.<sup>36</sup>  $\text{Eu}^{2+}$  ions are the optically active centers, emitting visible luminescence upon relaxation from  $4f^65d^1 \rightarrow 4f^7$  levels.<sup>3</sup> These ions enter the crystal structure by substituting into  $\text{Sr}^{2+}$  ion sites,<sup>6, 37</sup> and the consequent differences in crystal-field splitting result in distinguishable radiative relaxations.<sup>3, 36</sup> Because the orbitals of  $\text{Eu}^{2+}$  are highly sensitive to even subtle changes in the crystal field,<sup>17, 38, 39</sup> the features in the emission spectra can reveal different environments of Eu dopants in crystal lattices. For example, in the crystal structure of ( $\text{S}_4\text{A}_7$ ), there are two, equally abundant, crystallographically non-equivalent  $\text{Sr}^{2+}$  sites—a higher symmetry site and a lower symmetry site. The co-dopant  $\text{Dy}^{3+}$  ions situated in close proximity to the  $\text{Eu}^{2+}$  offers trap levels near the  $4f^65d^1$  level, allowing trapping of the excited electronic charges, which are easily susceptible to thermally stimulated release<sup>1, 5, 36</sup> This thermally stimulated de-trapping is associated with extending the afterglow duration of Eu-doped SA compounds.<sup>5, 6, 40</sup>

During ceramic processing by solid-state reaction,  $\text{B}_2\text{O}_3$  is commonly added as a sintering flux agent. In the case of SA compounds, the dramatic extension of afterglow persistence was observed as a serendipitous side effect of the addition of B, from tens of minutes to longer than 14 hours.<sup>10, 16, 41</sup> To explain the effect of boron, many different theories have been proposed, but no consensus has been reached in the phosphor materials community due to lack of direct experimental evidence.<sup>1</sup> The most convincing computational model proposed suggests that the answer may lie in the aggregation of ionic defects: the substitutional rare earth dopants, oxygen vacancies, and B point defects.<sup>6-9</sup> Such a model necessitates the positioning of point defects of sufficient proximity for direct energy transfer between electronic trap levels.<sup>2, 6, 30, 42, 43</sup> The non-uniform distribution of ionic defects is thus presumed to enable persistent luminescence.

To elucidate the impact of boron on ionic defect distribution and electronic structure, we systematically investigated the correlation between B content, thermal properties, structural formation and stability, and optical properties in long persistence  $\text{S}_4\text{A}_7\text{ED}$  phosphor compounds. We used a modified sol-gel Pechini process,<sup>18, 24</sup> which allows better control over the phase purity. The averaged/global elemental stoichiometry, phase composition, and optical properties were analyzed to compare our data with that reported in the

literature. In addition, we correlated the phase composition, optical properties, and elemental stoichiometry at nanoscale resolution by using selected area electron diffraction (SADP) analysis, cathodoluminescence (nano-CL), and energy dispersive x-ray spectroscopy (EDXS)

of the compounds produced was analyzed in an inductively coupled plasma using optical emission spectroscopy (ICP-OES). To determine the crystal structure, we analyzed the powders by coupled  $\theta$ - $2\theta$  x-ray diffraction (XRD) and electron diffraction in an aberration-corrected scanning transmission electron microscope (STEM). We determined the phase composition by Rietveld refinement analysis of the XRD results. Using an energy dispersive x-ray spectrometer (EDXS) attached to the STEM, we also analyzed the elemental distribution.

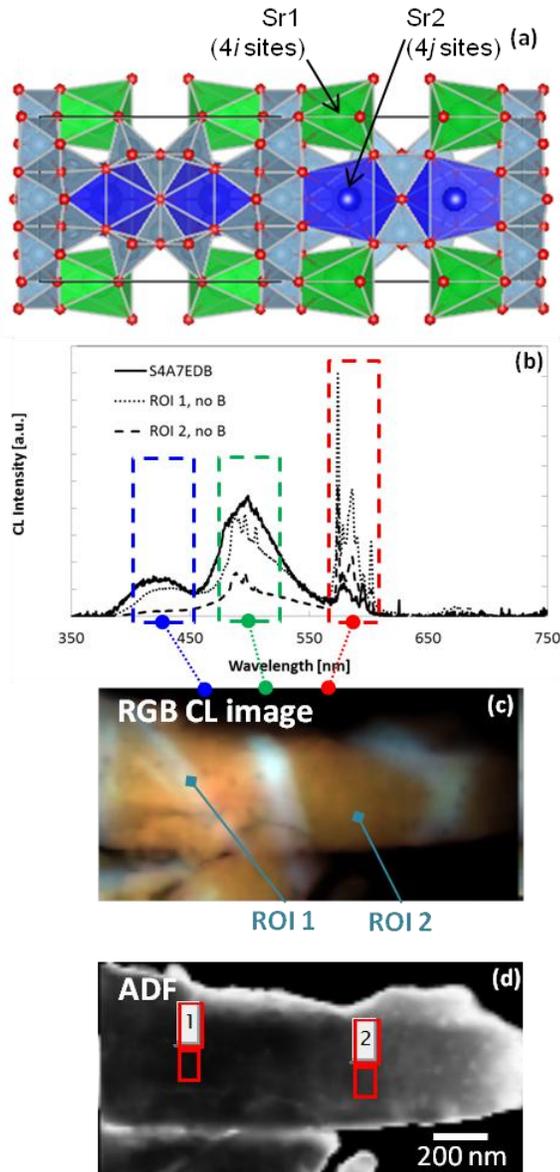
## 4.2. Experimental

$\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  phosphor compounds were synthesized by a modified Pechini sol-gel method which we have described in Chapter 2. Global analysis on the structural properties have been performed by XRD and performed Rietveld analysis which have been previously shown in Chapter 2. Global optical properties such as PL emission and afterglow persistence have also been investigated and shown in Chapter 2 as well. Localized phase identification in the microstructure was investigated by electron diffraction in a TEM with a  $C_s$ -aberration-corrected probe (JEOL JEM-ARM 200CF) at 200 keV. Using an energy dispersive x-ray spectrometer (EDXS, Jeol Centurio) attached to the TEM, the elemental distribution with nanoscale spatial resolution in STEM mode (probe size *ca.* 2Å, probe current *ca.* 700 pA, spectrum image collection time of 1458 s). Specimen for TEM analysis were prepared in two ways: 1) by focused ion beam milling of a lamella from a single particle and 2) by cold isostatic pressing of the powders into a pellet, which was then sintered at 1150°C in varigon (96% Ar/4% H<sub>2</sub>) for 3 hours; the pellet was sliced, so that the middle of the pellet could be mechanically thinned, then ion milled to 200 keV electron transparency. Finally, we performed nanoscale spatially resolved cathodoluminescence (CL) in a dedicated scanning transmission electron microscope (STEM, Vacuum

Generators, VG HB501) at 60keV with *ca.* 50 nA of beam current using a liquid N<sub>2</sub>-cooled specimen and a custom-built CL system.<sup>44</sup>

### 4.3. Results

A way to investigate the distribution of Eu in the microstructure is nano-CL in a dedicated STEM, which enables the mapping of cathodoluminescence by rastering with a nanoscale probe in a dedicated STEM, *i.e.* correlating nanoscale-localized microstructure with optical properties.<sup>44</sup> As Eu doped in SA compounds has characteristic radiative transitions in the visible spectrum, we analyzed spectrum images formed from the CL signals arising from these known  $4f^65d^1 \rightarrow 4f^7$  transitions of Eu<sup>2+</sup> and  $4f-4f$  transitions of Eu<sup>3+</sup>. Thus, the CL spectrum images enabled us to map not only the distribution of Eu atoms, but more specifically the distribution of Eu<sup>2+</sup> and Eu<sup>3+</sup> ions, and even more specifically to discriminate between Eu<sup>2+</sup> ions substituting into the two different types of sites in the Sr<sup>2+</sup> sub-lattice of Sr<sub>4</sub>Al<sub>14</sub>O<sub>25</sub> (as shown in Figure 42).<sup>17, 27, 29</sup>



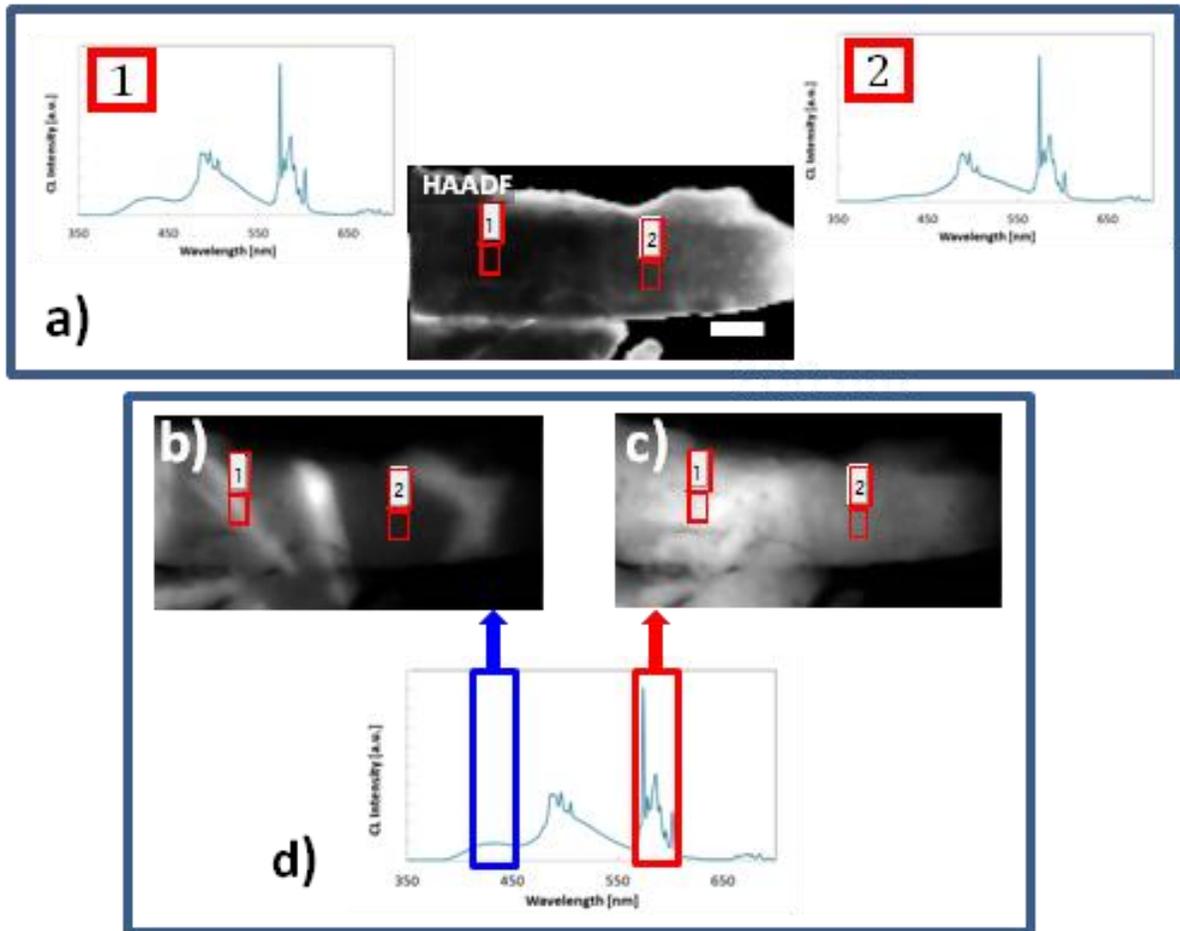
**Figure 42** a) the crystal structure of  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$ : green =  $\text{Sr}^{2+}$  in 4i Wyckoff sites (Sr1), blue =  $\text{Sr}^{2+}$  in 4j Wyckoff sites (Sr2), gray =  $\text{Al}^{3+}$ , red =  $\text{O}^{2-}$ ; (b) cathodoluminescence (CL) emission spectra from both boron-doped and boron-free S4A7ED specimen (from 2 different regions analyzed); at ca. 420 nm, emission from  $\text{Eu}^{2+}$  in the Sr2 sites (i.e., the  $\text{Sr}^{2+}$  sites in blue); at ca. 490 nm, emission: from  $\text{Eu}^{2+}$  in the Sr1 sites (i.e. the  $\text{Sr}^{2+}$  sites in green)<sup>32</sup>; Region 1 a plate-like grain; Region 2 equiaxed SA particles; (c) RGB image formed from CL emission from the spectral regions indicated: blue from 402-456 nm, green from 476-525 nm and red from 566-607 nm; (d) corresponding annular dark field image of the entire region analyzed by CL spectrum imaging.

The specimen containing 4.5 mol% B, a single crystal of  $S_4A_7$ , showed two broad emission bands in the CL spectrum at ca. 420 nm and 490 nm (Figure 42b, solid line). Both are consistent with  $4f^65d^1 \rightarrow 4f^7$  radiative relaxation in  $Eu^{2+}$ , when exposed to two different crystal field potentials. This situation has been reported for  $Eu^{2+}$ , when occupying 2 crystallographically non-equivalent  $Sr^{2+}$  sites in  $S_4A_7$ . The radiative relaxation corresponding to emission at ca. 420 nm is associated with  $Eu^{2+}$  occupying an Sr2 site (i.e., the 4j Wyckoff sites), while the emission at ca. 490 nm originates from  $Eu^{2+}$  in an Sr1 site (i.e., the 4i Wyckoff sites).<sup>11, 27, 29, 45</sup> An additional emission band is also present at ca. 580 nm, and has been ascribed to the  $4f \rightarrow 4f$  transition of  $Eu^{3+}$ ,<sup>30</sup> although the  $4f \rightarrow 4f$  transitions of  $Dy^{3+}$  are also known to appear in that range.<sup>46</sup> It should be noted that the lamella was produced by FIB thinning from a single crystal particle of  $S_4A_7$  phase (as determined from the indexed diffraction pattern in Figure 26), and that the CL spectrum is relatively uniform across the entire specimen of thickness  $\sim 113$  nm.

In addition to the CL spectrum representative of the boron-doped compound, two characteristic spectra are also shown in Figure 42 from a boron-free specimen. One type of CL emission arises from the plate-like grains (the dotted line in Figure 42b), while the other is produced from the more equiaxed grains (the dashed line in Figure 42b). The CL emission from  $Eu^{2+}$  appeared to be largely confined to the crystals of platy habit, where emission from ca. 420 nm and 490 nm were strong. CL emission from these transitions was much weaker from the surrounding material. On the other hand emission from ca. 585 nm appeared more uniformly across the entire microstructure analyzed. Emission in this region is consistent with that of  $Eu^{3+}$  reported by Abbruscato in Eu-doped  $SrAl_2O_4$  compound.<sup>47</sup> Although  $4f \rightarrow 4f$  transitions in  $Dy^{3+}$  may also appear in this spectral region, the fact that the measured spectrum bears strong resemblance to that of SA doped only with Eu suggests that the contribution of  $Dy^{3+}$  is negligible.<sup>48, 49</sup> Although seldom observed in room temperature PL studies of phosphor powders, due to the relatively lower probability of parity-forbidden  $4f \rightarrow 4f$  transitions in trivalent Eu and Dy, the nano-CL system has higher energy resolution and enables detection of these transitions, even in the presence of dominant emission from  $Eu^{2+}$ . With the nano-CL system, it was necessary to use a lower energy resolution, in order to collect sufficient signal from the FIB lamella of the B-doped specimen. Despite this, substantial emission ca. 585 nm was still detected (Figure 42b, solid

line). However, the relatively thicker B-free specimen luminesced more strongly, enabling use of the optics in a higher energy configuration. Hence the spectra obtain in the nano-CL system may reveal substantially more information, due to the capability for resolving the sharp, weaker emission in the red spectrum. Because CL analysis of minerals containing trivalent Eu or Dy have revealed the strongest characteristic transitions in the range 573-586 nm, detailed spectral decomposition studies will help resolve any contribution from trivalent Dy.<sup>46</sup>

By assigning the emission from 420 nm to the blue channel, 500 nm to green, and 585 nm to red, a red-green-blue (RGB) image demonstrates the relative distribution of CL emission sources in the microstructure (see Figure 42c and Figure 43). Regions, which appear white in the RGB image, reflect a mix of signals from the red channel, the green channel, and the blue channel with equal contribution. By contrast, the orange regions reflect a mixture of signals from the red channel predominantly with more limited contribution from the green one and none from the blue channel. Note that the polycrystalline nature of the specimen can be distinguished from the contrast in the annular dark field (ADF) image in Figure 42d. Direct comparison between the ADF and the RGB images reveals that differences in the microstructure arising primarily from a non-uniform distribution of optically active emitters between grains.

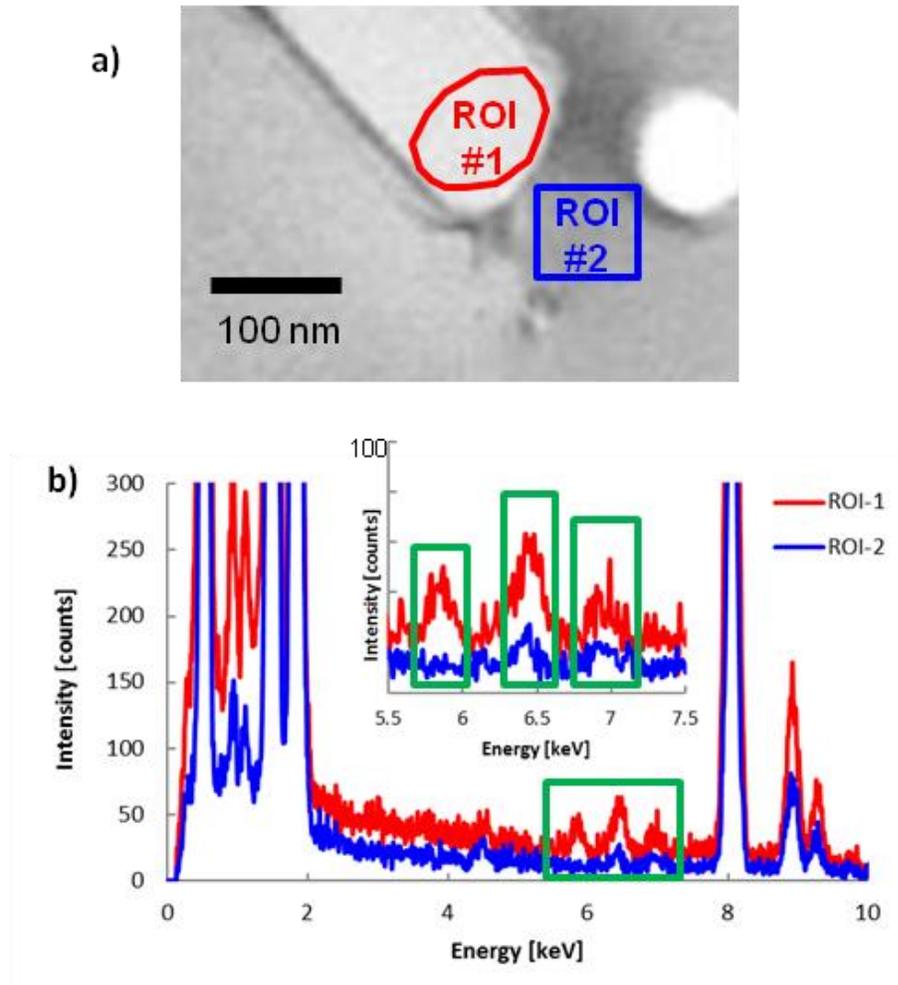


**Figure 43** Cathodoluminescence (CL) spectra and spectrum images from the boron-free specimen. (a) CL emission spectra from 2 different regions analyzed: Region 1 from a crystal undergoing abnormal grain growth, Region 2 from more equiaxed SA particles; (b) spectrum image from CL emission in 402-456 nm showing differentiation between AGG particles and the surrounding microstructure; (c) spectrum image from CL emission in 566-607 nm showing uniform distribution of emission across the microstructure; (d) the CL spectrum from the entire region in the HAADF image in part *a*.

In order to evaluate the stoichiometry of different regions of the microstructure shown in Figure 28c, we performed EDXS analysis. The results summarized in Figure 44 (full spectrum shown in Figure A 1) were obtained from quantifying spectra extracted from a spectrum image. The plate-like grain contained an Al:Sr at% ratio of 8, labeled as ROI #1, and an enrichment in Eu. The surrounding equiaxed grains, represented by the spectrum from ROI #2, had an Al:Sr at% ratio of 2.3, and the Eu content was generally below the sensitivity limit of EDXS. Further analysis of microstructure from other regions of a B-free

specimen far away from the plate-like grain revealed an Al:Sr ratio *ca.* 2.3 and with a Eu content ranging 0.19-0.38 at% and a Dy content ranging 0-0.23 at%.

In the 4.5 mol% B-doped specimen, EDXS analysis revealed a uniform Al:Sr at% ratio of *ca.* 2.3 and a uniform Eu and Dy content of 0.64 at% and 0.21 at% respectively, consistent with the results of nano-CL.



**Figure 44** (a) bright-field TEM image of a boron-free  $S_4A_7ED$  specimen (zoomed in view, from Figure 28), with the two regions analyzed by EDXS indicated and b) the corresponding EDXS spectra; ROI #1 of the plate-like grain, ROI #2 of the neighboring equiaxed grain; Al-K $\alpha$  at 1.49 keV and Sr-L at 1.58 keV were used for quantifying the Al:Sr ratio. Inset: Peaks for Eu-L $\alpha$  at 5.85 keV, Eu-L $\beta$  at 6.46 keV, Dy-L $\alpha$  at 6.49 keV and Eu-L $\beta$ 2 at 6.84 keV revealed that Eu and Dy were predominantly concentrated in the plate-like grain. Both Eu and Dy were below the sensitivity limit in ROI #2.

#### 4.4. Discussion

In order to assess the effect of boron on dramatically extending the afterglow in  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}:\text{Eu}^{2+},\text{Dy}^{3+}$ , we considered its impact on the microstructure, the composition, and the luminescence properties. Combining the results of thermal analysis, XRD, and imaging and diffraction analysis in the electron microscope, we confirm that boron facilitates the microstructural evolution of the equilibrium  $\text{S}_4\text{A}_7$  crystalline grains in ceramic powders prepared from a nominal  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  stoichiometry.

Images of the microstructure formed from signals collected by an annular dark field (ADF) detector in a dedicated STEM revealed substantial differences in microstructure, particularly comparing that between boron-free and the 4.5 mol% boron-doped compounds. The boron-free powders contained some plate-like, anisotropic grains of unusually high aspect ratio, in addition to neighboring equiaxed crystals of *ca.* 10  $\mu\text{m}$  in diameter. In contrast, the 4.5 mol% boron-doped powders contained many large (*ca.* 20  $\mu\text{m}$ -diameter), equiaxed crystal grains of  $\text{S}_4\text{A}_7$  phase. These results are consistent with the long-standing use of boron as a sintering flux in ceramic powder processing<sup>50</sup> and with the reports that boron is necessary to stabilize the formation of the  $\text{S}_4\text{A}_7$  phase.<sup>11, 17</sup> In fact, our results suggest that many of the phases present in the equilibrium SrO-Al<sub>2</sub>O<sub>3</sub> binary system are metastable and are able to form due to favorable local kinetics in the dried amorphous gel. However, the presence of boron, by lowering viscosity in the amorphous precursor, appeared to have substantially lowered the barrier for formation of the equilibrium phase  $\text{S}_4\text{A}_7$ , enabling a strong preference for that phase structure to form in the evolving microstructure, at the expense of the other SA phases:  $\text{SA}_6$ , SA,  $\text{SA}_2$ , *etc.*

Comparing the compositional variations between boron-free and 4.5 mol% boron-doped  $\text{S}_4\text{A}_7$  compounds revealed additional insight into the substantial impact of boron. Using STEM-EDX, we observed two types of compositional variations. If the region analyzed was contained in a plate-like grain, the composition was Al-rich (Al:Sr cation ratio of 8) and contained a much higher Eu content, with an inconclusive Dy content, while the surrounding equiaxed grains did not contain enough Eu to be above the detectability limit.

In the absence of these anisotropic grains, the Al:Sr cation ratio was more uniform, ranging from 1.5-3.1, and a corresponding Eu content spanning 0.38-0.19 at%. In the B-doped S<sub>4</sub>A<sub>7</sub> specimen, the TEM lamella was a single crystal with a uniform distribution of Eu. Although the Al:Sr cation ratio should theoretically be 3.5 (from 14 Al cations per 4 Sr cations per mole of Sr<sub>4</sub>Al<sub>14</sub>O<sub>25</sub>), a cation ratio value of 2.3 was determined from EDX measurements on regions, whose SADP's had indexed to Sr<sub>4</sub>Al<sub>14</sub>O<sub>25</sub>. Thus we consider 2.3 to be the reference value for S<sub>4</sub>A<sub>7</sub>.

In the boron-free compounds, Eu<sup>3+</sup> was present throughout microstructure, due to incomplete reduction,<sup>6, 41</sup> while Eu<sup>2+</sup> appeared primarily in the Sr1 sites (in the 4*i* Wyckoff sites). Only in the plate-like grains, would emission arise from the Eu<sup>2+</sup> in Sr2 sites (in the 4*j* Wyckoff sites). In contrast, in the B-doped large single crystal, Eu<sup>2+</sup> is uniformly present in both types of Sr sites, in addition to a homogeneous signal from Eu<sup>3+</sup>. It should be noted that when using PL, the Eu<sup>3+</sup> was not detectable, possibly because its emission was below the detector sensitivity limit. These results are summarized in Table 10.

**Table 10** Summary of CL spectrum characteristics and the corresponding origin of transitions.

| Region analyzed  | Eu <sup>2+</sup> in 4 <i>j</i> sites<br>( <i>ca.</i> 420 nm) | Eu <sup>2+</sup> in 4 <i>i</i> sites<br>( <i>ca.</i> 490 nm) | Eu <sup>3+</sup><br>( <i>ca.</i> 580 nm) |
|------------------|--|--|--|
| B-free, platy    | Strong   | Strong   | Present                                  |
| B-free, equiaxed | Weak   | Weak   | Present                                  |
| 4.5 mol% B-doped | Strong   | strong   | Present                                  |

Combining this evidence on the B effect on microstructure, composition, and luminescence in Eu and Dy co-doped S<sub>4</sub>A<sub>7</sub> compounds, we report an association between long afterglow persistence and a uniform distribution of Eu<sup>2+</sup> in Sr2 sites. When B is present, S<sub>4</sub>A<sub>7</sub> is the equilibrium phase and dominates the microstructure; the composition and distribution of optically active ions are uniform; and the afterglow persists for longer than 14 hours. However, without B doping, in an SA compound of the same nominal S<sub>4</sub>A<sub>7</sub> stoichiometry,

there is a broader range of inhomogeneous cation stoichiometry in the microstructure, as well as persistence abbreviated to *ca.* 10 minutes. The localized stoichiometric variation gives rise to the formation of crystalline grains of a variety of SA phase. In the Eu- and Al-rich anisotropic grains, CL suggests that the distribution of  $\text{Eu}^{3+}$  is homogenous, but  $\text{Eu}^{2+}$  is localized to the anisotropic grain and absent from the neighboring equiaxed grains. One final comment is that even though there is a high content of  $\text{Eu}^{2+}$  in Sr2 sites in B-free, Al-rich, anisotropic grains, the short afterglow may possibly be evidence of concentration quenching.<sup>41</sup>

Previous investigations correlating luminescence behavior with Al:Sr ratio (in B-free samples) were performed on pressed powder pellets 10 mm in diameter and 5 mm in thickness.<sup>51</sup> Emission was evaluated from many particles, and it was assumed that 2 phases, SA and  $\text{SA}_6$ , were the only ones present. However, electron diffraction and spatially resolved elemental spectroscopy from individual grains now reveal at the local scale a much more complicated microstructure and elemental distribution in B-free SA phosphor powders. With a broader range of cation stoichiometry, the consequent increased diversity in crystal field environments of  $\text{Eu}^{2+}$  results in a richer range of transitions arising from variation of energy level separation, accounting for the broad bandwidth at *ca.* 420 and 500 nm.

Our results are also consistent with the observations reported by Chen and co-workers—the addition of boron enhanced both the initial afterglow intensity and the persistence intensity over time in Eu and Dy co-doped SA.<sup>41</sup> After all, higher emission intensity would be associated with increased  $\text{Eu}^{2+}$  concentration in the crystal structure. Without boron, less  $\text{Eu}^{2+}$  would be incorporated into the SA crystal structure, whereas with B, the uptake of  $\text{Eu}^{2+}$  into the SA structure is higher and more uniformly distributed at the nano-scale than in the boron-free compounds.

## 4.5. Conclusions

By combining insights obtained from thermal analysis, XRD, PL/PLE, EDS and nano-CL in a STEM, we report evidence suggesting that boron improves the homogeneous distribution of optically active  $\text{Eu}^{2+}$  into  $4j$  Wyckoff site in the  $\text{Sr}^{2+}$  sub-lattice, which is necessary for persistence longer than 14 hours in B,  $\text{Eu}^{2+}$  and  $\text{Dy}^{3+}$  co-doped  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$  phosphors. The presence of B facilitates the evolution of a microstructural morphology consisting of large, equiaxed single-phase grains, with a uniform distribution of  $\text{Eu}^{3+}$  and  $\text{Eu}^{2+}$  ions. However, the absence of B allows the formation of multiple phases of  $\text{SrO-Al}_2\text{O}_3$ , including high aspect ratio, Al-rich and Eu-rich grains, surrounded by regions depleted in Eu content. Although Eu occurs in  $\text{Eu}^{3+}$  uniformly throughout this microstructure, the distribution of optically active  $\text{Eu}^{2+}$  depends on the local Sr site coordination, of which there are two in the  $\text{S}_4\text{A}_7$  phase.  $\text{Eu}^{2+}$  occupying the higher symmetry  $4i$  Wyckoff site of Sr were distributed uniformly. However,  $\text{Eu}^{2+}$  appeared in the lower symmetry  $4j$  Wyckoff site of Sr only in the platelike grains. Thus we conclude that a necessary condition for extended afterglow in  $\text{S}_4\text{A}_7\text{ED}$  is the homogeneous incorporation of  $\text{Eu}^{2+}$  into the microstructure.

## CHAPTER 5:

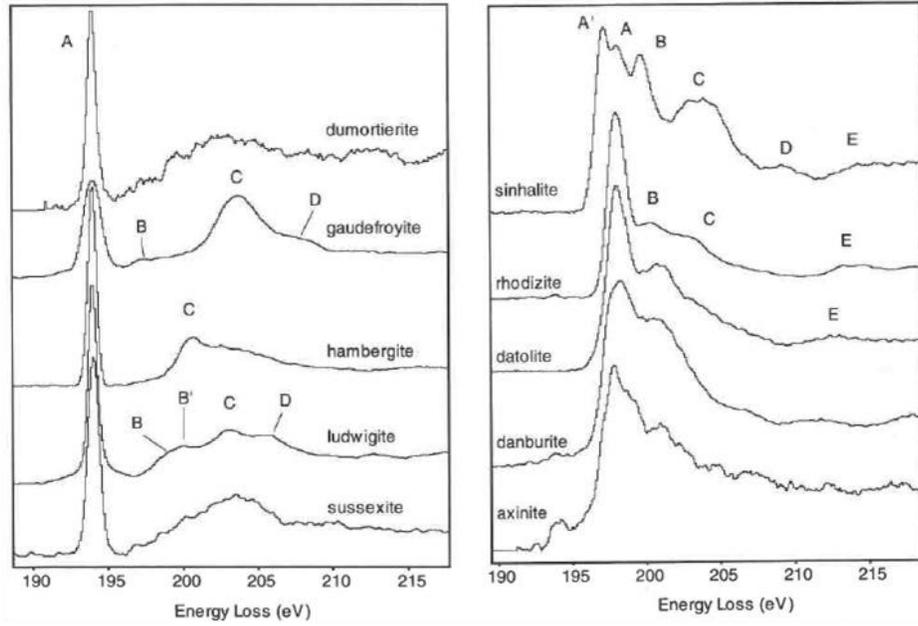
### LOCAL AREA ELECTRONIC STRUCTURE INVESTIGATIONS BY ELECTRON ENERGY-LOSS SPECTROSCOPY

#### 5.1. Introduction

In order to elucidate the effect of boron on the crystal structure and electronic structure of SA phosphors, the position of boron incorporated into the  $S_4A_7$  could be elucidated by fingerprinting with electron energy loss near edge spectra (ELNES). The shape of the B-K edge reflects the local bonding environment of boron in a crystal. For example, one sharp  $\pi^*$  peak positioned at 194 eV and a wider  $\sigma^*$  peak at 203 eV were the characteristic features indicating that the compound of interest contains three-fold coordinated boron, whose bonding electrons are in  $2p$  and  $sp^2$ -hybridized orbitals.<sup>52</sup> When boron is coordinated by 4 oxygens, the bonding electrons are in  $sp^3$  hybridized orbitals only, and only  $\sigma^*$  transitions occur when excited by the probe electrons. Previous studies of B in minerals have reported the B-K edge ELNES for B in trigonal and tetragonal coordination by oxygen,<sup>52</sup> and the use of such fingerprints to determine the type of coordination in B-containing minerals.<sup>53</sup>

The core-loss region of electron energy loss spectra contains a wealth of information on the bonding environment of ions.<sup>54</sup> The energy loss of an incident electron stems from an

electronic transition induced by inelastic interaction with an electron in the sample, often the ones involved in bonding with neighboring lattice atoms. For an ion coordinated by 6 oxygen anions in an octahedral configuration, the amount of energy loss should reflect an underlying symmetry in the distribution of effective charge, such as for an incident (probe) electron interacting with electrons in each of the six bonds. Accordingly, a defect in such a system, such as an oxygen vacancy, a change in interstitial site occupancy, or a change in next nearest neighbor site occupancy, could be reflected by a change in the shape of an electron energy loss near-edge spectrum (ELNES) region.<sup>55-58</sup> Therefore, examination of the ELNES may elucidate how boron is incorporated into the  $S_4A_7$  crystal structure. XRD has shown that synthesis of a stoichiometric  $S_4A_7$  compound doped with 1 mol% Eu, 1 mol% Dy, and 30 mol%  $B_2O_3$  retains the crystal structure of  $S_4A_7$ .<sup>58</sup> Given the fact that B is a much smaller cation compared to Al and Sr, B could be incorporated into interstitial sites, or substitutionally into the Al sub-lattice, or a mixture of both. If B is incorporated by substituting into an Al site, then B would be replacing a 4-, 5-, or 6-fold coordinated Al. In the case of B incorporation into interstitial sites, a mixture of 4-fold and 3-fold coordination may be possible, as reported for the case of B incorporation into the sodium aluminosilicate structure of synthetic tourmaline.<sup>53</sup> The ELNES of the B K-edge for B in 3-fold and 4-fold coordination was reported by Garvie<sup>52</sup> as shown in Figure 45 for a variety of compounds containing  $BO_3$  and  $BO_4$ . In the same report, the B-K ELNES was also presented for a compound containing B in both 4-fold and 3-fold coordination. The application of these fingerprints were used by Schreyer *et al* to identify the coordination polyhedra units of B in two B-containing minerals.<sup>53</sup>



**Figure 45** ELNES B-K edge of various minerals with three-fold and four-fold coordinated boron.<sup>52</sup>

The objective of this study is to apply the fingerprinting method to determine the coordination of B in boron-doped  $S_4A_7ED$ . For fingerprinting, 2 reference minerals were used. Ludwigite contains B in pure 3-fold coordination, while rhodizite has B in only 4-fold coordination.<sup>52</sup>

Determination of the fraction of boron coordination could be obtained by using a method previously described by Sauer et.al.<sup>59</sup> According to this study, either for a three-fold or a four-fold coordinated boron, there is a ratio between the integrated intensity of the  $\pi^*$  peak,  $I(\pi^*)$ , and the integrated intensity of a large energy window above the edge onset,  $I(\Delta E)$ . By comparing this fraction to a compound that has both three-fold and four-fold coordinated boron, it is possible to determine the relative fraction of each present inside the compound, according to Equation 3:

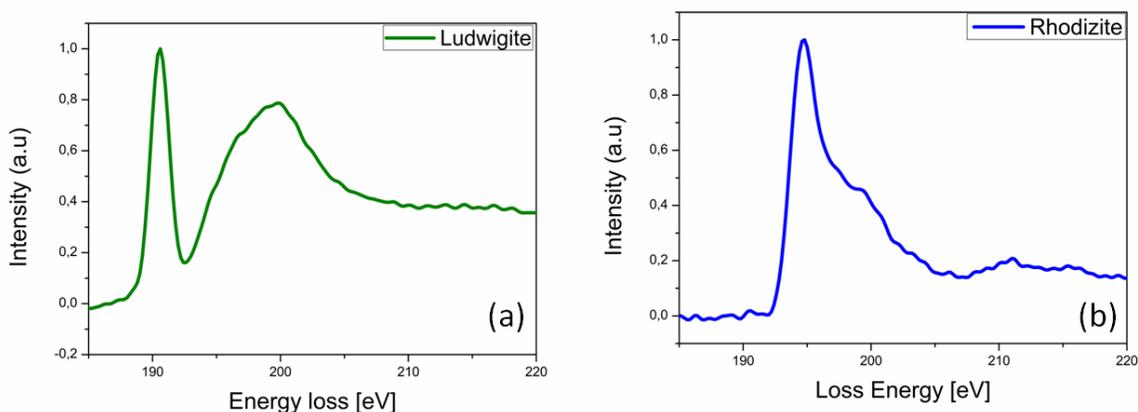
$$F = \frac{[I(\pi^*)/I(\Delta E)]}{[I(\pi^*)/I(\Delta E)]_{ref}} \quad \text{Equation 3}$$

## 5.2. Experimental

To investigate the changes in electronic structure upon boron incorporation into SA-type phosphors, we synthesized the phosphor powders with a modified Pechini sol-gel synthesis method as described in Chapter 2. We performed XRD investigations in order to determine the phase of the final compound, which considered in detail in Chapter 2. We then performed electron energy-loss spectroscopy analysis in order to determine the local bonding environment of B in  $S_4A_7EDB$ , by using a scanning transmission electron microscope (JEOL JEM-2010 F). EELS spectra were acquired using a probe convergence angle of 22 mrad and a collection semi-angle of 17.5 mrad with 200 keV beam energy. We analyzed the B-K edge ELNES in boron-containing  $S_4A_7ED$  and in the reference minerals, ludwigite, and rhodizite. The ELNES B-K edge was simulated for weighted mixtures of 3-fold and 4-fold B-coordinated alloys and then compared with the B-K edge of  $S_4A_7EDB$  compounds.

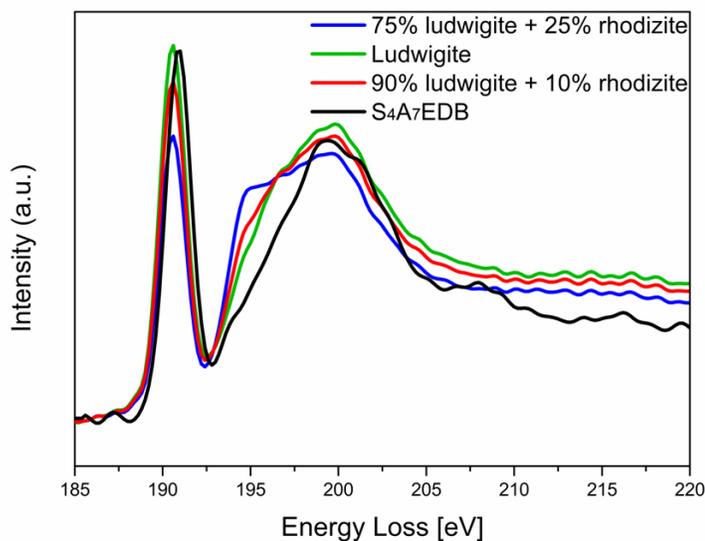
## 5.3. Results

B-K edge ELNES from reference minerals ludwigite and rhodizite are shown in Figure 46.



**Figure 46** ELNES B-K edge of (a) ludwigite mineral having 3-fold coordinated boron and (b) rhodizite mineral having 4-fold coordinated boron.

Using these B-K edges as references, we simulated the B-K edge ELNES for weighted mixtures of 3-fold and 4-fold B-coordinated alloys. The simulated ELNES are shown in Figure 47.



**Figure 47** Comparison between the ELNES B-K edges of only ludwigite, alloy of 75% ludwigite and 25% rhodizite, 90% ludwigite and 10% rhodizite, and ELNES B-K edge of  $S_4A_7EDB$  compound.

Out of 20 measurements, not all of them gave the B-K edge ELNES structure or only very weak signals were detected. This lack of compositional homogeneity can be attributed to a non-uniform or inhomogeneous distribution of boron content in the crystal structure.

For seven different B-K ELNES measurements for ludwigite were calculated and summarized in Table 11.

**Table 11** Ratio of integrated intensity of  $\pi^*$  peak to the the integrated intensity energy window above the edge onset  $I(\Delta E)$  for ludwigite.

| <b>Sample number</b> | $I(\pi^*)/I(\Delta E)$ |
|----------------------|------------------------|
| <b>1</b>             | 0.22                   |
| <b>2</b>             | 0.18                   |
| <b>3</b>             | 0.19                   |
| <b>4</b>             | 0.21                   |
| <b>5</b>             | 0.23                   |
| <b>6</b>             | 0.24                   |
| <b>7</b>             | 0.19                   |
| <b>average</b>       | <b>0.21</b>            |

The average  $I(\pi^*)/I(\Delta E)$  ratio for ludwigite, which contains only trigonal coordinated boron, was determined to be 0.21, which will serve as the reference ( $I(\pi^*)/I(\Delta E)_{ref}$ ) fraction for pure trigonal B. This analysis was then applied to the EELS of  $S_4A_7EDB$ , and an average value was obtained for the  $I(\pi^*)/I(\Delta E)$ . The values summarized in Table 12 were calculated against the average value from the ludwigite sample. . The total fraction indicates the amount of three-fold boron inside the  $S_4A_7EDB$  compound.

**Table 12** Fraction of 3-fold coordinated boron in  $S_4A_7EDB$  compound.

| <b>Sample no</b> | $I(\pi^*)$ | $I(\Delta E)$ | $I(\pi^*)/I(\Delta E)$ | <b>Fraction</b> |
|------------------|------------|---------------|------------------------|-----------------|
| <b>1</b>         | 274        | 1244          | 0.22                   | 1.04            |
| <b>2</b>         | 86         | 476           | 0.18                   | 0.87            |
| <b>3</b>         | 138        | 753           | 0.19                   | 0.91            |
| <b>4</b>         | 171        | 819           | 0.21                   | 1.00            |
| <b>5</b>         | 250        | 1079          | 0.23                   | 1.09            |

## 5.4. Discussion

Investigations into the coordination of boron in the crystal structure were conducted by electron energy-loss spectrometry for SA<sub>2</sub>ED compounds with varying boron content. B-K ELNES of these compounds were compared with two reference standards that contain only three-fold coordinated boron (ludwigite) and only four-fold coordinated boron (rhodizite). Comparison of the shape of the B-K ELNES of S<sub>4</sub>A<sub>7</sub>EDB with the three-folded boron containing mineral ludwigite clearly indicates the presence of BO<sub>3</sub> units in the corresponding compounds, the lack of resemblance with the B-K ELNES of rhodizite confirms the lack of tetrahedral-coordinated B. Thus the resulting B-K edge data shows that boron enters the structure only as three-fold coordinated in every SA compound. We quantified the ELNES B-K edge data of every SA compound by implementing the method used by Sauer *et.al.*<sup>59</sup> to quantify the total number of transitions characteristic of trigonal and tetrahedral coordination states of boron. The results of this quantification suggested that three-fold coordinated boron is present in S<sub>4</sub>A<sub>7</sub>EDB compounds.

## 5.5. Conclusions

In this part of the study we show that boron enters the structure of longer persistence Eu, Dy, and B co-doped S<sub>4</sub>A<sub>7</sub> in 3-fold coordination, by comparing the B-K ELNES using two reference minerals, one containing only three-fold coordinated boron (ludwigite) and only four-fold coordinated boron units (rhodizite). This comparison was performed both qualitatively by inspecting the fingerprint B-K ELNES of the EEL spectra and quantitatively by summing the contributions to the signal in the ELNES arising from  $\pi^*$  and the  $\sigma^*$  transitions of B.

## **CHAPTER 6:**

### **SUMMARY AND FUTURE WORK**

The objective of this dissertation is to apply nanoscale and atomic scale-resolved imaging and spectroscopy techniques to elucidate the role of B in extending persistence in Eu, Dy, and B co-doped  $\text{Sr}_4\text{Al}_{14}\text{O}_{25}$ . Our study has revealed many insights into the equilibrium phase evolution and ionic point defect presence and distribution related to the dramatic extension of afterglow.

In Chapter 2, a structural investigation was performed globally, to compare our phosphor properties to those in the literature, and to elucidate the microstructural evolution. Analyses by TG-DTA and XRD revealed that the presence of B facilitates the formation of  $\text{S}_4\text{A}_7$  phase, while lowering the kinetic barrier for the high-temperature equilibrium phase formation. We observed that the presence of B is necessary for long afterglow persistence, although its presence alone does not ensure afterglow. Elemental analysis investigations by ICP-OES showed that boron actually enters the structure, although it differs from the

nominal value significantly. However, enhanced persistence could still be observed as boron entered the structure.

In Chapter 3, we used advanced TEM techniques to evaluate the microstructure with atomic-scale resolution. Selected area electron diffraction patterns revealed the dominant presence of equiaxed  $S_4A_7$  grains, which is one of the two long persistence phases in the strontium aluminates phosphor family. Experimentally obtained HAADF and ABF images on  $\langle 012 \rangle$  and  $\langle 013 \rangle$  zone axes were interpreted by using simulations of the images. The STEM images obtained by HAADF and ABF imaging technique showed that Sr and Al sub-lattices could be resolved on  $\langle 013 \rangle$  zone axis simultaneously, and STEM image simulations were consistent with the experimental results. Simulations obtained by Eu-doped  $S_4A_7$  structure showed that Eu dopant could be easily observed such that Eu dopants would appear at Sr sub-lattice as brighter atoms. Experimental HAADF image of boron-containing specimen showed signs of Eu dopant atom, as we have observed atoms having brighter intensity than the rest of the Sr column.

In Chapter 4, the results of diffraction analysis, nanoscale cathodoluminescence, and energy-dispersive x-ray spectroscopy in a STEM combined established that the uniform distribution of  $Eu^{2+}$  in the Sr sub-lattice is another necessary condition for extended persistence. We confirm that boron facilitates the dominance of equilibrium  $S_4A_7$  grains in the microstructure, exceeding 97%, and uniform stoichiometry throughout the grain. Without boron doping, it is clear that multiple phases form, and the Al-rich one with plate-like grains acts as a sponge, collecting rare-earth dopants from neighboring grains. While EDXS revealed the distribution of elements, nano-CL was shown to be a pivotal tool in differentiating the spatial distribution of divalent versus trivalent Eu. Moreover, we were able to distinguish between  $Eu^{2+}$  ions occupying the 2 crystallographically non-equivalent Sr sites. We observed a strong luminescence in the B-free compounds from  $Eu^{2+}$  in the lower symmetry site only in the plate-like grains, against a background of uniformly distributed  $Eu^{3+}$ . By sharp contrast, the long persistence compounds containing boron had large single-phase  $S_4A_7$  grains with a uniform presence of  $Eu^{2+}$  throughout the Sr sub-lattice, and distributed in both types of Sr sites. Clearly an

important condition for long afterglow is the uniform distribution of  $\text{Eu}^{2+}$  throughout the microstructure of equiaxed  $\text{S}_4\text{A}_7\text{ED}$  grains, and boron play 2 key roles: 1) facilitating a more uniform distribution of  $\text{Eu}^{2+}$  and  $\text{Eu}^{3+}$  and 2) promoting the formation of single phase  $\text{S}_4\text{A}_7$ .

In Chapter 5, we have analyzed the coordination of boron in  $\text{S}_4\text{A}_7\text{EDB}$  compounds by fingerprint comparison with the B-K ELNES in 2 boron-containing minerals, ludwigite and rhodizite. The resemblance solely with ludwigite, which contains boron only in three-fold coordination proves that boron enters the  $\text{S}_4\text{A}_7\text{EDB}$  structure only in three-fold coordination.

Our results mandate substantial revision to the existing point defect clustering model of extended persistence in Eu, Dy, and B co-doped strontium aluminate phosphors. We have determined the conditions for interrogating these compounds at the nanoscale and atomic scale, by using advanced TEM techniques, such as HAADF-STEM imaging, ABF-STEM imaging, EELS, and STEM-EDXS. With nano-CL, we can further distinguish between divalent and trivalent Eu, and the site occupation of  $\text{Eu}^{2+}$ . The obvious next steps include deeper investigation of the bonding environment of boron by electron energy loss spectroscopy (EELS), correlated to AR-STEM; moreover by using ABF-STEM imaging techniques, we can look for spatial correlation between the positions of B with the Eu and Dy ions, to further validate the point defect clustering mechanism.

## APPENDIX

**Table A1** ICP-OES elemental analysis result of samples collected after TG-DTA analysis. Weight percentage of Eu and Dy and corresponding SD (absolute standard deviation) values.

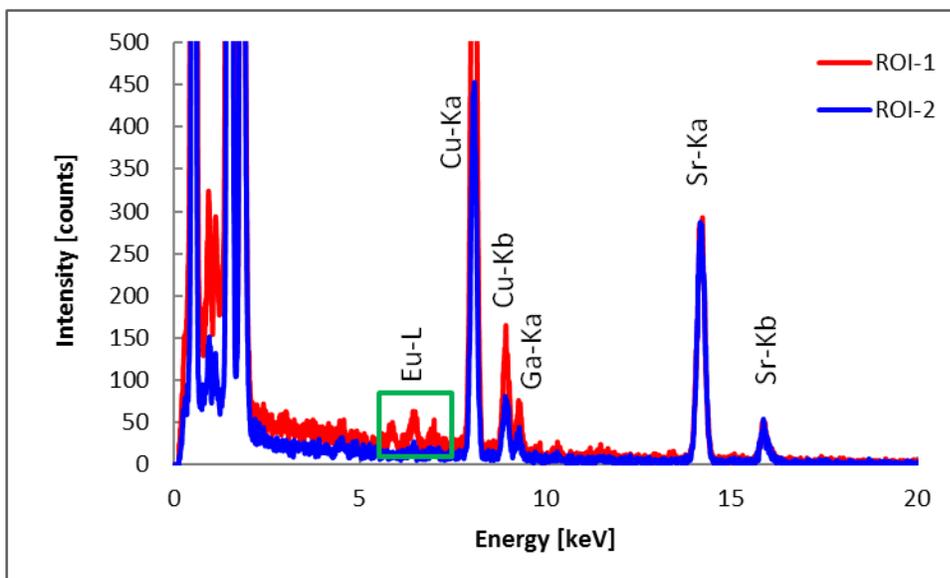
| Sample No | Boron Content (mol% B <sub>2</sub> O <sub>3</sub> ) | Heating rate/<br>Atmosphere                  | Eu<br>mg/kg | SD<br>(mg/kg) | Dy<br>mg/kg | SD<br>(mg/kg) |
|-----------|---|--|-------------|---------------|-------------|---------------|
| 1         | B-free  | 10°C min <sup>-1</sup> /<br>1/N <sub>2</sub> | 1266.437    | 8.58          | 1289.915    | 18.29         |
| 2         | B-free  | 10°C min <sup>-1</sup> /air                  | 1142.879    | 6.21          | 1220.768    | 7.43          |
| 3         | B-free  | 5°C min <sup>-1</sup> /N <sub>2</sub>        | 1228.351    | 9.63          | 1243.082    | 9.53          |
| 4         | B-free  | 5°C min <sup>-1</sup> /air                   | 1144.484    | 9.76          | 1211.498    | 12.71         |
| 5         | B-free  | 1°C min <sup>-1</sup> / N <sub>2</sub>       | 1265.261    | 6.89          | 1278.841    | 9.19          |
| 6         | B-free  | 1°C min <sup>-1</sup> /air                   | 1277.136    | 15.05         | 1319.868    | 263.71        |
| 7         | 10  | 10°C min <sup>-1</sup> /<br>1/N <sub>2</sub> | 1387.713    | 9.98          | 1458.784    | 5.69          |
| 8         | 10  | 10°C min <sup>-1</sup> /air                  | 1475.294    | 11.43         | 1580.231    | 18.54         |
| 9         | 10  | 5°C min <sup>-1</sup> / N <sub>2</sub>       | 1402.974    | 140.52        | 1487.726    | 20.59         |
| 10        | 10  | 5°C min <sup>-1</sup> /air                   | 1444.622    | 2.23          | 1562.991    | 4.40          |
| 11        | 10  | 1°C min <sup>-1</sup> / N <sub>2</sub>       | 1416.667    | 18.10         | 1515.031    | 18.91         |
| 12        | 10  | 1°C min <sup>-1</sup> /air                   | 1448.719    | 19.14         | 1563.352    | 20.58         |
| 13        | 20  | 10°C min <sup>-1</sup> /<br>N <sub>2</sub>   | 1572.245    | 18.11         | 1554.481    | 22.95         |
| 14        | 20  | 10°C min <sup>-1</sup> /air                  | 1434.535    | 9.56          | 1499.573    | 9.30          |
| 15        | 20  | 5°C min <sup>-1</sup> / N <sub>2</sub>       | 1578.501    | 26.29         | 1562.998    | 28.47         |
| 16        | 20  | 5°C min <sup>-1</sup> /air                   | 1476.675    | 5.27          | 1513.871    | 3.92          |
| 17        | 20  | 1°C min <sup>-1</sup> / N <sub>2</sub>       | 1516.122    | 7.91          | 1567.646    | 11.63         |
| 18        | 20  | 1°C min <sup>-1</sup> /air                   | 1496.885    | 17.01         | 1541.281    | 14.51         |
| 19        | 30  | 10°C min <sup>-1</sup> /<br>N <sub>2</sub>   | 1784.985    | 10.83         | 1806.228    | 16.42         |
| 20        | 30  | 10°C min <sup>-1</sup> /air                  | 1657.416    | 9.88          | 1874.243    | 11.38         |
| 21        | 30  | 5°C min <sup>-1</sup> / N <sub>2</sub>       | 2234.487    | 15.72         | 2566.686    | 45.40         |
| 22        | 30  | 5°C min <sup>-1</sup> /air                   | 1209.447    | 15.09         | 1241.529    | 15.34         |
| 23        | 30  | 1°C min <sup>-1</sup> / N <sub>2</sub>       | 1661.504    | 17.08         | 1867.216    | 19.08         |
| 24        | 30  | 1°C min <sup>-1</sup> /air                   | 1675.518    | 17.33         | 1905.245    | 16.46         |

**Table A2** ICP-OES elemental analysis result of samples collected after TG-DTA analysis.  
Weight percentage of B and corresponding SD (absolute standard deviation) values.

| <b>Sample No</b> | <b>Boron Content (mol% B<sub>2</sub>O<sub>3</sub>)</b> | <b>Heating rate/Atmosphere</b>         | <b>Boron mg/kg</b> | <b>SD (mg/kg)</b> |
|------------------|--|--|--------------------|-------------------|
| 1                | B-free   | 10°C min <sup>-1</sup> /N <sub>2</sub> | 0                  | 0.00              |
| 2                | B-free   | 10°C min <sup>-1</sup> /air            | 0                  | 0.00              |
| 3                | B-free   | 5°C min <sup>-1</sup> /N <sub>2</sub>  | 0                  | 0.00              |
| 4                | B-free   | 5°C min <sup>-1</sup> /air             | 0                  | 0.00              |
| 5                | B-free   | 1°C min <sup>-1</sup> /N <sub>2</sub>  | 0                  | 0.00              |
| 6                | B-free   | 1°C min <sup>-1</sup> /air             | 0                  | 0.00              |
| 7                | 10   | 10°C min <sup>-1</sup> /N <sub>2</sub> | 747.3805           | 11.74             |
| 8                | 10   | 10°C min <sup>-1</sup> /air            | 856.0063           | 28.65             |
| 9                | 10   | 5°C min <sup>-1</sup> /N <sub>2</sub>  | 844.7882           | 22.14             |
| 10               | 10   | 5°C min-1/air                          | 974.9459           | 18.31             |
| 11               | 10   | 1°C min <sup>-1</sup> /N <sub>2</sub>  | 797.8333           | 56.39             |
| 12               | 10   | 1°C min <sup>-1</sup> /air             | 1024.274           | 69.04             |
| 13               | 20   | 10°C min <sup>-1</sup> /N <sub>2</sub> | 3377.263           | 54.82             |
| 14               | 20   | 10°C min <sup>-1</sup> /air            | 3163.444           | 33.78             |
| 15               | 20   | 5°C min <sup>-1</sup> /N <sub>2</sub>  | 3072.787           | 151.11            |
| 16               | 20   | 5°C min-1/air                          | 2822.903           | 112.33            |
| 17               | 20   | 1°C min <sup>-1</sup> /N <sub>2</sub>  | 3100.354           | 55.45             |
| 18               | 20   | 1°C min <sup>-1</sup> /air             | 3152.49            | 59.58             |
| 19               | 30   | 10°C min <sup>-1</sup> /N <sub>2</sub> | 5834.305           | 49.13             |
| 20               | 30   | 10°C min <sup>-1</sup> /air            | 6422.981           | 43.86             |
| 21               | 30   | 5°C min <sup>-1</sup> /N <sub>2</sub>  | 9364.472           | 58.78             |
| 22               | 30   | 5°C min <sup>-1</sup> /air             | 4129.879           | 71.92             |
| 23               | 30   | 1°C min <sup>-1</sup> /N <sub>2</sub>  | 6345.343           | 89.82             |
| 24               | 30   | 1°C min <sup>-1</sup> /air             | 6457.18            | 87.19             |

**Table A3** ICP-OES elemental analysis result of samples collected after TG-DTA analysis. Weight percentage of Al and Sr, and corresponding SD (absolute standard deviation) values.

| Sample No | Boron Content (mol% B <sub>2</sub> O <sub>3</sub> ) | Heating rate/ Atmosphere                   | Al mg/kg  | Al SD (mg/kg) | Sr mg/kg  | Sr SD (mg/kg) |
|-----------|---|--|-----------|---------------|-----------|---------------|
| 1         | B-free  | 10°C min <sup>-1</sup> /<br>N <sub>2</sub> | 272547.62 | 803.30        | 294155.61 | 594.26        |
| 2         | B-free  | 10°C min <sup>-1</sup> /<br>air            | 257183.84 | 3001.98       | 279695.96 | 294.93        |
| 3         | B-free  | 5°C min <sup>-1</sup> /N <sub>2</sub>      | 264405.91 | 2420.04       | 280893.37 | 1748.55       |
| 4         | B-free  | 5°C min <sup>-1</sup> /air                 | 254961.09 | 6665.12       | 277144.94 | 1494.43       |
| 5         | B-free  | 1°C min <sup>-1</sup> /<br>N <sub>2</sub>  | 270869.34 | 1469.25       | 279711.67 | 463.81        |
| 6         | B-free  | 1°C min <sup>-1</sup> /air                 | 280247.64 | 4815.09       | 286527.41 | 1593.39       |
| 7         | 10  | 10°C min <sup>-1</sup> /<br>N <sub>2</sub> | 285485.45 | 1830.75       | 275401.25 | 835.85        |
| 8         | 10  | 10°C min <sup>-1</sup> /<br>air            | 306699.16 | 3968.73       | 294228.51 | 1008.05       |
| 9         | 10  | 5°C min <sup>-1</sup> /<br>N <sub>2</sub>  | 292229.28 | 2046.04       | 280948.43 | 1369.06       |
| 10        | 10  | 5°C min <sup>-1</sup> /air                 | 304759.46 | 1652.13       | 294341.44 | 132.14        |
| 11        | 10  | 1°C min <sup>-1</sup> /<br>N <sub>2</sub>  | 295935.42 | 237.74        | 281953.13 | 2222.44       |
| 12        | 10  | 1°C min <sup>-1</sup> /air                 | 306596.25 | 5601.96       | 293163.95 | 1766.23       |
| 13        | 20  | 10°C min <sup>-1</sup> /<br>N <sub>2</sub> | 290130.59 | 2562.25       | 287404.29 | 2079.37       |
| 14        | 20  | 10°C min <sup>-1</sup> /<br>air            | 276262.81 | 2541.14       | 275201.14 | 1701.14       |
| 15        | 20  | 5°C min <sup>-1</sup> /<br>N <sub>2</sub>  | 284970.73 | 4854.51       | 292181.50 | 2755.06       |
| 16        | 20  | 5°C min <sup>-1</sup> /air                 | 274198.51 | 4225.88       | 278178.66 | 493.05        |
| 17        | 20  | 1°C min <sup>-1</sup> /<br>N <sub>2</sub>  | 286740.24 | 4576.34       | 292623.17 | 1131.09       |
| 18        | 20  | 1°C min <sup>-1</sup> /air                 | 285108.61 | 5633.01       | 284210.04 | 1311.37       |
| 19        | 30  | 10°C min <sup>-1</sup> /<br>N <sub>2</sub> | 245312.13 | 4521.33       | 270995.56 | 926.48        |
| 20        | 30  | 10°C min <sup>-1</sup> /<br>air            | 268325.72 | 1360.58       | 285531.25 | 763.22        |
| 21        | 30  | 5°C min <sup>-1</sup> /<br>N <sub>2</sub>  | 374029.33 | 2975.40       | 398414.96 | 888.20        |
| 22        | 30  | 5°C min <sup>-1</sup> /air                 | 172474.85 | 2429.52       | 187529.18 | 1601.56       |
| 23        | 30  | 1°C min <sup>-1</sup> /<br>N <sub>2</sub>  | 260343.01 | 2955.54       | 278141.16 | 8184.43       |
| 24        | 30  | 1°C min <sup>-1</sup> /air                 | 255825.61 | 2643.39       | 277647.14 | 1078.32       |



**Figure A 1** Full-scale EDX spectrum for the analyzed regions shown in Figure 44.

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